

Annual Mental Health Services Act Revenue and Expenditure Report

This PDF document is a copy of the Annual Revenue and Expenditure Report (ARER) which is submitted by County Mental Health Plans (usually, the County Behavioral Health Department) to the Department of Health Care Services and the MHSOAC. This document is made available to the public through the Fiscal Reporting tool created by the MHSOAC to support fiscal transparency. The Fiscal Reporting tool is based on the data submitted by counties through Annual Revenue and Expenditure Reports.

Annual Revenue and Expenditure Reports can also be downloaded from the [DHCS website \(http://www.dhcs.ca.gov/services/MH/Pages/Annual-Revenue-and-Expenditure-Reports-by-County.aspx\)](http://www.dhcs.ca.gov/services/MH/Pages/Annual-Revenue-and-Expenditure-Reports-by-County.aspx)

The following report provides annual point-in-time estimates (similar to a checkbook balance) of County MHSAs revenues received and expended for each component of the MHSAs, as well as end of year closing balances. The reports are intended to represent a snapshot of County MHSAs financial information as of June 30th, the last day of each fiscal year. Counties emphasize that the ARER reports are difficult to tie to their official, audited financial statements and should be interpreted with caution.

Financial summaries presented in this report are broken down by the following MHSAs components:

- Community Services and Supports (CSS)
- Prevention and Early Intervention (PEI)
- Innovation (INN)
- Workforce Education & Training (WET)
- Capital Facility & Technological Needs (CFTN)
- Other MHSAs components

**Annual Mental Health Services Act Revenue and Expenditure Report for
Fiscal Year 2014-15
Community Services and Supports (CSS) Summary**

County: **MODOC** Date: 12/4/2015

Community Services and Supports Component	Total (Gross) Mental Health Expenditures
FSP Programs	
1 Integrated FSP	\$378,227
2	
3	
4	
5	
6	
7	
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12	
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16	
17	
18	
19	
20	
21	
22	
23	
24	
25	
Subtotal FSP Programs	\$378,227
Non-FSP Programs	
1 Integrated Non FSP	\$1,436,016
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	
13	
14	
15	
Subtotal Non-FSP Programs	\$1,436,016
Total FSP and Non-FSP Programs	\$1,814,243
CSS Evaluation	
CSS Administration	\$136,011
CSS MHSA Housing Program Assigned Funds	
Total CSS Expenditures	\$1,950,254

**Annual Mental Health Services Act Revenue and Expenditure Report for
Fiscal Year 2014-15
Prevention and Early Intervention (PEI) Summary**

County: MODOC

Date:

12/4/2015

Prevention and Early Intervention Component	(A) Total (Gross) Mental Health Expenditures
PEI Programs-Prevention	
1 Integrated PEI	\$65,507
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	
13	
14	
15	
Subtotal PEI Programs-Prevention	\$65,507
PEI Programs-Early Intervention	
1	
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	
13	
14	
15	
Subtotal PEI Programs-Prevention	\$0
PEI Programs-Other	
1	
2	
3	
Subtotal PEI Programs-Other	\$0
Subtotal PEI Programs-Prevention & Early Intervention and Other	\$65,507
PEI Evaluation	\$0
PEI Administration	\$4,911
Total PEI Expenditures	\$70,418

**Annual Mental Health Services Act Revenue and Expenditure Report for
Fiscal Year 2014-15
Innovation (INN) Summary**

County: MODOC

Date:

12/4/2015

Innovation Component	(A) Total (Gross) Mental Health Expenditures
Innovation Programs	
1 Integrated INN	\$58,825
2	\$0
3	\$0
4	\$0
5	\$0
6	\$0
7	\$0
8	\$0
9	\$0
10	\$0
11	\$0
12	\$0
13	\$0
14	\$0
15	\$0
16	\$0
17	\$0
18	\$0
19	\$0
20	\$0
21	\$0
22	\$0
23	\$0
24	\$0
25	\$0
Subtotal	\$58,825
Innovation Evaluation	\$0
Innovation Administration	\$4,410
Total Innovation Expenditures	\$63,235

**Annual Mental Health Services Act Revenue and Expenditure Report
Fiscal Year 2014-15
Other MHSA Funds Summary**

County: MODOC

Date:

12/4/2015

	(A) Total (Gross) Expenditures
Training, Technical Assistance and Capacity Building	\$67
WET Regional Partnerships	
PEI Statewide Projects	\$19,787

**Annual Mental Health Services Act Revenue and Expenditure Report
Fiscal Year 2014-15
Unencumbered Housing Funds Summary**

County: MODOC **Date:** 12/4/2015

	(A) Total (Gross) Expenditures
Unencumbered Housing Funds	

Annual Mental Health Services Act Revenue and Expenditure Report
FY 2014-15 Summary

TABLE A

COUNTY: MODOC

DATE: 12/4/2015

PEI Statewide Funds assigned to CalMHSA? (Y/N)											
Fiscal Year 2014-15	(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)	(J)	(K)
	Community Services and Supports	Prevention and Early Intervention	Innovation	Workforce Education and Training	Capital Facilities and Technological Needs	TTACB	WET Regional Partnerships	PEI Statewide Projects Funds	Unencumbered Housing Funds	Prudent Reserve	Total-All Components
1 Unspent Funds Available From Prior Fiscal Years¹											
a Local Prudent Reserve										\$395,077	\$395,077
b FY 2006-07 Funds				\$55,339							\$55,339
c FY 2007-08 Funds				\$226,376	\$320,633						\$547,009
d FY 2008-09 Funds	\$0	\$0	\$0	\$0	\$191,505	\$140	\$0	\$12,255			\$203,900
e FY 2009-10 Funds	\$0	\$0	\$42,519	\$0	\$0	\$1,200	\$0	\$25,000			\$68,719
f FY 2010-11 Funds	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$12,625			\$12,625
g FY 2011-12 Funds	\$0	\$0	\$0	\$0	\$0	-\$899	\$0	-\$30,301			-\$31,200
h FY 2012-13 Funds	\$0	\$263,310	\$0	\$0	\$0						\$263,310
i FY 2013-14 Funds	\$309,699	\$232,822	\$39,075	\$0	\$0						\$581,596
j Cumulative Interest	\$30,342	\$5,066	\$1,108	\$5,248	\$4,737	\$5	\$575	\$1,131			\$48,212
k TOTAL	\$340,041	\$501,198	\$82,702	\$286,963	\$516,875	\$446	\$575	\$20,710	\$0	\$395,077	\$2,144,587
2 MHSA Funds Revenue in FY 2014-15²											
a Transfer of funds from the Local Prudent Reserve	\$0	\$0								\$0	\$0
b FY 2014-15 MHSA Revenue Received	\$1,303,590	\$325,898	\$85,763				\$0		\$0		\$1,715,251
c FY 2014-15 Interest Earned on MHSA Funds	\$1,405	\$2,070	\$342	\$1,186	\$2,136		\$0	\$165	\$0	\$1,632	\$8,936
d TOTAL	\$1,304,995	\$327,968	\$86,105	\$1,186	\$2,136	\$0	\$0	\$165	\$0	\$1,632	\$1,724,187
3 Expenditure and Funding Sources for FY 2014-15³											
A MHSA Funds											
a FY 2006-07 MHSA Funds				\$55,339							\$55,339
b FY 2007-08 MHSA Funds				\$21,631	\$0						\$21,631
c FY 2008-09 MHSA Funds				\$0	\$0		\$0				\$0
d FY 2009-10 MHSA Funds				\$0	\$0		\$0				\$0
e FY 2010-11 MHSA Funds				\$0	\$0		\$0				\$0
f FY 2011-12 MHSA Funds	\$0	\$0	\$0	\$0	\$0	\$67	\$0	\$19,787			\$19,854
g FY 2012-13 MHSA Funds	\$0	\$70,418	\$0	\$0	\$0						\$70,418
h FY 2013-14 MHSA Funds	\$309,699	\$0	\$39,075	\$0	\$0						\$348,774
i FY 2014-15 MHSA Funds	\$480,395	\$0	\$24,160	\$0	\$0		\$0		\$0		\$504,555
MHSA Net Expenditures Subtotal for FY 2014-15	\$790,094	\$70,418	\$63,235	\$76,970	\$0	\$67	\$0	\$19,787	\$0		\$1,020,571
j Interest	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0		\$0
B Other Funds											
a 1991 Realignment	\$48,250	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0		\$48,250
b Behavioral Health Subaccount	\$208,685	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0		\$208,685
c Other	\$903,225	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0		\$903,225
d TOTAL MHSA and Other Funds	\$1,950,254	\$70,418	\$63,235	\$76,970	\$0	\$67	\$0	\$19,787	\$0		\$2,180,731
e Total Program Expenditures	\$1,950,254	\$70,418	\$63,235	\$76,970	\$0	\$67	\$0	\$19,787	\$0		\$2,180,731

NOTE TO COUNTY: Total Program Expenditures, 3(d), MUST match Total Expenditure Funding Sources, 3(e). If ERROR, recheck and correct.

PEI Statewide Funds assigned to CalMHSA? (Y/N)

Fiscal Year 2014-15	(A) Community Services and Supports	(B) Prevention and Early Intervention	(C) Innovation	(D) Workforce Education and Training	(E) Capital Facilities and Technological Needs	(F) TTACB	(G) WET Regional Partnerships	(H) PEI Statewide Projects Funds	(I) Unencumbered Housing Funds	(J) Prudent Reserve	(K) Total-All Components
4 Transfers to Prudent Reserve, WET, CFTN⁴											
a FY 2012-13	\$0			\$0	\$0					\$0	\$0
b FY 2013-14	\$0			\$0	\$0					\$0	\$0
c FY 2014-15	\$0			\$0	\$0					\$0	\$0
5 Adjustments⁵											
a Local Prudent Reserve										\$0	\$0
b FY 2006-07 Funds				\$0							\$0
c FY 2007-08 Funds				\$0	\$0						\$0
d FY 2008-09 Funds				\$0	\$0		\$0				\$0
e FY 2009-10 Funds				\$0	\$0		\$0				\$0
f FY 2010-11 Funds				\$0	\$0		\$0				\$0
g FY 2011-12 Funds	\$0	\$0	\$0	\$0	\$0		\$0				\$0
h FY 2012-13 Funds	\$0	\$0	\$0	\$0	\$0						\$0
i FY 2013-14 Funds	\$0	\$0	\$0	\$0	\$0						\$0
j FY 2014-15 Funds	\$0	\$0	\$0	\$0	\$0		\$0		\$0		\$0
k Interest	\$0	\$0	\$0	\$0	\$0	-\$5	-\$575	\$7,097	\$0		\$6,517
TOTAL	\$0	\$0	\$0	\$0	\$0	-\$5	-\$575	\$7,097	\$0	\$0	\$6,517
6 Unspent Funds in the Local MHS Fund⁶											
a Local Prudent Reserve Balance										\$396,709	\$396,709
b FY 2006-07 Funds				\$0							\$0
c FY 2007-08 Funds				\$204,745	\$320,633						\$525,378
d FY 2008-09 Funds	\$0	\$0	\$0	\$0	\$191,505	\$140	\$0	\$12,255			\$203,900
e FY 2009-10 Funds	\$0	\$0	\$42,519	\$0	\$0	\$1,200	\$0	\$25,000			\$68,719
f FY 2010-11 Funds	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$12,625			\$12,625
g FY 2011-12 Funds	\$0	\$0	\$0	\$0	\$0	-\$966	\$0	-\$50,088			-\$51,054
h FY 2012-13 Funds	\$0	\$192,892	\$0	\$0	\$0						\$192,892
i FY 2013-14 Funds	\$0	\$232,822	\$0	\$0	\$0						\$232,822
j FY 2014-15 Funds	\$823,195	\$325,898	\$61,603	\$0	\$0		\$0		\$0		\$1,210,696
k Interest	\$31,747	\$7,136	\$1,450	\$6,434	\$6,873	\$0	\$0	\$8,393	\$0		\$62,033
TOTAL	\$854,942	\$758,748	\$105,572	\$211,179	\$519,011	\$374	\$0	\$8,185	\$0	\$396,709	\$2,854,720

TABLE B ⁷	
Estimated FFP Revenue Generated in FY 2014-15	Amount
Federal Financial Participation (FFP)	\$785,962

RER Contact Person	
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**Annual Mental Health Services Act Revenue and Expenditure Report
FY 2014-15**

END NOTES:

¹ Total unspent funds from prior fiscal years MUST match the Total Unspent Funds in the Local MHS Fund from prior year RER.

² DHCS will utilize the allocation report provided by the SCO and counties should utilize the same report when determining the total State MHSA Fund revenue to be reported on the FY 2014-15 RER. The report is available at:
http://www.sco.ca.gov/ard_payments_mentalhealthservicefund.html

³ Expenditure funding sources for each component must equal the total program expenditures as reported on the Component Summary Worksheets.

⁴ WIC Section 5892(b) permits a County to use up to 20 percent of the average amount of funds allocated to the county for the previous five years to fund technological needs and capital facilities, human resource needs, and a prudent reserve. The amount of funds transferred from CSS will be reported in the CSS column as a negative amount. The funds transferred into WET, CFTN, or Prudent Reserve should be reflected as a positive amount. For each year reported, the amount transferred from CSS should equal zero when added to the funds transferred into WET, CFTN, or Prudent Reserve.

⁵ Payments from the MHSA Fund should be reflected in the Adjustments section as a negative amount. Receipts into the MHSA Fund should be reflected in the Adjustments section as a positive amount.

⁶ Total Unspent funds in the Local MHS Fund will auto populate for each Fiscal Year.

⁷ The FFP amount represents the estimated FFP revenue generated in FY 2014-15 and attributable to MHSA funds.