

Annual Mental Health Services Act Revenue and Expenditure Report

This PDF document is a copy of the Annual Revenue and Expenditure Report (ARER) which is submitted by County Mental Health Plans (usually, the County Behavioral Health Department) to the Department of Health Care Services and the MHSOAC. This document is made available to the public through the Fiscal Reporting tool created by the MHSOAC to support fiscal transparency. The Fiscal Reporting tool is based on the data submitted by counties through Annual Revenue and Expenditure Reports.

Annual Revenue and Expenditure Reports can also be downloaded from the [DHCS website \(http://www.dhcs.ca.gov/services/MH/Pages/Annual-Revenue-and-Expenditure-Reports-by-County.aspx\)](http://www.dhcs.ca.gov/services/MH/Pages/Annual-Revenue-and-Expenditure-Reports-by-County.aspx)

The following report provides annual point-in-time estimates (similar to a checkbook balance) of County MHSAs revenues received and expended for each component of the MHSAs, as well as end of year closing balances. The reports are intended to represent a snapshot of County MHSAs financial information as of June 30th, the last day of each fiscal year. Counties emphasize that the ARER reports are difficult to tie to their official, audited financial statements and should be interpreted with caution.

Financial summaries presented in this report are broken down by the following MHSAs components:

- Community Services and Supports (CSS)
- Prevention and Early Intervention (PEI)
- Innovation (INN)
- Workforce Education & Training (WET)
- Capital Facility & Technological Needs (CFTN)
- Other MHSAs components

**Annual Mental Health Services Act Revenue and Expenditure Report for
Fiscal Year 2013-14
Community Services and Supports (CSS) Summary**

County: **Marin** Date: **9/21/2015**

Community Services and Supports Component	(A) Total (Gross) Mental Health Expenditures
FSP Programs	
1 FSP 01 - Children System of Care (CSOC)	\$759,591
2 FSP 02 - Transitional Age Youth (TAY)	\$491,979
3 FSP 03 - Support and Treatment After Release (STAR)	\$367,504
4 FSP 04 - Helping Older People Excel - HOPE	\$667,168
5 FSP 05 - Homeless - Odyssey Programs	\$1,441,205
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Subtotal FSP Programs	\$3,727,447
Non-FSP Programs	
1 SDOE 01 - Enterprise Resource Center Expansion (ERC E	\$325,794
2 SDOE 04 - Souther Marin Site Services (SMSS)	\$476,726
3 SDOE 07 - Adult System of Care (ASOC)	\$318,083
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Subtotal Non-FSP Programs	\$1,120,603
Total FSP and Non-FSP Programs	\$4,848,050
CSS Evaluation	
CSS Administration	\$668,553
CSS MHSA Housing Program Assigned Funds	
Total CSS Expenditures	\$5,516,603

**Annual Mental Health Services Act Revenue and Expenditure Report for
Fiscal Year 2013-14
Prevention and Early Intervention (PEI) Summary**

County: Marin

Date:

9/21/2015

Prevention and Early Intervention Component	(B) Total (Gross) Mental Health Expenditures
PEI Programs-Prevention	
1 Early Childhood MH Consultation (ECMH-PEI-1)	\$233,961
2 Triple P (Positive Parenting Program-PEI-2)	\$112,136
3 Across Ages Mentoring (PEI-3)	\$57,612
4 Canal Community-Based Prevention (PEI-5)	\$163,353
5 Older Adult (PEI-7) 50%	\$53,643
6 Client Choice & Hosp. Prevention Program: Crisis Panning	\$71,808
7 Vietnamese Community Training	\$56,807
8 Mental Health Community Training	\$37,840
9 Teen Screen	\$20,375
10 Mental Health Community Coalitions	\$22,043
11 MH Community Health Advocates	\$0
12 Legal Aid	\$35,751
13 Transitional Age Youth (TAY-PEI-4) 50%	\$82,838
14 Integrated Behavioral Health & Primary Care (PEI-6) 50%	\$253,119
15 Southern Marin Multi-Disiplinary Team	\$41,498
Subtotal PEI Programs-Prevention	\$1,242,784
PEI Programs-Early Intervention	
1 Older Adult (PEI-7) 50%	\$53,643
2 Transition Age Youth (TAY-PEI-4) 50%	\$82,838
3 Integrated Behavioral Health & Primary Care (PEI-6) 50%	\$253,119
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Subtotal PEI Programs-Prevention	\$389,600
PEI Programs-Other	
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3	
Subtotal PEI Programs-Other	\$0
Subtotal PEI Programs-Prevention & Early Intervention and Other	\$1,632,384
PEI Evaluation	\$60,100
PEI Administration	\$234,674
Total PEI Expenditures	\$1,927,158

**Annual Mental Health Services Act Revenue and Expenditure Report for
Fiscal Year 2013-14
Innovation (INN) Summary**

County: Marin

Date:

9/21/2015

Innovation Component	(C) Total (Gross) Mental Health Expenditures
Innovation Programs	
1 Client Choice & Hospital Prevention Program	\$672,473
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Subtotal	\$672,473
Innovation Evaluation	\$0
Innovation Administration	\$91,853
Total Innovation Expenditures	\$764,326

**Annual Mental Health Services Act Revenue and Expenditure Report for
Fiscal Year 2013-14
Workforce Education and Training (WET) Summary**

County: Marin **Date:** 9/21/2015

Workforce Education and Training Component	(D) Total (Gross) Mental Health Expenditures
WET Funding Category	
Workforce Staffing Support	\$11,930
Training and Technical Assistance	\$20,342
Mental Health Career Pathways Programs	
Residency and Internship Programs	\$14,300
Financial Incentive Programs	
Total WET Programs	\$46,572
WET Administration	
Total WET Expenditures	\$46,572

**Annual Mental Health Services Act Revenue and Expenditure Report
Fiscal Year 2013-14
Capital Facilities/Technological Needs (CF/TN) Summary**

County: Marin

Date:

9/21/2015

	(E)
Capital Facility/Technological Needs Projects	Total (Gross) Mental Health Expenditures
Capital Facility Projects	
1	
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Total CF Projects	\$0
Capital Facility Administration	
Total Capital Facility Expenditures	\$0
Technological Needs Projects	
1 MR-01 IT Planning Consultant	
2 MR-02 Practice Management	\$30,299
3 MR-03 Scanning	
4 MR-04 E-Prescribing	\$11,700
5 MR-05 Electronic Health Record Upgrade	\$19,812
6 MR-06 Consumer Family Empowerment	\$16,824
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13	
Total TN Projects	\$78,635
Technological Needs Administration	\$10,741
Total Technological Needs Expenditures	\$89,376
Total CFTN Expenditures	\$89,376

**Annual Mental Health Services Act Revenue and Expenditure Report
Fiscal Year 2013-14
Other MHSA Funds Summary**

County: Marin**Date:**

9/21/2015

	(F) Total (Gross) Expenditures
Training, Technical Assistance and Capacity Building	\$25,619
WET Regional Partnerships	
PEI Statewide Projects	\$368,493

Annual Mental Health Services Act Revenue and Expenditure Report
FY 2013-14 Summary

TABLE A

COUNTY: Marin

DATE: 9/21/2015

PEI Statewide Funds assigned to CalMHSA? (Y/N)	Y
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Fiscal Year 2013-14	(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)	(J)
	Community Services and Supports	Prevention and Early Intervention	Innovation	Workforce Education and Training	Capital Facilities and Technological Needs	TTACB	WET Regional Partnerships	PEI Statewide Projects Funds	Prudent Reserve	Total-All Components
1 Unspent Funds Available From Prior Fiscal Years¹										
a Local Prudent Reserve									\$2,175,490	\$2,175,490
b FY 2006-07 Funds										\$0
c FY 2007-08 Funds										\$0
d FY 2008-09 Funds										\$0
e FY 2009-10 Funds					\$128,174					\$128,174
f FY 2010-11 Funds			\$1,458,561	\$210,658	\$1,085,740					\$2,754,959
g FY 2011-12 Funds		\$475,731	\$276,100			\$25,619		\$542,663		\$1,320,113
h FY 2012-13 Funds	\$5,926,216	\$1,712,288	\$450,602							\$8,089,106
i Cumulative Interest	\$384,698	\$43,530	\$15,844	\$6,261	\$20,459	\$860		\$10,058		\$481,710
j TOTAL	\$6,310,914	\$2,231,549	\$2,201,107	\$216,919	\$1,234,373	\$26,479	\$0	\$552,721	\$2,175,490	\$14,949,552
2 MHSA Funds Revenue in FY 2013-14²										
a Transfer of funds from the Local Prudent Reserve									\$0	\$0
b FY 2013-14 MHSA Revenue Received	\$5,324,336	\$1,331,085	\$350,285							\$7,005,706
c FY 2013-14 Interest Earned on MHSA Funds	\$15,398	\$3,850	\$1,013					\$5,112		\$25,373
d TOTAL	\$5,339,734	\$1,334,935	\$351,298	\$0	\$0	\$0	\$0	\$5,112	\$0	\$7,031,079
3 Expenditure and Funding Sources for FY 2013-14³										
A MHSA Funds										
a FY 2006-07 MHSA Funds										\$0
b FY 2007-08 MHSA Funds										\$0
c FY 2008-09 MHSA Funds										\$0
d FY 2009-10 MHSA Funds					\$89,376					\$89,376
e FY 2010-11 MHSA Funds				\$46,572						\$46,572
f FY 2011-12 MHSA Funds		\$475,731	\$276,100			\$25,619		\$368,493		\$1,145,943
g FY 2012-13 MHSA Funds	\$4,478,109	\$1,451,427	\$393,701							\$6,323,237
h FY 2013-14 MHSA Funds										\$0
MHSA Net Expenditures Subtotal for FY 2013-14	\$4,478,109	\$1,927,158	\$669,801	\$46,572	\$89,376	\$25,619	\$0	\$368,493		\$394,112
i Interest										\$0
B Other Funds										
a 1991 Realignment										\$0
b Behavioral Health Subaccount										\$0
c Other	\$1,038,494		\$94,525							\$1,133,019
d TOTAL MHSA and Other Funds	\$5,516,603	\$1,927,158	\$764,326	\$46,572	\$89,376	\$25,619	\$0	\$368,493		\$1,527,131
e Total Program Expenditures	\$5,516,603	\$1,927,158	\$764,326	\$46,572	\$89,376	\$25,619	\$0	\$368,493		\$8,738,147

NOTE TO COUNTY: Total Program Expenditures, 3(d), MUST match Total Expenditure Funding Sources, 3(e). If ERROR, recheck and correct.

PEI Statewide Funds assigned to CalMHSA? (Y/N)	Y
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Fiscal Year 2013-14	(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)	(J)
	Community Services and Supports	Prevention and Early Intervention	Innovation	Workforce Education and Training	Capital Facilities and Technological Needs	TTACB	WET Regional Partnerships	PEI Statewide Projects Funds	Prudent Reserve	Total-All Components
4 Transfers to Prudent Reserve, WET, CFTN⁴										
a FY 2011-12	\$0									\$0
b FY 2012-13	\$0									\$0
c FY 2013-14	\$0									\$0
5 Adjustments⁵										
a Local Prudent Reserve										\$0
b FY 2006-07 Funds										\$0
c FY 2007-08 Funds										\$0
d FY 2008-09 Funds										\$0
e FY 2009-10 Funds										\$0
f FY 2010-11 Funds										\$0
g FY 2011-12 Funds										\$0
h FY 2012-13 Funds	\$1,356,551									\$1,356,551
i FY 2013-14 Funds										\$0
j Interest	-\$4,803	\$3,675	-\$1,927	\$7,913	-\$3,998	-\$860				\$0
k TOTAL	\$1,351,748	\$3,675	-\$1,927	\$7,913	-\$3,998	-\$860	\$0	\$0	\$0	\$1,356,551
6 Unspent Funds in the Local MHS Fund⁶										
a Local Prudent Reserve Balance									\$2,175,490	\$2,175,490
b FY 2006-07 Funds				\$0						\$0
c FY 2007-08 Funds				\$0	\$0					\$0
d FY 2008-09 Funds	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0		\$0
e FY 2009-10 Funds	\$0	\$0	\$0	\$0	\$38,798	\$0	\$0	\$0		\$38,798
f FY 2010-11 Funds	\$0	\$0	\$1,458,561	\$164,086	\$1,085,740	\$0	\$0	\$0		\$2,708,387
g FY 2011-12 Funds	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$174,170		\$174,170
h FY 2012-13 Funds	\$2,804,658	\$260,861	\$56,901	\$0	\$0					\$3,122,420
i FY 2013-14 Funds	\$5,324,336	\$1,331,085	\$350,285	\$0	\$0					\$7,005,706
j Interest	\$395,293	\$51,054	\$14,930	\$14,174	\$16,461	\$0	\$0	\$15,170		\$507,082
k TOTAL	\$8,524,287	\$1,643,000	\$1,880,677	\$178,260	\$1,140,999	\$0	\$0	\$189,340	\$2,175,490	\$15,732,053

TABLE B ⁷	
Estimated FFP Revenue Generated In FY 2013-14	Amount
Federal Financial Participation (FFP)	\$1,104,675

RER Contact Person	
Name	Shahzad Momenzadeh
Title	Accountant II
Phone	(415) 473-6935
Email	smomenzadeh@marincounty.org

**Annual Mental Health Services Act Revenue and Expenditure Report for
Year 2013-14
Adjustments Summary**

Fiscal

County: _____

Date: 9/21/2015

FY	Amount	Reason For Adjustment
FY 12/13	\$1,356,551	Estimated FY 12/13 FFP revenue reported in FY 12/13 RER - table B but was not included in the FY 12/13 RER
FY 13/14 Interst adjustment:		
CSS	-\$4,803	Interest adjustment for previous years
PEI	\$3,675	Interest adjustment for previous years
INN	-\$1,927	Interest adjustment for previous years
WET	\$7,913	Interest adjustment for previous years
CFTN	-\$3,998	Interest adjustment for previous years
TTACB	-\$860	Interest adjustment for previous years
TOTAL	\$1,356,551	
	\$1,356,551	

NOTE TO COUNTY: Total Adjustments recorded in the Adjustments Summary worksheet MUST match Total Adjustments recorded on the RER Summary Worksheet. If ERROR, recheck and correct.

**Annual Mental Health Services Act Revenue and Expenditure Report
FY 2013-14**

END NOTES:

¹ Total unspent funds from prior fiscal years MUST match the Total Unspent Funds in the Local MHS Fund from prior year RER.

² DHCS will utilize the allocation report provided by the SCO and counties should utilize the same report when determining the total State MHSA Fund revenue to be reported on the FY 2013-14 RER. The report is available at:
http://www.sco.ca.gov/ard_payments_mentalhealthservicefund.html

³ Expenditure funding sources for each component must equal the total program expenditures as reported on the Component Summary Worksheets.

⁴ WIC Section 5892(b) permits a County to use up to 20 percent of the average amount of funds allocated to the county for the previous five years to fund technological needs and capital facilities, human resource needs, and a prudent reserve. The amount of funds transferred from CSS will be reported in the CSS column as a negative amount. The funds transferred into WET, CFTN, or Prudent Reserve should be reflected as a positive amount. For each year reported, the amount transferred from CSS should equal zero when added to the funds transferred into WET, CFTN, or Prudent Reserve.

⁵ Payments from the MHSA Fund should be reflected in the Adjustments section as a negative amount. Receipts into the MHSA Fund should be reflected in the Adjustments section as a positive amount.

⁶ Total Unspent funds in the Local MHS Fund will auto populate for each Fiscal Year.

⁷ The FFP amount represents the estimated FFP revenue generated in FY 2013-14 and attributable to MHSA funds.