

Annual Mental Health Services Act Revenue and Expenditure Report

This PDF document is a copy of the Annual Revenue and Expenditure Report (ARER) which is submitted by County Mental Health Plans (usually, the County Behavioral Health Department) to the Department of Health Care Services and the MHSOAC. This document is made available to the public through the Fiscal Reporting tool created by the MHSOAC to support fiscal transparency. The Fiscal Reporting tool is based on the data submitted by counties through Annual Revenue and Expenditure Reports.

Annual Revenue and Expenditure Reports can also be downloaded from the [DHCS website \(http://www.dhcs.ca.gov/services/MH/Pages/Annual-Revenue-and-Expenditure-Reports-by-County.aspx\)](http://www.dhcs.ca.gov/services/MH/Pages/Annual-Revenue-and-Expenditure-Reports-by-County.aspx)

The following report provides annual point-in-time estimates (similar to a checkbook balance) of County MHSAs revenues received and expended for each component of the MHSAs, as well as end of year closing balances. The reports are intended to represent a snapshot of County MHSAs financial information as of June 30th, the last day of each fiscal year. Counties emphasize that the ARER reports are difficult to tie to their official, audited financial statements and should be interpreted with caution.

Financial summaries presented in this report are broken down by the following MHSAs components:

- Community Services and Supports (CSS)
- Prevention and Early Intervention (PEI)
- Innovation (INN)
- Workforce Education & Training (WET)
- Capital Facility & Technological Needs (CFTN)
- Other MHSAs components

**Annual Mental Health Services Act Revenue and Expenditure Report for
Fiscal Year 2013-14
Community Services and Supports (CSS) Summary**

County: Imperial County Date: 10/27/2015

Community Services and Supports Component	Total (Gross) Mental Health Expenditures
FSP Programs	
1 Youth and Young Adult - Full Service Partnership	\$2,939,288
2 Adult and Older Adult - Full Service Partnership	\$1,238,468
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25	
Subtotal FSP Programs	\$4,177,756
Non-FSP Programs	
1 Recovery Center Program - General System Dev. Prgm	\$3,392,961
2 Outreach & Engagement	\$887,616
3 Community Program Planning	\$1,414,862
4 Housing	\$0
5	
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12	
13	
14	
15	
Subtotal Non-FSP Programs	\$5,695,439
Total FSP and Non-FSP Programs	\$9,873,195
CSS Evaluation	
CSS Administration	\$1,498,786
CSS MHA Housing Program Assigned Funds	
Total CSS Expenditures	\$11,371,981

**Annual Mental Health Services Act Revenue and Expenditure Report for
Fiscal Year 2013-14
Prevention and Early Intervention (PEI) Summary**

County: Imperial County

Date:

10/27/2015

Prevention and Early Intervention Component	(A) Total (Gross) Mental Health Expenditures
PEI Programs-Prevention	
1	
2	
3	
4	
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12	
13	
14	
15	
Subtotal PEI Programs-Prevention	\$0
PEI Programs-Early Intervention	
1 Trauma Focus - CBT	\$745,440
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	
13	
14	
15	
Subtotal PEI Programs-Prevention	\$745,440
PEI Programs-Other	
1	
2	
3	
Subtotal PEI Programs-Other	\$0
Subtotal PEI Programs-Prevention & Early Intervention and Other	\$745,440
PEI Evaluation	
PEI Administration	\$343,016
Total PEI Expenditures	\$1,088,456

**Annual Mental Health Services Act Revenue and Expenditure Report for
Fiscal Year 2013-14
Innovation (INN) Summary**

County: Imperial County

Date:

10/27/2015

Innovation Component	(A) Total (Gross) Mental Health Expenditures
Innovation Programs	
1 First Step to Success	\$152,470
2	
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21	
22	
23	
24	
25	
Subtotal	\$152,470
Innovation Evaluation	\$0
Innovation Administration	\$5,278
Total Innovation Expenditures	\$157,748

**Annual Mental Health Services Act Revenue and Expenditure Report for
Fiscal Year 2013-14
Workforce Education and Training (WET) Summary**

County: Imperial County **Date:** 10/27/2015

Workforce Education and Training Component	(A) Total (Gross) Mental Health Expenditures
WET Funding Category	
Workforce Staffing Support	\$9,491
Training and Technical Assistance	\$17,128
Mental Health Career Pathways Programs	\$26,360
Residency and Internship Programs	
Financial Incentive Programs	
Total WET Programs	\$52,979
WET Administration	\$7,701
Total WET Expenditures	\$60,680

**Annual Mental Health Services Act Revenue and Expenditure Report
Fiscal Year 2013-14
Capital Facilities/Technological Needs (CF/TN) Summary**

County: Imperial County **Date:** 10/27/2015

	(A)
Capital Facility/Technological Needs Projects	Total (Gross) Mental Health Expenditures
Capital Facility Projects	
1	
2	
3	
4	
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7	
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10	
11	
12	
Total CF Projects	\$0
Capital Facility Administration	
Total Capital Facility Expenditures	\$0
Technological Needs Projects	
1 Care Connect & Order Connect	\$66,983
2 Consumer Connect	\$6,801
3 Document Imaging & Signature Capture	\$9,601
4 Project ITEMS	\$306,689
5	
6	
7	
8	
9	
10	
11	
12	
13	
Total TN Projects	\$390,074
Technological Needs Administration	\$56,574
Total Technological Needs Expenditures	\$446,648
Total CF/TN Expenditures	\$446,648

**Annual Mental Health Services Act Revenue and Expenditure Report
FY 2013-14 Summary**

TABLE A

COUNTY: Imperial County

DATE: 10/27/2015

Fiscal Year 2013-14		(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)	(J)
		Community Services and Supports	Prevention and Early Intervention	Innovation	Workforce Education and Training	Capital Facilities and Technological Needs	TTACB	WET Regional Partnerships	PEI Statewide Projects Funds	Prudent Reserve	Total-All Components
PEI Statewide Funds assigned to CalMHSAs? (Y/N) YES											
1	Unspent Funds Available From Prior Fiscal Years¹										
	a Local Prudent Reserve									\$130,047	\$130,047
	b FY 2006-07 Funds				\$78,970						\$78,970
	c FY 2007-08 Funds				\$503,000	\$847,124					\$1,350,124
	d FY 2008-09 Funds			\$295,006		\$492,900	\$28,300				\$816,206
	e FY 2009-10 Funds			\$264,900			\$28,300				\$293,200
	f FY 2010-11 Funds			\$589,894			\$28,300				\$618,194
	g FY 2011-12 Funds			\$252,167			\$28,300				\$280,467
	h FY 2012-13 Funds	\$4,202,703	\$1,243,131	\$394,933							\$5,840,767
	i Cumulative Interest										\$0
	j TOTAL	\$4,202,703	\$1,243,131	\$1,796,900	\$581,970	\$1,340,024	\$113,200	\$0	\$0	\$130,047	\$9,407,975
2	MHSA Funds Revenue in FY 2013-14²										
	a Transfer of funds from the Local Prudent Reserve									\$0	\$0
	b FY 2013-14 MHSA Revenue Received	\$4,669,732	\$1,167,433	\$307,219							\$6,144,384
	c FY 2013-14 Interest Earned on MHSA Funds	\$12,034	\$6,589	\$3,658	\$3,583	\$5,129					\$30,993
	d TOTAL	\$4,681,766	\$1,174,022	\$310,877	\$3,583	\$5,129	\$0	\$0	\$0	\$0	\$6,175,377
3	Expenditure and Funding Sources for FY 2013-14³										
	A MHSA Funds										
	a FY 2006-07 MHSA Funds				\$60,680						\$60,680
	b FY 2007-08 MHSA Funds					\$446,061					\$446,061
	c FY 2008-09 MHSA Funds										\$0
	d FY 2009-10 MHSA Funds										\$0
	e FY 2010-11 MHSA Funds										\$0
	f FY 2011-12 MHSA Funds			\$157,748							\$157,748
	g FY 2012-13 MHSA Funds	\$4,202,703	\$753,478								\$4,956,181
	h FY 2013-14 MHSA Funds	\$1,047,108									\$1,047,108
	MHSA Net Expenditures Subtotal for FY 2013-14	\$5,249,811	\$753,478	\$157,748	\$60,680	\$446,061	\$0	\$0	\$0	\$0	\$6,667,778
	i Interest										\$0
	B Other Funds										
	a 1991 Realignment										\$0
	b Behavioral Health Subaccount	\$729,521									\$729,521
	c Other	\$5,392,649	\$334,978			\$587					\$5,728,214
	d TOTAL MHSA and Other Funds	\$11,371,981	\$1,088,456	\$157,748	\$60,680	\$446,648	\$0	\$0	\$0	\$0	\$13,125,513
	e Total Program Expenditures	\$11,371,981	\$1,088,456	\$157,748	\$60,680	\$446,648	\$0	\$0	\$0	\$0	\$13,125,513

NOTE TO COUNTY: Total Program Expenditures, 3(d), MUST match Total Expenditure Funding Sources, 3(e). If ERROR, recheck and correct.

PEI Statewide Funds assigned to CalMHSA? (Y/N)	YES
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Fiscal Year 2013-14	(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)	(J)
	Community Services and Supports	Prevention and Early Intervention	Innovation	Workforce Education and Training	Capital Facilities and Technological Needs	TTACB	WET Regional Partnerships	PEI Statewide Projects Funds	Prudent Reserve	Total-All Components
4 Transfers to Prudent Reserve, WET, CFTN⁴										
a FY 2011-12	\$0									\$0
b FY 2012-13	\$0									\$0
c FY 2013-14	\$0									\$0
5 Adjustments⁵										
a Local Prudent Reserve										\$0
b FY 2006-07 Funds										\$0
c FY 2007-08 Funds										\$0
d FY 2008-09 Funds										\$0
e FY 2009-10 Funds										\$0
f FY 2010-11 Funds										\$0
g FY 2011-12 Funds										\$0
h FY 2012-13 Funds										\$0
i FY 2013-14 Funds										\$0
j Interest										\$0
k TOTAL	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
6 Unspent Funds in the Local MHS Fund⁶										
a Local Prudent Reserve Balance									\$130,047	\$130,047
b FY 2006-07 Funds				\$18,290						\$18,290
c FY 2007-08 Funds				\$503,000	\$401,063					\$904,063
d FY 2008-09 Funds	\$0	\$0	\$295,006	\$0	\$492,900	\$28,300	\$0	\$0		\$816,206
e FY 2009-10 Funds	\$0	\$0	\$264,900	\$0	\$0	\$28,300	\$0	\$0		\$293,200
f FY 2010-11 Funds	\$0	\$0	\$589,894	\$0	\$0	\$28,300	\$0	\$0		\$618,194
g FY 2011-12 Funds	\$0	\$0	\$94,419	\$0	\$0	\$28,300	\$0	\$0		\$122,719
h FY 2012-13 Funds	\$0	\$489,653	\$394,933	\$0	\$0					\$884,586
i FY 2013-14 Funds	\$3,622,624	\$1,167,433	\$307,219	\$0	\$0					\$5,097,276
j Interest	\$12,034	\$6,589	\$3,658	\$3,583	\$5,129	\$0	\$0	\$0		\$30,993
k TOTAL	\$3,634,658	\$1,663,675	\$1,950,029	\$524,873	\$899,092	\$113,200	\$0	\$0	\$130,047	\$8,915,574

TABLE B ⁷	
Estimated FFP Revenue Generated In FY 2013-14	Amount
Federal Financial Participation (FFP)	\$2,529,392

RER Contact Person	
Name	Andrea Kuhlen
Title	Deputy Director
Phone	(442)265-1602
Email	andreakuhlen@co.imperial.ca.us

Annual Mental Health Services Act Revenue and Expenditure Report for
 Year 2013-14
 Adjustments Summary

Fiscal

County: _____

Date: 10/27/2015

FY	Amount	Reason For Adjustment
TOTAL	\$0	
	\$0	

NOTE TO COUNTY: Total Adjustments recorded in the Adjustments Summary worksheet MUST match Total Adjustments recorded on the RER Summary Worksheet. If ERROR, recheck and correct.

**Annual Mental Health Services Act Revenue and Expenditure Report
FY 2013-14**

END NOTES:

¹ Total unspent funds from prior fiscal years MUST match the Total Unspent Funds in the Local MHS Fund from prior year RER.

² DHCS will utilize the allocation report provided by the SCO and counties should utilize the same report when determining the total State MHS Fund revenue to be reported on the FY 2013-14 RER. The report is available at:

http://www.sco.ca.gov/ard_payments_mentalhealthservicefund.html

³ Expenditure funding sources for each component must equal the total program expenditures as reported on the Component Summary Worksheets.

⁴ WIC Section 5892(b) permits a County to use up to 20 percent of the average amount of funds allocated to the county for the previous five years to fund technological needs and capital facilities, human resource needs, and a prudent reserve. The amount of funds transferred from CSS will be reported in the CSS column as a negative amount. The funds transferred into WET, CFTN, or Prudent Reserve should be reflected as a positive amount. For each year reported, the amount transferred from CSS should equal zero when added to the funds transferred into WET, CFTN, or Prudent Reserve.

⁵ Payments from the MHS Fund should be reflected in the Adjustments section as a negative amount. Receipts into the MHS Fund should be reflected in the Adjustments section as a positive amount.

⁶ Total Unspent funds in the Local MHS Fund will auto populate for each Fiscal Year.

⁷ The FFP amount represents the estimated FFP revenue generated in FY 2013-14 and attributable to MHS funds.