

# Annual Mental Health Services Act Revenue and Expenditure Report

This PDF document is a copy of the Annual Revenue and Expenditure Report (ARER) which is submitted by County Mental Health Plans (usually, the County Behavioral Health Department) to the Department of Health Care Services and the MHSOAC. This document is made available to the public through the Fiscal Reporting tool created by the MHSOAC to support fiscal transparency. The Fiscal Reporting tool is based on the data submitted by counties through Annual Revenue and Expenditure Reports.

Annual Revenue and Expenditure Reports can also be downloaded from the [DHCS website \(http://www.dhcs.ca.gov/services/MH/Pages/Annual-Revenue-and-Expenditure-Reports-by-County.aspx\)](http://www.dhcs.ca.gov/services/MH/Pages/Annual-Revenue-and-Expenditure-Reports-by-County.aspx)

The following report provides annual point-in-time estimates (similar to a checkbook balance) of County MHSAs revenues received and expended for each component of the MHSAs, as well as end of year closing balances. The reports are intended to represent a snapshot of County MHSAs financial information as of June 30th, the last day of each fiscal year. Counties emphasize that the ARER reports are difficult to tie to their official, audited financial statements and should be interpreted with caution.

Financial summaries presented in this report are broken down by the following MHSAs components:

- Community Services and Supports (CSS)
- Prevention and Early Intervention (PEI)
- Innovation (INN)
- Workforce Education & Training (WET)
- Capital Facility & Technological Needs (CFTN)
- Other MHSAs components

**Annual Mental Health Services Act Revenue and Expenditure Report for  
Fiscal Year 2012-13**

County: Marin

Date:

3/9/2015

Community Services and Supports Component	Total (Gross) Mental Health Expenditures
<b>FSP Programs</b>	
1 FSP 01 - Children System of Care (CSOC)	\$683,318
2 FSP 02 - Transitional Age Youth (TAY)	\$480,519
3 FSP 03 - Support in Transition After Release (STAR)	\$357,473
4 FSP 04 - Helping Older People Excel - HOPE	\$684,632
5 FSP 05 - Homeless - Odyssey programs	\$1,405,649
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Subtotal FSP Programs	\$3,611,590
<b>Non-FSP Programs</b>	
1 SDOE 01 - Enterprise Resource Center Expansion (ERC Ex)	\$439,859
2 SDOE 04 - Souther Marin Regional Services Site (SMSS)	\$438,451
3 SDOE 07 - Adult System of Care (ASOC)	\$304,929
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8	
Subtotal Non-FSP Programs	\$1,183,239
<b>Total FSP and Non-FSP Programs</b>	\$4,794,830
<b>CSS Evaluation</b>	
<b>CSS Administration</b>	\$566,746
<b>CSS MHSA Housing Program Assigned Funds</b>	
<b>Total CSS Expenditures</b>	\$5,361,575

**Year 2012-13  
Prevention and Early Intervention (PEI) Summary**

County: Marin

Date:

3/9/2015

<b>Prevention and Early Intervention Component</b>	<b>(A) Total (Gross) Mental Health Expenditures</b>
<b>PEI Programs-Prevention</b>	
1 Early Childhood MH Consult (ECMH-PEI-1)	\$229,560
2 Triple P (Positive Parenting Program-PEI-2)	\$104,581
3 Across the Ages Mentoring (PEI-3)	\$57,421
4 Canal Community-Based Prevention (PEI-5)	\$87,609
5 Older Adult (PEI-7) 50%	\$52,095
6 Client Choice & Hosp. Prevention Program	\$64,480
7 Vietnamese Community Training	\$27,994
8 Mental Health Community Training	\$27,205
9 Teen Screen	\$7,458
10 Mental Health Community Coalitions	\$21,875
11 Mental Health Community Advocates	\$65,197
12 Legal Aid	\$19,686
13 Transition Age Youth (TAY-PEI-4) 50%	\$89,369
14 Integrated Behavioral Health & Primary Care (PEI-	\$230,568
Subtotal PEI Programs-Prevention	\$1,085,098
<b>PEI Programs-Early Intervention</b>	
15 Older Adult (PEI-7) 50%	\$52,095
16 Transition Age Youth (TAY-PEI-4) 50%	\$89,369
17 Integrated Behavioral Health & Primary Care (PEI-	\$230,568
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19	
20	
21	
22	
23	
Subtotal PEI Programs-Early Intervention	\$372,032
<b>Total PEI Programs</b>	<b>\$1,457,130</b>
<b>PEI Evaluation</b>	<b>\$72,349</b>
<b>PEI Administration</b>	<b>\$180,927</b>
<b>Total PEI Expenditures</b>	<b>\$1,710,406</b>

**Fiscal Year 2012-13  
Innovation (INN) Summary**

County: Marin

Date:

3/9/2015

Innovation Component	(A) Total (Gross) Mental Health Expenditures
<b>Innovation Programs</b>	
1	\$0
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<b>Total INN Programs</b>	\$0
<b>Innovation Evaluation</b>	
<b>Innovation Administration</b>	
<b>Total Innovation Expenditures</b>	<b>\$0</b>

**Fiscal Year 2012-13  
Workforce Education and Training (WET) Summary**

**County:** Marin **Date:** 3/9/2015

<b>Workforce Education and Training Component</b>	<b>(A) Total (Gross) Mental Health Expenditures</b>
<b>WET Funding Category</b>	
Workforce Staffing Support	\$69,036
Training and Technical Assistance	\$22,167
Mental Health Career Pathways Programs	\$1,000
Residency and Internship Programs	\$87,210
Financial Incentive Programs	
<b>Total WET Programs</b>	<b>\$179,413</b>
<b>WET Administration</b>	
<b>Total WET Expenditures</b>	<b>\$179,413</b>

**Fiscal Year 2012-13  
Capital Facilities/Technological Needs (CF/TN) Summary**

County: Marin

Date:

3/9/2015

	(A)
Capital Facility/Technological Needs Projects	Total (Gross) Mental Health Expenditures
<b>Capital Facility Projects</b>	
1	
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12	
<b>Total CF Projects</b>	\$0
<b>Capital Facility Administration</b>	\$0
<b>Total Capital Facility Expenditures</b>	\$0
<b>Technological Needs Projects</b>	
1 MR-01 IT Planning Consultant	
2 MR-02 Practice Management	\$12,737
3 MR-03 Scanning	\$3,740
4 MR-04 E-Prescribing	\$11,561
5 MR-05 Electronic Health Record Upgrade	\$1,870
6 MR-06 Consumer Family Empowerment	\$17,474
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13	
<b>Total TN Projects</b>	\$47,382
<b>Technological Needs Administration</b>	\$5,398
<b>Total Technological Needs Expenditures</b>	\$52,780
<b>Total CFTN Expenditures</b>	\$52,780

**Fiscal Year 2012-13  
TTACB, WET RP & PEI SWP Summary**

County: Marin

Date:

3/9/2015

	(A) Total (Gross) Expenditures
Training, Technical Assistance and Capacity	\$33,206
WET Regional Partnerships	
PEI Statewide Projects	\$234,623

**Annual Mental Health Services Act Revenue and Expenditure Report  
FY 2012-13 Summary**

**TABLE A**

COUNTY: Marin

DATE: 3/9/2015

PEI Statewide Funds assigned to CalMHSA? (Y/N) Yes

Fiscal Year 2012-13	(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)	(K)
	Community Services and Supports	Prevention and Early Intervention	Innovation	Workforce Education and Training	Capital Facilities and Technological Needs	TTACB	WET Regional Partnerships	PEI Statewide Projects Funds	Prudent Reserve	Total-All Components
<b>1 Unspent Funds Available From Prior Fiscal Years<sup>1</sup></b>										
a Local Prudent Reserve									\$2,175,490	\$2,175,490
b FY 2006-07 Funds										\$0
c FY 2007-08 Funds										\$0
d FY 2008-09 Funds										\$0
e FY 2009-10 Funds				\$89,871	\$180,954					\$270,825
f FY 2010-11 Funds	\$219,540	\$1,154,537	\$1,458,561	\$300,200	\$1,085,740	\$24,225		\$332,486		\$4,575,289
g FY 2011-12 Funds	\$4,219,100	\$1,031,600	\$276,100			\$34,600		\$444,800		\$6,006,200
h Interest	\$368,375	\$39,449	\$14,770	\$6,261	\$20,459	\$860		\$1,065		\$451,239
i TOTAL	\$4,807,015	\$2,225,586	\$1,749,431	\$396,332	\$1,287,153	\$59,685	\$0	\$778,351	\$2,175,490	\$13,479,043
<b>2 MHSA Funds Revenue in FY 2012-13</b>										
a Transfer of funds from the Local Prudent Reserve									\$0	\$0
b Revenue received from the State MHSA Fund <sup>2</sup>										
1 FY 2012-13 MHSA Funds	\$6,849,151	\$1,712,288	\$450,602							\$9,012,041
c Interest Earned on MHSA Funds	\$16,323	\$4,081	\$1,074					\$8,993		\$30,471
d TOTAL	\$6,865,474	\$1,716,369	\$451,676	\$0	\$0	\$0	\$0	\$8,993	\$0	\$9,042,512
<b>3 Expenditure and Funding Sources for FY 2012-13<sup>3</sup></b>										
a FY 2006-07 MHSA Funds										\$0
b FY 2007-08 MHSA Funds										\$0
c FY 2008-09 MHSA Funds										\$0
d FY 2009-10 MHSA Funds				\$89,871	\$52,780					\$142,651
e FY 2010-11 MHSA Funds	\$219,540	\$1,154,537		\$89,542		\$24,225				\$1,487,844
f FY 2011-12 MHSA Funds	\$4,219,100	\$555,869				\$8,981		\$234,623		\$5,018,573
g FY 2012-13 MHSA Funds	\$922,935		\$0							\$922,935
h Interest										\$0
i 1991 Realignment										\$0
j Behavioral Health Subaccount										\$0
k Other										\$0
l TOTAL	\$5,361,575	\$1,710,406	\$0	\$179,413	\$52,780	\$33,206	\$0	\$234,623		\$7,572,003
m Total Program Expenditures	\$5,361,575	\$1,710,406	\$0	\$179,413	\$52,780	\$33,206	\$0	\$234,623		\$7,572,003

NOTE TO COUNTY: Total Program Expenditures, 3(l), MUST match Total Expenditure Funding Sources, 3(k). If ERROR, recheck and correct.



COUNTY: Marin

DATE: 3/9/2015

PEI Statewide Funds assigned to CalMHSA? (Y/N)	Yes
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Fiscal Year 2012-13	(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)	(K)
	Community Services and Supports	Prevention and Early Intervention	Innovation	Workforce Education and Training	Capital Facilities and Technological Needs	TTACB	WET Regional Partnerships	PEI Statewide Projects Funds	Prudent Reserve	Total-All Components
<b>4 Transfers to Prudent Reserve, WET, CFTN<sup>4</sup></b>										
a FY 2010-11	\$0									\$0
b FY 2011-12	\$0									\$0
c FY 2012-13	\$0									\$0
<b>5 Adjustments<sup>5</sup></b>										
a Local Prudent Reserve										\$0
b FY 2006-07 Funds										\$0
c FY 2007-08 Funds										\$0
d FY 2008-09 Funds										\$0
e FY 2009-10 Funds										\$0
f FY 2010-11 Funds										\$0
g FY 2011-12 Funds										\$0
h FY 2012-13 Funds										\$0
i Interest										\$0
j TOTAL	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
<b>6 Unspent Funds in the Local MHS Fund<sup>6</sup></b>										
a Local Prudent Reserve Balance									\$2,175,490	\$2,175,490
b FY 2006-07 Funds				\$0						\$0
c FY 2007-08 Funds				\$0	\$0					\$0
d FY 2008-09 Funds	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0		\$0
e FY 2009-10 Funds	\$0	\$0	\$0	\$0	\$128,174	\$0	\$0	\$0		\$128,174
f FY 2010-11 Funds	\$0	\$0	\$1,458,561	\$210,658	\$1,085,740	\$0	\$0	\$332,486		\$3,087,445
g FY 2011-12 Funds	\$0	\$475,731	\$276,100	\$0	\$0	\$25,619	\$0	\$210,177		\$987,627
h FY 2012-13 Funds	\$5,926,216	\$1,712,288	\$450,602	\$0	\$0					\$8,089,106
i Interest	\$384,698	\$43,530	\$15,844	\$6,261	\$20,459	\$860	\$0	\$10,058		\$481,710
j TOTAL	\$6,310,914	\$2,231,549	\$2,201,107	\$216,919	\$1,234,373	\$26,479	\$0	\$552,721	\$2,175,490	\$14,949,552

TABLE B <sup>7</sup>	
Estimated FFP Revenue Generated In FY 2012-13	Amount
Federal Financial Participation (FFP)	\$1,356,551

RER Contact Person	
Name	Shahrzad Momenzadeh
Title	Accountant II
Phone	(415) 473 - 6935
Email	smomenzadeh@marincounty.org

Annual Mental Health Services Act Revenue and Expenditure Report for Fiscal Year 2012-13  
Adjustments Summary

County: Marin

Date: 3/9/2015

FY	Amount	Reason For Adjustment
TOTAL	\$0	
	\$0	

NOTE TO COUNTY: Total Adjustments in cell B22 MUST match Total Adjustments in cell P55 on the RER Summary Worksheet. If ERROR, recheck and correct.

**Annual Mental Health Services Act Revenue and Expenditure Report  
FY 2012-13**

**END NOTES:**

- <sup>1</sup> Total unspent funds from prior fiscal years MUST match the Total Unspent Funds in the Local MHS Fund from prior year RER.
- <sup>2</sup> DHCS will utilize the allocation report provided by the SCO and counties should utilize the same report when reporting MHSA revenue. The report is available at: [http://www.sco.ca.gov/ard\\_payments\\_mentalhealthservicefund.html](http://www.sco.ca.gov/ard_payments_mentalhealthservicefund.html)
- <sup>3</sup> Expenditure funding sources for each component must equal the total program expenditures as reported on the Component Summary Worksheets.
- <sup>4</sup> WIC Section 5892(b) permits a County to use up to 20 percent of the average amount of funds allocated to the county for the previous five years to fund technological needs and capital facilities, human resource needs, and a prudent reserve. The amount of funds transferred from CSS will be reported in the CSS column as a negative amount. The funds transferred into WET, CFTN, or Prudent Reserve should be reflected as a positive amount. For each year reported, the amount transferred from CSS should equal zero when added to the funds transferred into WET, CFTN, or Prudent Reserve.
- <sup>5</sup> Payments from the MHSA Fund should be reflected in the Adjustments section as a negative amount. Receipts into the MHSA Fund should be reflected in the Adjustments section as a positive amount.
- <sup>6</sup> Total Unspent in the Local MHS Fund will auto populate for each Fiscal Year.
- <sup>7</sup> The FFP amount represents the estimated FFP revenue generated in FY 2012-13 and attributable to MHSA funds.