

Annual Mental Health Services Act Revenue and Expenditure Report

This PDF document is a copy of the Annual Revenue and Expenditure Report (ARER) which is submitted by County Mental Health Plans (usually, the County Behavioral Health Department) to the Department of Health Care Services and the MHSOAC. This document is made available to the public through the Fiscal Reporting tool created by the MHSOAC to support fiscal transparency. The Fiscal Reporting tool is based on the data submitted by counties through Annual Revenue and Expenditure Reports.

Annual Revenue and Expenditure Reports can also be downloaded from the [DHCS website \(http://www.dhcs.ca.gov/services/MH/Pages/Annual-Revenue-and-Expenditure-Reports-by-County.aspx\)](http://www.dhcs.ca.gov/services/MH/Pages/Annual-Revenue-and-Expenditure-Reports-by-County.aspx)

The following report provides annual point-in-time estimates (similar to a checkbook balance) of County MHSAs revenues received and expended for each component of the MHSAs, as well as end of year closing balances. The reports are intended to represent a snapshot of County MHSAs financial information as of June 30th, the last day of each fiscal year. Counties emphasize that the ARER reports are difficult to tie to their official, audited financial statements and should be interpreted with caution.

Financial summaries presented in this report are broken down by the following MHSAs components:

- Community Services and Supports (CSS)
- Prevention and Early Intervention (PEI)
- Innovation (INN)
- Workforce Education & Training (WET)
- Capital Facility & Technological Needs (CFTN)
- Other MHSAs components

**Annual Mental Health Services Act Revenue and Expenditure Report for
Fiscal Year 2012-13**

County: NAPA

Date: 5/15/2015

Community Services and Supports Component	Total (Gross) Mental Health Expenditures
FSP Programs	
1 Children's Full Service Partnership	\$765,058
2 Transitional Age Youth Full Service Partnership	\$465,559
3 Older Adult Full Service Partnership	\$230,060
4 Adult Full Service Partnership	\$651,774
5 Adult Treatment Teams FSP	\$302,228
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Subtotal FSP Programs	\$2,414,679
Non-FSP Programs	
1 Mobile, Outreach, Response and Engagement (MORE) Pro	\$278,808
2 Project Access (System Navigators, PEP, Co-Occurring Dis	\$458,777
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4	
5	
6	
7	
8	
Subtotal Non-FSP Programs	\$737,585
Total FSP and Non-FSP Programs	\$3,152,264
CSS Evaluation	
CSS Administration	\$1,090,672
CSS MHSa Housing Program Assigned Funds	
Total CSS Expenditures	\$4,242,936

**Year 2012-13
Prevention and Early Intervention (PEI) Summary**

County: NAPA

Date:

5/15/2015

Prevention and Early Intervention Component	(A) Total (Gross) Mental Health Expenditures
PEI Programs-Prevention	
1 American Canyon PEI Project NVUSD	\$159,807
2 American Canyon Home Visitation Program COPEL	\$50,000
3 LGBTQ PEI Project SPECTRUM	\$89,500
4 Native American PEI Project SUSCOL	\$94,578
5 St. Helena/Calistoga PEI Project CALISTOGA	\$118,974
6 Functional Family Therapy PEI Project CIMH	\$3,692
7 Infant-Early Childhood PEI Project BRANDT & AL	\$32,000
8 Child Welfare PEI Project Internal Laura Van Wa	\$68,381
9 Mental Health Awareness and Response Training	\$27,200
10 School Climate Improvement PEI Project NCOE	\$47,373
11 Court and Community Schools Student Assistance	\$81,600
12 PEI Parent Project PCAN	\$2,454
13	
14	
Subtotal PEI Programs-Prevention	\$775,559
PEI Programs-Early Intervention	
15 Asian/Pacific Islander Needs Assessment (Preven	\$10,334
16 Community Outreach and Engagement PEI Projec	
17 Strengthening Families at Risk (Prevention) ALDI	\$72,723
18 Domestic Violence PEI Project (EI) NEWS	\$102,699
19 Older Adult PEI Project (EI) Area Agency on Agin	\$91,350
20	
21	
22	
23	
Subtotal PEI Programs-Early Intervention	\$277,106
Total PEI Programs	\$1,052,665
PEI Evaluation	\$38,958
PEI Administration	\$295,874
Total PEI Expenditures	\$1,387,497

**Fiscal Year 2012-13
Innovation (INN) Summary**

County: NAPA

Date:

5/15/2015

Innovation Component	(A) Total (Gross) Mental Health Expenditures
Innovation Programs	
1 The Collaborative Project	\$47,078
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Total INN Programs	\$47,078
Innovation Evaluation	\$6,750
Innovation Administration	\$2,691
Total Innovation Expenditures	\$56,519

**Fiscal Year 2012-13
Workforce Education and Training (WET) Summary**

County: NAPA

Date:

5/15/2015

Workforce Education and Training Component	(A) Total (Gross) Mental Health Expenditures
WET Funding Category	
Workforce Staffing Support	
Training and Technical Assistance	\$20,664
Mental Health Career Pathways Programs	
Residency and Internship Programs	\$85,744
Financial Incentive Programs	\$25,464
Total WET Programs	\$131,872
WET Administration	\$33,379
Total WET Expenditures	\$165,251

**Fiscal Year 2012-13
Capital Facilities/Technological Needs (CF/TN) Summary**

County: NAPA **Date:** 5/15/2015

	(A)
Capital Facility/Technological Needs Projects	Total (Gross) Mental Health Expenditures
Capital Facility Projects	
1 People Empowering People (PEP) Center	\$515,509
2	
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4	
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12	
Total CF Projects	\$515,509
Capital Facility Administration	\$0
Total Capital Facility Expenditures	\$515,509
Technological Needs Projects	
1 Upgrades to Electronic Health Record (EHR)	\$9,587
2 Continued Phase II Rollout of Anasazi to Provid	
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13	
Total TN Projects	\$9,587
Technological Needs Administration	\$1,438
Total Technological Needs Expenditures	\$11,025
Total CFTN Expenditures	\$526,534

**Fiscal Year 2012-13
TTACB, WET RP & PEI SWP Summary**

County: NAPA

Date:

5/15/2015

	(A) Total (Gross) Expenditures
Training, Technical Assistance and Capacity	
WET Regional Partnerships	
PEI Statewide Projects	

Annual Mental Health Services Act Revenue and Expenditure Report
FY 2012-13 Summary

TABLE A

COUNTY: NAPA
PEI Statewide Funds assigned to CalMHSA? (Y/N) Y

DATE: 3/31/2015

Fiscal Year 2012-13	(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)	(K)
	Community Services and Supports	Prevention and Early Intervention	Innovation	Workforce Education and Training	Capital Facilities and Technological Needs	TTACB	WET Regional Partnerships	PEI Statewide Projects Funds	Prudent Reserve	Total-All Components
1 Unspent Funds Available From Prior Fiscal Years¹										
a Local Prudent Reserve									\$1,064,402	\$1,064,402
b FY 2006-07 Funds				\$257,114						\$257,114
c FY 2007-08 Funds				\$324,888	\$537,346					\$862,234
d FY 2008-09 Funds			\$186,537		\$285,267	\$18,874				\$490,678
e FY 2009-10 Funds			\$241,385			\$18,950				\$260,335
f FY 2010-11 Funds			\$407,419			\$18,600				\$426,019
g FY 2011-12 Funds	\$769,637	\$498,529	\$174,246	\$5,505	\$158,667	\$19,359				\$1,625,943
h Interest										\$0
i TOTAL	\$769,637	\$498,529	\$1,009,587	\$587,507	\$981,280	\$75,783	\$0	\$0	\$1,064,402	\$4,986,725
2 MHSA Funds Revenue in FY 2012-13										
a Transfer of funds from the Local Prudent Reserve									\$0	\$0
b Revenue received from the State MHSA Fund ²										
1 FY 2012-13 MHSA Funds	\$4,070,354	\$1,017,588	\$267,786							\$5,355,728
c Interest Earned on MHSA Funds	\$13,907	\$4,411	\$8,193	\$2,915	\$2,236	\$509				\$32,171
d TOTAL	\$4,084,261	\$1,021,999	\$275,979	\$2,915	\$2,236	\$509	\$0	\$0	\$0	\$5,387,899
3 Expenditure and Funding Sources for FY 2012-13³										
a FY 2006-07 MHSA Funds				\$87,691						\$87,691
b FY 2007-08 MHSA Funds					\$526,534					\$526,534
c FY 2008-09 MHSA Funds										\$0
d FY 2009-10 MHSA Funds										\$0
e FY 2010-11 MHSA Funds			\$56,519							\$56,519
f FY 2011-12 MHSA Funds	\$769,637	\$498,529								\$1,268,166
g FY 2012-13 MHSA Funds	\$2,603,803	\$801,044								\$3,404,847
h Interest										\$0
i 1991 Realignment										\$0
j Behavioral Health Subaccount										\$0
k Other	\$869,496	\$87,924		\$77,560						\$1,034,980
l TOTAL	\$4,242,936	\$1,387,497	\$56,519	\$165,251	\$526,534	\$0	\$0	\$0	\$0	\$6,378,738
m Total Program Expenditures	\$4,242,936	\$1,387,497	\$56,519	\$165,251	\$526,534	\$0	\$0	\$0	\$0	\$6,378,738

NOTE TO COUNTY: Total Program Expenditures, 3(l), MUST match Total Expenditure Funding Sources, 3(k). If ERROR, recheck and correct.

4 Transfers to Prudent Reserve, WET, CFTN⁴										
a FY 2010-11	\$0									\$0
b FY 2011-12	\$0									\$0
c FY 2012-13	\$0									\$0
5 Adjustments⁵										
a Local Prudent Reserve										\$0
b FY 2006-07 Funds										\$0
c FY 2007-08 Funds										\$0
d FY 2008-09 Funds										\$0
e FY 2009-10 Funds										\$0
f FY 2010-11 Funds										\$0
g FY 2011-12 Funds										\$0
h FY 2012-13 Funds										\$0
i Interest										\$0
j TOTAL	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
6 Unspent Funds in the Local MHS Fund⁶										
a Local Prudent Reserve Balance									\$1,064,402	\$1,064,402
b FY 2006-07 Funds				\$169,423						\$169,423
c FY 2007-08 Funds				\$324,888	\$10,812					\$335,700
d FY 2008-09 Funds	\$0	\$0	\$186,537	\$0	\$285,267	\$18,874	\$0	\$0		\$490,678
e FY 2009-10 Funds	\$0	\$0	\$241,385	\$0	\$0	\$18,950	\$0	\$0		\$260,335
f FY 2010-11 Funds	\$0	\$0	\$350,900	\$0	\$0	\$18,600	\$0	\$0		\$369,500
g FY 2011-12 Funds	\$0	\$0	\$174,246	\$5,505	\$158,667	\$19,359	\$0	\$0		\$357,777
h FY 2012-13 Funds	\$1,466,551	\$216,544	\$267,786	\$0	\$0					\$1,950,881
i Interest	\$13,907	\$4,411	\$8,193	\$2,915	\$2,236	\$509	\$0	\$0		\$32,171
j TOTAL	\$1,480,458	\$220,955	\$1,229,047	\$502,731	\$456,982	\$76,292	\$0	\$0	\$1,064,402	\$5,030,866

TABLE B⁷

Estimated FFP Revenue Generated in FY 2012-13	Amount
Federal Financial Participation (FFP)	\$823,578

RER Contact Person	
Name	Cathy Stute
Title	Staff Services Analyst II
Phone	707-259-8605
Email	Cathy.Stute@countyofnapa.org

**Annual Mental Health Services Act Revenue and Expenditure Report for Fiscal Year 2012-13
Adjustments Summary**

County: NAPA

Date: 5/15/2015

FY	Amount	Reason For Adjustment
TOTAL	\$0	
	\$0	

NOTE TO COUNTY: Total Adjustments in cell B22 MUST match Total Adjustments in cell P55 on the RER Summary Worksheet. If ERROR, recheck and correct.

**Annual Mental Health Services Act Revenue and Expenditure Report
FY 2012-13**

END NOTES:

- ¹ Total unspent funds from prior fiscal years MUST match the Total Unspent Funds in the Local MHS Fund from prior year RER.
- ² DHCS will utilize the allocation report provided by the SCO and counties should utilize the same report when reporting MHSA revenue. The report is available at: http://www.sco.ca.gov/ard_payments_mentalhealthservicefund.html
- ³ Expenditure funding sources for each component must equal the total program expenditures as reported on the Component Summary Worksheets.
- ⁴ WIC Section 5892(b) permits a County to use up to 20 percent of the average amount of funds allocated to the county for the previous five years to fund technological needs and capital facilities, human resource needs, and a prudent reserve. The amount of funds transferred from CSS will be reported in the CSS column as a negative amount. The funds transferred into WET, CFTN, or Prudent Reserve should be reflected as a positive amount. For each year reported, the amount transferred from CSS should equal zero when added to the funds transferred into WET, CFTN, or Prudent Reserve.
- ⁵ Payments from the MHSA Fund should be reflected in the Adjustments section as a negative amount. Receipts into the MHSA Fund should be reflected in the Adjustments section as a positive amount.
- ⁶ Total Unspent in the Local MHS Fund will auto populate for each Fiscal Year.
- ⁷ The FFP amount represents the estimated FFP revenue generated in FY 2012-13 and attributable to MHSA funds.