

Annual Mental Health Services Act Revenue and Expenditure Report

This PDF document is a copy of the Annual Revenue and Expenditure Report (ARER) which is submitted by County Mental Health Plans (usually, the County Behavioral Health Department) to the Department of Health Care Services and the MHSOAC. This document is made available to the public through the Fiscal Reporting tool created by the MHSOAC to support fiscal transparency. The Fiscal Reporting tool is based on the data submitted by counties through Annual Revenue and Expenditure Reports.

Annual Revenue and Expenditure Reports can also be downloaded from the [DHCS website \(http://www.dhcs.ca.gov/services/MH/Pages/Annual-Revenue-and-Expenditure-Reports-by-County.aspx\)](http://www.dhcs.ca.gov/services/MH/Pages/Annual-Revenue-and-Expenditure-Reports-by-County.aspx)

The following report provides annual point-in-time estimates (similar to a checkbook balance) of County MHSAs revenues received and expended for each component of the MHSAs, as well as end of year closing balances. The reports are intended to represent a snapshot of County MHSAs financial information as of June 30th, the last day of each fiscal year. Counties emphasize that the ARER reports are difficult to tie to their official, audited financial statements and should be interpreted with caution.

Financial summaries presented in this report are broken down by the following MHSAs components:

- Community Services and Supports (CSS)
- Prevention and Early Intervention (PEI)
- Innovation (INN)
- Workforce Education & Training (WET)
- Capital Facility & Technological Needs (CFTN)
- Other MHSAs components

**Annual Mental Health Services Act Revenue and Expenditure Report for
Fiscal Year 2012-13**

County: Alameda

Date:

12/22/2015

Community Services and Supports Component	Total (Gross) Mental Health Expenditures
FSP Programs	
1 Homeless Outreach & Stabilization Team	\$2,268,339
2 North County Senior Homeless Program	\$889,214
3 Support Housing for TAY	\$1,398,799
4 Greater Hope Project - Tri-City Coalition	\$894,177
5 Small Scale Comprehensive Forensic ACT Team	\$1,850,146
6 Transition to Independence	\$478,139
7 CHOICES for Community Living	\$12,257,012
8 Transitional Behavioral Health Court ACT Team	\$965,966
9	
10	
11	
12	
13	
14	
15	
16	
17	
18	
19	
20	
21	
22	
23	
24	
25	
Subtotal FSP Programs	\$21,001,792
Non-FSP Programs	
1 Mobile Integrated Assess Team for Seniors	\$497,655
2 Crisis Response Program - Capacity for Valley & Tri-City	\$377,097
3 MH Court Specialist Program	\$275,445
4 Juvenile Justice Transformation of Guidance Clinic	\$267,382
5 Multisystemic Therapy	\$505,413
6 Crisis Stabilization Service	\$1,238,009
7 Co-Occurring Disorders Program	\$117,500
8 Other Non-FSP Progs (see "Add'l Non-FSP Progs" Tab)	\$12,216,459
Subtotal Non-FSP Programs	\$15,494,959
Total FSP and Non-FSP Programs	\$36,496,751
CSS Evaluation	
CSS Administration	\$6,164,086
CSS MHSA Housing Program Assigned Funds	
Total CSS Expenditures	\$42,660,837

**Annual Mental Health Services Act Revenue and Expenditure Report for
Fiscal Year 2012-13**

County: Alameda

Date: 12/22/2015

Community Services and Supports Component	Total (Gross) Mental Health Expenditures
FSP Programs	
Subtotal FSP Programs	\$0
Non-FSP Programs	
8 Recovery Education Centers	\$1,116,782
9 Behavioral Health Medical Home	\$3,313,872
10 Residential Treatment for Co-occurring Disorders	\$5,177,435
11 Low Income Health Plan Pilot	\$2,608,370
12	
13	
14	
15	
16	
17	
18	
19	
20	
Subtotal Non-FSP Programs	\$12,216,459
Total FSP & Non-FSP Programs	\$12,216,459
CSS Evaluation	
CSS Administration	
CSS MHSa Housing Program Assigned Funds	
Total CSS Expenditures	\$12,216,459

This is a continuance of CSS sheet for Non-FSP Programs

Year 2012-13
Prevention and Early Intervention (PEI) Summary

County: Alameda

Date:

12/22/2015

Prevention and Early Intervention Component	(A) Total (Gross) Mental Health Expenditures
PEI Programs-Prevention	
1 School-Based Mental Health Consultation in Elementary Schools	\$834,323
2 Mental Health-Primary Care Integration for Latino Populations	\$228,125
3 Mental Health-Primary Care Integration for API Older Adults	\$254,603
4 Stigma & Discrimination Reduction Campaign	\$973,201
5 Outreach, Education & Consultation for the Latino Population	\$899,900
6 Outreach, Education & Consultation for the Asian Population	\$1,054,344
7 Outreach, Education & Consultation for the South Asian Population	\$642,557
8 Outreach, Education & Consultation for the Native Hawaiian Population	\$273,396
9 Trauma-Informed Care	\$241,708
10 Wellness and Recovery Hub	\$1,261,601
11 Family Education Center	\$1,096,489
12 Staffing to Asian Population (ACCESS)	\$398,087
13 Staffing to Latino Populations (ACCESS)	\$695,696
14 Youth Uprising	\$295,574
Subtotal PEI Programs-Prevention	\$9,149,604
PEI Programs-Early Intervention	
15 Early Intervention for the Onset of First Psychosis	\$1,414,020
16 Mental Health-Primary Care Integration for Older Adults	\$611,700
17	
18	
19	
20	
21	
22	
23	
Subtotal PEI Programs-Early Intervention	\$2,025,720
Total PEI Programs	\$11,175,324
PEI Evaluation	
PEI Administration	\$3,721,167
Total PEI Expenditures	\$14,896,491

**Fiscal Year 2012-13
Innovation (INN) Summary**

County: Alameda

Date:

12/22/2015

Innovation Component	(A) Total (Gross) Mental Health Expenditures
Innovation Programs	
1 Innovation Grant Programs	\$2,356,127
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	
13	
14	
15	
16	
17	
18	
19	
20	
21	
22	
23	
24	
25	
Total INN Programs	\$2,356,127
Innovation Evaluation	
Innovation Administration	
Total Innovation Expenditures	\$2,356,127

**Fiscal Year 2012-13
Workforce Education and Training (WET) Summary**

County: Alameda **Date:** 12/22/2015

Workforce Education and Training Component	(A) Total (Gross) Mental Health Expenditures
WET Funding Category	
Workforce Staffing Support	\$647,596
Training and Technical Assistance	\$18,321
Mental Health Career Pathways Programs	
Residency and Internship Programs	
Financial Incentive Programs	\$203,290
Total WET Programs	\$869,207
WET Administration	
Total WET Expenditures	\$869,207

**Fiscal Year 2012-13
Capital Facilities/Technological Needs (CF/TN) Summary**

County: Alameda **Date:** 12/22/2015

	(A)
Capital Facility/Technological Needs Projects	Total (Gross) Mental Health Expenditures
Capital Facility Projects	
1 Capital Facility	\$59,285
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	
Total CF Projects	\$59,285
Capital Facility Administration	\$0
Total Capital Facility Expenditures	\$59,285
Technological Needs Projects	
1	
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	
13	
Total TN Projects	\$0
Technological Needs Administration	
Total Technological Needs Expenditures	\$0
Total CFTN Expenditures	\$59,285

**Fiscal Year 2012-13
TTACB, WET RP & PEI SWP Summary**

County: Alameda

Date:

12/22/2015

	(A) Total (Gross) Expenditures
Training, Technical Assistance and Capacity	\$362,546
WET Regional Partnerships	\$738,858
PEI Statewide Projects	\$3,119,725

**Annual Mental Health Services Act Revenue and Expenditure Report
FY 2012-13 Summary**

TABLE A

COUNTY: Alameda

DATE: 12/22/2015

PEI Statewide Funds assigned to CalMHSA? (Y/N) N

Fiscal Year 2012-13	(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)	(K)
	Community Services and Supports	Prevention and Early Intervention	Innovation	Workforce Education and Training	Capital Facilities and Technological Needs	TTACB	WET Regional Partnerships	PEI Statewide Projects Funds	Prudent Reserve	Total-All Components
1 Unspent Funds Available From Prior Fiscal Years¹										
a Local Prudent Reserve									\$17,945,553	\$17,945,553
b FY 2006-07 Funds				\$2,607,827						\$2,607,827
c FY 2007-08 Funds				\$3,911,700	\$9,007,918					\$12,919,618
d FY 2008-09 Funds			\$3,264,928	\$6,333	\$3,873,200		\$636,509			\$7,780,970
e FY 2009-10 Funds			\$2,549,684	\$51,269			\$12,167			\$2,613,120
f FY 2010-11 Funds	\$7,799,741	\$6,836,399	\$1,489,679	\$34,075	\$13,178	\$211,641	\$5,965	\$1,185,230		\$17,575,908
g FY 2011-12 Funds	\$26,518,591	\$6,965,812	\$1,769,107	\$36,361	\$59,126	\$223,885	\$1,809,054	\$3,553,887		\$40,935,823
h Interest										\$0
i TOTAL	\$34,318,332	\$13,802,211	\$9,073,398	\$6,647,565	\$12,953,422	\$435,526	\$2,463,695	\$4,739,117	\$17,945,553	\$102,378,819
2 MHSA Funds Revenue in FY 2012-13										
a Transfer of funds from the Local Prudent Reserve									\$0	\$0
b Revenue received from the State MHSA Fund ²										
1 FY 2012-13 MHSA Funds	\$43,224,369	\$10,806,092	\$2,843,708							\$56,874,170
c Interest Earned on MHSA Funds	\$130,331	\$44,535	\$24,173	\$15,214	\$29,645	\$997	\$5,638	\$10,846	\$41,070	\$302,449
d TOTAL	\$43,354,700	\$10,850,627	\$2,867,881	\$15,214	\$29,645	\$997	\$5,638	\$10,846	\$41,070	\$57,176,618
3 Expenditure and Funding Sources for FY 2012-13³										
a FY 2006-07 MHSA Funds				\$515,223						\$515,223
b FY 2007-08 MHSA Funds					\$59,285					\$59,285
c FY 2008-09 MHSA Funds							\$636,509			\$636,509
d FY 2009-10 MHSA Funds							\$12,167			\$12,167
e FY 2010-11 MHSA Funds	\$7,799,741	\$6,836,399	\$1,489,679			\$211,641	\$5,965	\$1,185,230		\$17,528,655
f FY 2011-12 MHSA Funds	\$22,155,418	\$5,293,060	\$801,258			\$148,210	\$84,217	\$1,934,495		\$30,416,658
g FY 2012-13 MHSA Funds										\$0
h Interest										\$0
i 1991 Realignment										\$0
j Behavioral Health Subaccount										\$0
k Other	\$12,705,678	\$2,767,032	\$65,189	\$353,984		\$2,695				\$15,894,579
l TOTAL	\$42,660,837	\$14,896,491	\$2,356,127	\$869,207	\$59,285	\$362,546	\$738,858	\$3,119,725		\$65,063,076
m Total Program Expenditures	\$42,660,837	\$14,896,491	\$2,356,127	\$869,207	\$59,285	\$362,546	\$738,858	\$3,119,725		\$65,063,076

NOTE TO COUNTY: Total Program Expenditures, 3(l), MUST match Total Expenditure Funding Sources, 3(k). If ERROR, recheck and correct.

COUNTY: Alameda

DATE: 12/22/2015

PEI Statewide Funds assigned to CalMHSA? (Y/N) N

Fiscal Year 2012-13		(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)	(K)
		Community Services and Supports	Prevention and Early Intervention	Innovation	Workforce Education and Training	Capital Facilities and Technological Needs	TTACB	WET Regional Partnerships	PEI Statewide Projects Funds	Prudent Reserve	Total-All Components
4	Transfers to Prudent Reserve, WET, CFTN⁴										
	a FY 2010-11	\$0									\$0
	b FY 2011-12	\$0									\$0
	c FY 2012-13	\$0									\$0
5	Adjustments⁵										
	a Local Prudent Reserve										\$0
	b FY 2006-07 Funds										\$0
	c FY 2007-08 Funds										\$0
	d FY 2008-09 Funds										\$0
	e FY 2009-10 Funds										\$0
	f FY 2010-11 Funds										\$0
	g FY 2011-12 Funds								\$511,600		\$511,600
	h FY 2012-13 Funds										\$0
	i Interest										\$0
	j TOTAL	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$511,600	\$0	\$511,600
6	Unspent Funds in the Local MHS Fund⁶										
	a Local Prudent Reserve Balance									\$17,986,623	\$17,986,623
	b FY 2006-07 Funds				\$2,092,604						\$2,092,604
	c FY 2007-08 Funds				\$3,911,700	\$8,948,633					\$12,860,333
	d FY 2008-09 Funds	\$0	\$0	\$3,264,928	\$6,333	\$3,873,200	\$0	\$0	\$0		\$7,144,461
	e FY 2009-10 Funds	\$0	\$0	\$2,549,684	\$51,269	\$0	\$0	\$0	\$0		\$2,600,953
	f FY 2010-11 Funds	\$0	\$0	\$0	\$34,075	\$13,178	\$0	\$0	\$0		\$47,253
	g FY 2011-12 Funds	\$4,363,173	\$1,672,752	\$967,849	\$36,361	\$59,126	\$75,675	\$1,724,837	\$2,130,992		\$11,030,765
	h FY 2012-13 Funds	\$43,224,369	\$10,806,092	\$2,843,708	\$0	\$0					\$56,874,170
	i Interest	\$130,331	\$44,535	\$24,173	\$15,214	\$29,645	\$997	\$5,638	\$10,846		\$261,379
	j TOTAL	\$47,717,873	\$12,523,380	\$9,650,342	\$6,147,555	\$12,923,782	\$76,672	\$1,730,475	\$2,141,838	\$17,986,623	\$110,898,540

TABLE B ⁷	
Estimated FFP Revenue Generated In FY 2012-13	Amount
Federal Financial Participation (FFP)	\$11,882,598

RER Contact Person	
Name	Mandy Chau
Title	Senior Financial Services Specialist
Phone	(510) 282-7090
Email	mchau@acbhcs.org

**Annual Mental Health Services Act Revenue and Expenditure Report for Fiscal Year 2012-13
Adjustments Summary**

County: Alameda

Date: 12/22/2015

FY	Amount	Reason For Adjustment
2012-13	\$511,600	Funds received from City of Berkeley for partnering in PEI Statewide Programs
TOTAL	\$511,600	
	\$511,600	

NOTE TO COUNTY: Total Adjustments in cell B22 MUST match Total Adjustments in cell P55 on the RER Summary Worksheet. If ERROR, recheck and correct.

**Annual Mental Health Services Act Revenue and Expenditure Report
FY 2012-13**

END NOTES:

- ¹ Total unspent funds from prior fiscal years MUST match the Total Unspent Funds in the Local MHS Fund from prior year RER.
- ² DHCS will utilize the allocation report provided by the SCO and counties should utilize the same report when reporting MHSA revenue. The report is available at: http://www.sco.ca.gov/ard_payments_mentalhealthservicefund.html
- ³ Expenditure funding sources for each component must equal the total program expenditures as reported on the Component Summary Worksheets.
- ⁴ WIC Section 5892(b) permits a County to use up to 20 percent of the average amount of funds allocated to the county for the previous five years to fund technological needs and capital facilities, human resource needs, and a prudent reserve. The amount of funds transferred from CSS will be reported in the CSS column as a negative amount. The funds transferred into WET, CFTN, or Prudent Reserve should be reflected as a positive amount. For each year reported, the amount transferred from CSS should equal zero when added to the funds transferred into WET, CFTN, or Prudent Reserve.
- ⁵ Payments from the MHSA Fund should be reflected in the Adjustments section as a negative amount. Receipts into the MHSA Fund should be reflected in the Adjustments section as a positive amount.
- ⁶ Total Unspent in the Local MHS Fund will auto populate for each Fiscal Year.
- ⁷ The FFP amount represents the estimated FFP revenue generated in FY 2012-13 and attributable to MHSA funds.