

# Annual Mental Health Services Act Revenue and Expenditure Report

This PDF document is a copy of the Annual Revenue and Expenditure Report (ARER) which is submitted by County Mental Health Plans (usually, the County Behavioral Health Department) to the Department of Health Care Services and the MHSOAC. This document is made available to the public through the Fiscal Reporting tool created by the MHSOAC to support fiscal transparency. The Fiscal Reporting tool is based on the data submitted by counties through Annual Revenue and Expenditure Reports.

Annual Revenue and Expenditure Reports can also be downloaded from the [DHCS website \(http://www.dhcs.ca.gov/services/MH/Pages/Annual-Revenue-and-Expenditure-Reports-by-County.aspx\)](http://www.dhcs.ca.gov/services/MH/Pages/Annual-Revenue-and-Expenditure-Reports-by-County.aspx)

The following report provides annual point-in-time estimates (similar to a checkbook balance) of County MHSAs revenues received and expended for each component of the MHSAs, as well as end of year closing balances. The reports are intended to represent a snapshot of County MHSAs financial information as of June 30th, the last day of each fiscal year. Counties emphasize that the ARER reports are difficult to tie to their official, audited financial statements and should be interpreted with caution.

Financial summaries presented in this report are broken down by the following MHSAs components:

- Community Services and Supports (CSS)
- Prevention and Early Intervention (PEI)
- Innovation (INN)
- Workforce Education & Training (WET)
- Capital Facility & Technological Needs (CFTN)
- Other MHSAs components

Annual Mental Health Services Act Revenue and Expenditure Report  
FY 2014-15 Summary

TABLE A

COUNTY: LAKE

DATE: 6/13/2018

PEI Statewide Funds assigned to CalMHSA? (Y/N)											
Fiscal Year 2014-15	(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)	(J)	(K)
	Community Services and Supports	Prevention and Early Intervention	Innovation	Workforce Education and Training	Capital Facilities and Technological Needs	TTACB	WET Regional Partnerships	PEI Statewide Projects Funds	Unencumbered Housing Funds	Prudent Reserve	Total-All Components
<b>1 Unspent Funds Available From Prior Fiscal Years<sup>1</sup></b>											
a Local Prudent Reserve										\$1,145,839	\$1,145,839
b FY 2006-07 Funds				\$0							\$0
c FY 2007-08 Funds				\$0	\$0						\$0
d FY 2008-09 Funds	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0			\$0
e FY 2009-10 Funds	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0			\$0
f FY 2010-11 Funds	\$0	\$0	\$0	\$293,419	\$450,275	\$0	\$0	\$0			\$743,694
g FY 2011-12 Funds	\$0	\$0	\$0	\$4,578	\$5,947	\$0	\$0	\$0			\$10,525
h FY 2012-13 Funds	\$1,301,333	\$596,885	\$39,387	\$0	\$0						\$1,937,605
i FY 2013-14 Funds	\$0	\$0	\$127,900	\$0	\$0						\$127,900
j Cumulative Interest	\$9,168	\$2,564	\$693	\$729	\$948	\$29	\$0	\$0			\$14,131
<b>k TOTAL</b>	<b>\$1,310,501</b>	<b>\$599,449</b>	<b>\$167,980</b>	<b>\$298,726</b>	<b>\$457,170</b>	<b>\$29</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$1,145,839</b>	<b>\$3,979,694</b>
<b>2 MHSA Funds Revenue in FY 2014-15<sup>2</sup></b>											
a Transfer of funds from the Local Prudent Reserve	\$0	\$0								\$0	\$0
b FY 2014-15 MHSA Revenue Received	\$2,671,223	\$667,806	\$175,738				\$0		\$0		\$3,514,767
c FY 2014-15 Interest Earned on MHSA Funds	\$2,612	\$653	\$172								\$3,437
<b>d TOTAL</b>	<b>\$2,673,835</b>	<b>\$668,459</b>	<b>\$175,910</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$3,518,205</b>
<b>3 Expenditure and Funding Sources for FY 2014-15<sup>3</sup></b>											
<b>A MHSA Funds</b>											
a FY 2006-07 MHSA Funds											\$0
b FY 2007-08 MHSA Funds											\$0
c FY 2008-09 MHSA Funds											\$0
d FY 2009-10 MHSA Funds											\$0
e FY 2010-11 MHSA Funds											\$0
f FY 2011-12 MHSA Funds						\$8,900		\$27,028			\$35,928
g FY 2012-13 MHSA Funds											\$0
h FY 2013-14 MHSA Funds	\$0		\$0	\$0	\$0						\$0
i FY 2014-15 MHSA Funds	\$1,534,543	\$182,534	\$21,739	\$97,049	\$253,997		\$0		\$0		\$2,089,862
<b>MHSA Net Expenditures Subtotal for FY 2014-15</b>	<b>\$1,534,543</b>	<b>\$182,534</b>	<b>\$21,739</b>	<b>\$97,049</b>	<b>\$253,997</b>	<b>\$8,900</b>	<b>\$0</b>	<b>\$27,028</b>	<b>\$0</b>		<b>\$2,125,790</b>
j Interest											\$0
<b>B Other Funds</b>											
a 1991 Realignment											\$0
b Behavioral Health Subaccount											\$0
c Other											\$0
<b>d TOTAL MHSA and Other Funds</b>	<b>\$1,534,543</b>	<b>\$182,534</b>	<b>\$21,739</b>	<b>\$97,049</b>	<b>\$253,997</b>	<b>\$8,900</b>	<b>\$0</b>	<b>\$27,028</b>	<b>\$0</b>		<b>\$2,125,790</b>
<b>e Total Program Expenditures</b>	<b>\$1,534,543</b>	<b>\$182,534</b>	<b>\$21,739</b>	<b>\$97,049</b>	<b>\$253,997</b>	<b>\$8,900</b>	<b>\$0</b>	<b>\$27,028</b>	<b>\$0</b>		<b>\$2,125,790</b>

NOTE TO COUNTY: Total Program Expenditures, 3(d), MUST match Total Expenditure Funding Sources, 3(e). If ERROR, recheck and correct.

PEI Statewide Funds assigned to CalMHSA? (Y/N)

Fiscal Year 2014-15	(A) Community Services and Supports	(B) Prevention and Early Intervention	(C) Innovation	(D) Workforce Education and Training	(E) Capital Facilities and Technological Needs	(F) TTACB	(G) WET Regional Partnerships	(H) PEI Statewide Projects Funds	(I) Unencumbered Housing Funds	(J) Prudent Reserve	(K) Total-All Components
<b>4 Transfers to Prudent Reserve, WET, CFTN<sup>4</sup></b>											
a FY 2012-13	\$0										\$0
b FY 2013-14	\$0										\$0
c FY 2014-15	\$0										\$0
<b>5 Adjustments<sup>5</sup></b>											
a Local Prudent Reserve											\$0
b FY 2006-07 Funds											\$0
c FY 2007-08 Funds											\$0
d FY 2008-09 Funds											\$0
e FY 2009-10 Funds											\$0
f FY 2010-11 Funds											\$0
g FY 2011-12 Funds											\$0
h FY 2012-13 Funds											\$0
i FY 2013-14 Funds											\$0
j FY 2014-15 Funds											\$0
k Interest											\$0
<b>l TOTAL</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>6 Unspent Funds in the Local MHS Fund<sup>6</sup></b>											
a Local Prudent Reserve Balance										\$1,145,839	\$1,145,839
b FY 2006-07 Funds				\$0							\$0
c FY 2007-08 Funds				\$0	\$0						\$0
d FY 2008-09 Funds	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0			\$0
e FY 2009-10 Funds	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0			\$0
f FY 2010-11 Funds	\$0	\$0	\$0	\$293,419	\$450,275	\$0	\$0	\$0			\$743,694
g FY 2011-12 Funds	\$0	\$0	\$0	\$4,578	\$5,947	-\$8,900	\$0	-\$27,028			-\$25,403
h FY 2012-13 Funds	\$1,301,333	\$596,885	\$39,387	\$0	\$0						\$1,937,605
i FY 2013-14 Funds	\$0	\$0	\$127,900	\$0	\$0						\$127,900
j FY 2014-15 Funds	\$1,136,680	\$485,272	\$153,999	-\$97,049	-\$253,997		\$0		\$0		\$1,424,906
k Interest	\$11,780	\$3,217	\$865	\$729	\$948	\$29	\$0	\$0	\$0		\$17,568
<b>l TOTAL</b>	<b>\$2,449,793</b>	<b>\$1,085,374</b>	<b>\$322,151</b>	<b>\$201,677</b>	<b>\$203,173</b>	<b>-\$8,871</b>	<b>\$0</b>	<b>-\$27,028</b>	<b>\$0</b>	<b>\$1,145,839</b>	<b>\$5,372,109</b>

Estimated FFP Revenue Generated In FY 2014-15	Amount
Federal Financial Participation (FFP)	\$587,986

RER Contact Person	
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**Annual Mental Health Services Act Revenue and Expenditure Report for  
Fiscal Year 2014-15  
Community Services and Supports (CSS) Summary**

County: LAKE

Date: 6/13/2018

Community Services and Supports Component	Total (Gross) Mental Health Expenditures
<b>FSP Programs</b>	
1 Full Service Partnership	\$833,419
2	
3	
4	
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16	
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19	
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21	
22	
23	
24	
25	
Subtotal FSP Programs	\$833,419
<b>Non-FSP Programs</b>	
1 General Systems Development	\$468,277
2 Outreach and Engagement	\$42,796
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	
13	
14	
15	
Subtotal Non-FSP Programs	\$511,073
<b>Total FSP and Non-FSP Programs</b>	\$1,344,492
<b>CSS Evaluation</b>	
<b>CSS Administration</b>	\$190,051
<b>CSS MHA Housing Program Assigned Funds</b>	
<b>Total CSS Expenditures</b>	\$1,534,543

**Annual Mental Health Services Act Revenue and Expenditure Report for  
Fiscal Year 2014-15  
Prevention and Early Intervention (PEI) Summary**

County: LAKE

Date:

6/13/2018

Prevention and Early Intervention Component	(A) Total (Gross) Mental Health Expenditures
<b>PEI Programs-Prevention</b>	
1 Wellness and Recovery Centers	\$96,718
2 TAY Peer Support	\$36,988
3 Prevention Mini-Grants	\$23,084
4	
5	
6	
7	
8	
9	
10	
11	
12	
13	
14	
15	
Subtotal PEI Programs-Prevention	\$156,790
<b>PEI Programs-Early Intervention</b>	
1 Early Intervention Services	\$3,655
2 Older Adult Screening	\$22,089
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	
13	
14	
15	
Subtotal PEI Programs-Prevention	\$25,744
<b>PEI Programs-Other</b>	
1	
2	
3	
Subtotal PEI Programs-Other	\$0
<b>Subtotal PEI Programs-Prevention &amp; Early Intervention and Other</b>	\$182,534
<b>PEI Evaluation</b>	\$0
<b>PEI Administration</b>	\$0
<b>Total PEI Expenditures</b>	\$182,534

**Annual Mental Health Services Act Revenue and Expenditure Report for  
Fiscal Year 2014-15  
Innovation (INN) Summary**

County: LAKE

Date:

6/13/2018

	(A)
Innovation Component	Total (Gross) Mental Health Expenditures
<b>Innovation Programs</b>	
1 Community Program Planning	\$21,739
2	\$0
3	\$0
4	\$0
5	\$0
6	\$0
7	\$0
8	\$0
9	\$0
10	\$0
11	\$0
12	\$0
13	\$0
14	\$0
15	\$0
16	\$0
17	\$0
18	\$0
19	\$0
20	\$0
21	\$0
22	\$0
23	\$0
24	\$0
25	\$0
<b>Subtotal</b>	\$21,739
<b>Innovation Evaluation</b>	\$0
<b>Innovation Administration</b>	\$0
<b>Total Innovation Expenditures</b>	\$21,739

**Annual Mental Health Services Act Revenue and Expenditure Report for  
Fiscal Year 2014-15  
Workforce Education and Training (WET) Summary**

**County:** LAKE **Date:** 6/13/2018

	<b>(A)</b>
<b>Workforce Education and Training Component</b>	<b>Total (Gross) Mental Health Expenditures</b>
<b>WET Funding Category</b>	
Workforce Staffing Support	\$72
Training and Technical Assistance	\$96,977
Mental Health Career Pathways Programs	
Residency and Internship Programs	
Financial Incentive Programs	
<b>Total WET Programs</b>	\$97,049
<b>WET Administration</b>	\$0
<b>Total WET Expenditures</b>	\$97,049

**Annual Mental Health Services Act Revenue and Expenditure Report  
Fiscal Year 2014-15  
Capital Facilities/Technological Needs (CF/TN) Summary**

**County:** LAKE **Date:** 6/13/2018

	(A)
<b>Capital Facility/Technological Needs Projects</b>	<b>Total (Gross) Mental Health Expenditures</b>
<b>Capital Facility Projects</b>	
1 Clinic Renovation	\$253,997
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	
<b>Total CF Projects</b>	\$253,997
<b>Capital Facility Administration</b>	
<b>Total Capital Facility Expenditures</b>	\$253,997
<b>Technological Needs Projects</b>	
1	
2	
3	
4	
5	
6	
7	
8	
9	
10	
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12	
13	
<b>Total TN Projects</b>	\$0
<b>Technological Needs Administration</b>	
<b>Total Technological Needs Expenditures</b>	\$0
<b>Total CFTN Expenditures</b>	\$253,997



**Annual Mental Health Services Act Revenue and Expenditure Report**  
**Fiscal Year 2014-15**  
**Other MHSA Funds Summary**

County: LAKE

Date:

6/13/2018

	(A) Total (Gross) Expenditures
Training, Technical Assistance and Capacity Building	\$8,900
WET Regional Partnerships	
PEI Statewide Projects	\$27,028

**Annual Mental Health Services Act Revenue and Expenditure Report  
Fiscal Year 2014-15**

**Unencumbered Housing Funds Summary**

**County:** LAKE **Date:** 6/13/2018

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	<b>(A)</b>
	<b>Total (Gross) Expenditures</b>
<b>Unencumbered Housing Funds</b>	

**Annual Mental Health Services Act Revenue and Expenditure Report for  
Year 2014-15  
Adjustments Summary**

Fiscal

**County:** \_\_\_\_\_

**Date:** 6/13/2018

FY	Amount	Reason For Adjustment
TOTAL	\$0	
	\$0	

**NOTE TO COUNTY:** Total Adjustments recorded in the Adjustments Summary worksheet **MUST** match Total Adjustments recorded on the RER Summary Worksheet. If ERROR, recheck and correct.