

# Annual Mental Health Services Act Revenue and Expenditure Report

This PDF document is a copy of the Annual Revenue and Expenditure Report (ARER) which is submitted by County Mental Health Plans (usually, the County Behavioral Health Department) to the Department of Health Care Services and the MHSOAC. This document is made available to the public through the Fiscal Reporting tool created by the MHSOAC to support fiscal transparency. The Fiscal Reporting tool is based on the data submitted by counties through Annual Revenue and Expenditure Reports.

Annual Revenue and Expenditure Reports can also be downloaded from the [DHCS website \(http://www.dhcs.ca.gov/services/MH/Pages/Annual-Revenue-and-Expenditure-Reports-by-County.aspx\)](http://www.dhcs.ca.gov/services/MH/Pages/Annual-Revenue-and-Expenditure-Reports-by-County.aspx)

The following report provides annual point-in-time estimates (similar to a checkbook balance) of County MHSAs revenues received and expended for each component of the MHSAs, as well as end of year closing balances. The reports are intended to represent a snapshot of County MHSAs financial information as of June 30th, the last day of each fiscal year. Counties emphasize that the ARER reports are difficult to tie to their official, audited financial statements and should be interpreted with caution.

Financial summaries presented in this report are broken down by the following MHSAs components:

- Community Services and Supports (CSS)
- Prevention and Early Intervention (PEI)
- Innovation (INN)
- Workforce Education & Training (WET)
- Capital Facility & Technological Needs (CFTN)
- Other MHSAs components

Annual Mental Health Services Act Revenue and Expenditures Report for Fiscal Year 2015-16 Community Services and Support (CSS) Summary					
County: San Mateo		Date: 10/24/2017			
Community Services and Supports Component	*Target Population				Total (Gross) Mental Health Expenditures
	C	TAY	A	OA	
<b>FSP Programs</b>					
1 Youth TAY	X	X			\$4,442,713
2 Adults			X	X	\$4,438,880
3					
4					
5					
6					
7					
8					
9					
10					
11					
12					
13					
14					
15					
16					
17					
18					
16					
20					
21					
22					
23					
24					
25					
Subtotal FSP Programs					\$8,881,593
<b>Non-FSP Programs</b>					
	C	TAY	A	OA	
1 Community Outreach and Engagement		X	X		\$1,497,350
2 Criminal Justice Initiative		X	X	X	\$700,460
3 Older Adult System of Care				X	\$840,211
4 System Transformation	X	X	X	X	\$4,151,375
5					
6					
7					
8					
9					
10					
11					
12					
13					
14					
15					
Subtotal Non-FSP Programs					\$7,189,396
<b>Total FSP and Non-FSP Programs</b>					\$16,070,989
<b>CSS Evaluation</b>					
<b>CSS Administration</b>					\$237,673
<b>CSS MHSA Housing Program Assigned Funds</b>					
<b>Total CSS Expenditures</b>					\$16,308,662

\* Please place an "X" in the target populations that is served by the program.

Annual Mental Health Services Act Revenue and Expenditure Report for Fiscal Year 2015-16 Prevention and Early Intervention (PEI) Summary							
County: San Mateo		Date: 10/24/2017					
Prevention and Early Intervention Component		**Target Population				Total (Gross) Mental Health	* Estimated %
PEI Programs-Prevention		C	TAY	A	OA		
1	Community Outreach, Engagement and Cap Bldg	X	X	X	X	\$2,289,482.00	100%
2							0%
3							0%
4							0%
5							0%
6							0%
7							0%
8							0%
9							0%
10							0%
11							0%
12							0%
13							0%
14							0%
15							0%
Subtotal PEI Programs-Prevention						\$2,289,482	100%
PEI Programs-Early Intervention		C	TAY	A	OA		
1	Early Childhood Community Team	X				\$1,227,722.00	35%
2	Community Interventions for School Age	X	X			\$430,374.00	12%
3	Primary Care/Behavioral Health Integrations			X	X	\$1,603,715.00	45%
4	Total Wellness For Adults and Older Adults			X	X	\$294,044.00	8%
5	Youth/TAY Identification, Engagement and Early Referral					\$0.00	0%
6							0%
7							0%
8							0%
9							0%
10							0%
11							0%
12							0%
13							0%
14							0%
15							0%
Subtotal PEI Programs-Early Intervention						\$3,555,855	100%
PEI Programs-Other		C	TAY	A	OA		
1							0%
2							0%
3							0%
4							0%
5							0%
Subtotal PEI Programs-Other						\$0	0%
<b>Subtotal PEI Programs-Prevention &amp; Early Intervention and Other</b>						\$5,845,337	
<b>PEI Evaluation</b>							
<b>PEI Administration</b>						\$345,555	
<b>PEI Funds transfer to CalMHSa or JPA</b>							
<b>Total PEI Expenditures</b>						\$6,190,892	

\* If PEI programs are combined, the County shall estimate the percentage of funds dedicated to each Program.

\* Please place an "X" in the target populations that is served by each program.

Annual Mental Health Services Act Revenue and Expenditure Report for <input type="checkbox"/>					
Fiscal Year 2015-16					
Innovation (INN) Summary					
County: San Mateo		Date: 10/24/2017			
Innovation Component	*Target Population				Total (Gross) Mental Health Expenditures
	C	TAY	A	OA	
<b>Innovation Programs</b>					
1 Innovation					\$13,669
2					
3					
4					
5					
6					
7					
8					
9					
10					
11					
12					
13					
14					
15					
16					
17					
18					
19					
20					
21					
22					
23					
24					
25					
<b>Subtotal</b>					\$13,669
<b>Innovation Evaluation</b>					
<b>Innovation Administration</b>					
<b>Total Innovation Expenditures</b>					\$13,669

\* Please place an "X" in each target population served by the program.

<b>Annual Mental Health Services Act Revenue and Expenditure Report for <input type="checkbox"/></b> <b>Fiscal Year 2015-16</b> <b>Workforce Education and Training (WET) Summary</b>	
<b>County:</b> San Mateo	<b>Date:</b> 10/24/2017
<b>Workforce Education and Training Component</b>	<b>(A) Total (Gross) Mental Health Expenditures</b>
<b>WET Funding Category</b>	
Workforce Staffing Support	\$284,311
Training and Technical Assistance	\$90,366
Mental Health Career Pathways Programs	\$68,206
Residency and Internship Programs	
Financial Incentive Programs	
<b>Total WET Programs</b>	<b>\$442,883</b>
<b>WET Administration</b>	
<b>WET Evaluation (if applicable)</b>	
<b>Total WET Expenditures</b>	<b>\$442,883</b>

<b>Annual Mental Health Services Act Revenue and Expenditure Report for <input type="checkbox"/></b> <b>Fiscal Year 2015-16</b> <b>Capital Facilities/Technological Needs (CF/TN) Summary</b>	
<b>County:</b>	San Mateo
<b>Date:</b>	10/24/2017
Capital Facility/Technological Needs Projects	Total (Gross) Mental Health Expenditures
<b>Capital Facility Projects</b>	
1	
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	
<b>Total CF Projects</b>	\$0
<b>Capital Facility Administration</b>	
<b>CF Evaluation (if applicable)</b>	
<b>Total Capital Facility Expenditures</b>	\$0
<b>Technological Needs Projects</b>	
1 eClinical (IT)	\$160,945
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	
13	
<b>Total TN Projects</b>	\$160,945
<b>Technological Needs Administration</b>	
<b>TN Evaluation (if applicable)</b>	
<b>Total Technological Needs Expenditures</b>	\$160,945
<b>Total CFTN Expenditures</b>	\$160,945

<b>Annual Mental Health Services Act Revenue and Expenditure Report for</b> <b>Fiscal Year 2015-16</b>	
<b>Other MHSA Funds Summary</b>	
<b>County:</b> San Mateo	<b>Date:</b> 1/0/1900
	<b>Total (Gross) Expenditures</b>
<b>Training, Technical Assistance and Capacity Building (TTACB)</b>	
<b>WET Regional Partnerships (WET RP)</b>	
<b>PEI Statewide Projects (PEI SW)</b>	

<b>Annual Mental Health Services Act Revenue and Expenditure Report for <input type="checkbox"/></b> <b>Fiscal Year 2015-16</b> <b>Unencumbered Housing Funds Summary</b>	
<b>County:</b> San Mateo	<b>Date:</b> 10/24/2017
	<b>Total (Gross) Expenditures</b>
<b>Unencumbered MHSA Housing Funds</b>	<b>\$0</b>



Annual Mental Health Services Act Revenue and Expenditure Report  
FY 2015-16 Summary

TABLE A

COUNTY: San Mateo

DATE: 10/24/2017

PEI Statewide Funds assigned to CalMHSA? ( Yes , No )

Fiscal Year 2015-16	(A) Community Services and Supports	(B) Prevention and Early Intervention	(C) Innovation	(D) Workforce Education and Training	(E) Capital Facilities and Technological Needs	(F) TTACB	(G) WET Regional Partnerships	(H) PEI Statewide Projects Funds	(I) Unencumbered MHSA Housing Funds	(J) Prudent Reserve	(K) Interest	(L) Total-All Components
<b>1 Unspent MHSA Funds Available in the MHS Fund<sup>1</sup></b>												
a Local Prudent Reserve										\$600,000		\$600,000
b FY 2006-07 Funds				\$0								\$0
c FY 2007-08 Funds				\$1,192,757	\$0							\$1,192,757
d FY 2008-09 Funds	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0				\$0
e FY 2009-10 Funds	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0				\$0
f FY 2010-11 Funds	\$0	\$0	\$2,088,107	\$34,360	\$0	\$21,186	\$0	\$0				\$2,143,653
g FY 2011-12 Funds	\$0	\$0	\$0	\$15,223	\$0	\$56,664	\$0	\$0				\$71,887
h FY 2012-13 Funds	\$0	\$0	\$0	\$0	\$0	\$0	\$0					\$0
i FY 2013-14 Funds	\$0	\$0	\$897,881	\$0	-\$110		\$0					\$897,771
j FY 2014-15 Funds	\$8,321,995	\$3,266,888	\$1,411,288	\$0	\$0		\$0		\$0			\$13,000,171
k Interest	\$94,722	\$55,719	\$61,138	\$21,225	\$110	\$1,254	\$0	\$0	\$0		\$234,168	\$234,168
l. TOTAL	\$8,416,717	\$3,322,607	\$4,458,414	\$1,263,565	\$0	\$79,104	\$0	\$0	\$0	\$600,000	\$234,168	\$18,140,407
<b>2 MHSA Funds Revenue in FY 2015-16</b>												
a Transfer of funds from the Local Prudent Reserve										\$0		\$0
b FY 2015-16 MHSA Revenue Received	\$17,584,749	\$4,396,187	\$1,156,891									\$23,137,828
c FY 2015-16 Interest Earned on MHSA Funds	\$93,806	\$55,180	\$25,751	\$8,645		\$552					\$183,933	\$183,933
d. TOTAL	\$17,678,555	\$4,451,367	\$1,182,642	\$8,645	\$0	\$552	\$0	\$0	\$0		\$183,933	\$23,321,761
<b>3 Expenditure and Funding Sources for FY 2015-16<sup>2</sup></b>												
<b>A MHSA Funds</b>												
a FY 2006-07 MHSA Funds												\$0
b FY 2007-08 MHSA Funds				\$442,883								\$442,883
c FY 2008-09 MHSA Funds												\$0
d FY 2009-10 MHSA Funds												\$0
e FY 2010-11 MHSA Funds												\$0
f FY 2011-12 MHSA Funds												\$0
g FY 2012-13 MHSA Funds												\$0
h FY 2013-14 MHSA Funds			\$13,669									\$13,669
i FY 2014-15 MHSA Funds	\$8,321,995	\$3,266,888										\$11,588,883
j FY 2015-16 MHSA Funds	\$7,891,945	\$2,868,285										\$10,760,230
<b>MHSA Net Expenditures Subtotal for FY 2015-16</b>	\$16,213,940	\$6,135,173	\$13,669	\$442,883	\$0	\$0	\$0	\$0	\$0			\$22,805,665
k Interest	\$94,722	\$55,719									\$150,441	\$150,441
<b>B Other Funds</b>												
a 1991 Realignment												\$0
b Behavioral Health Subaccount												\$0
c Other					\$160,945							\$160,945
<b>C TOTAL MHSA and Other Funding Sources</b>	\$16,308,662	\$6,190,892	\$13,669	\$442,883	\$160,945	\$0	\$0	\$0	\$0			\$23,117,051
<b>D Total Program Expenditures</b>	\$16,308,662	\$6,190,892	\$13,669	\$442,883	\$160,945	\$0	\$0	\$0	\$0		\$150,441	\$23,117,051

NOTE TO COUNTY: Total MHSA and Other Funding Sources (3(C)), MUST match Total Program Expenditures (3(D)). If ERROR, recheck and correct.

PEI Statewide Funds assigned to CalMHSA?	( Yes , No )
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Fiscal Year 2015-16	(A) Community Services and Supports	(B) Prevention and Early Intervention	(C) Innovation	(D) Workforce Education and Training	(E) Capital Facilities and Technological Needs	(F) TTACB	(G) WET Regional Partnerships	(H) PEI Statewide Projects Funds	(I) Unencumbered MHSA Housing Funds	(J) Prudent Reserve	(K) Interest	(L) Total-All Components
<b>4 Transfers to Prudent Reserve, WET, CFTN<sup>3</sup></b>												
a FY 2013-14												\$0
b FY 2014-15												\$0
c FY 2015-16												\$0
Total Transfers to Prudent Reserve, WET, CFTN	\$0	\$0	\$0	\$0	\$0					\$0		\$0
<b>5 Adjustments<sup>4</sup></b>												
a Local Prudent Reserve												\$0
b FY 2006-07 Funds												\$0
c FY 2007-08 Funds												\$0
d FY 2008-09 Funds												\$0
e FY 2009-10 Funds												\$0
f FY 2010-11 Funds												\$0
g FY 2011-12 Funds												\$0
h FY 2012-13 Funds												\$0
i FY 2013-14 Funds												\$0
j FY 2014-15 Funds												\$0
k FY 2015-16 Funds												\$0
l Interest												\$0
m TOTAL	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
<b>6 Unspent MHSA Funds in the Local MHS Fund<sup>5</sup></b>												
a Local Prudent Reserve Balance										\$600,000		\$600,000
b FY 2006-07 Funds				\$0								\$0
c FY 2007-08 Funds				\$749,874	\$0							\$749,874
d FY 2008-09 Funds	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0				\$0
e FY 2009-10 Funds	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0				\$0
f FY 2010-11 Funds	\$0	\$0	\$2,088,107	\$34,360	\$0	\$21,186	\$0	\$0				\$2,143,653
g FY 2011-12 Funds	\$0	\$0	\$0	\$15,223	\$0	\$56,664	\$0	\$0				\$71,887
h FY 2012-13 Funds	\$0	\$0	\$0	\$0	\$0		\$0					\$0
i FY 2013-14 Funds	\$0	\$0	\$884,212	\$0	-\$110		\$0					\$884,102
j FY 2014-15 Funds	\$0	\$0	\$1,411,288	\$0	\$0		\$0		\$0			\$1,411,288
k FY 2015-16 Funds	\$9,692,804	\$1,527,902	\$1,156,891	\$21,225	\$110		\$0		\$0			\$12,398,933
l Interest	\$93,806	\$55,180	\$86,889	\$8,645	\$0	\$1,806	\$0	\$0	\$0		\$246,325	\$246,325
m TOTAL	\$9,786,610	\$1,583,082	\$5,627,387	\$829,327	\$0	\$79,656	\$0	\$0	\$0	\$600,000	\$246,325	\$18,506,062

TABLE B<sup>5</sup>

Estimated FFP Revenue Generated In FY 2015-16	Amount
Federal Financial Participation (FFP)	\$1,058,218

RER Contact Person	
<b>Name</b>	John Klyver / Emy D. Atijera
<b>Title</b>	Fiscal Officer / Senior Accountant
<b>Phone</b>	650.573.2641 / 650.573.2554
<b>Email</b>	JKlyver@smcgov.org / Eatijera@smcgov.org

Annual Mental Health Services Act Revenue and Expenditure Report for <input type="checkbox"/>				
Fiscal Year 2015-16				
Adjustments Summary				
County: San Mateo		Date: 10/18/2017		
Component		FY	Amount	Reason For Adjustment
<b>TOTAL</b>			<b>\$0</b>	

NOTE TO COUNTY: Total Adjustments recorded in the Adjustments Summary worksheet MUST match Total Adjustments

**Annual Mental Health Services Act Revenue and Expenditure Report  
FY 2015-16**

**END NOTES:**

<sup>1</sup> Total unspent funds from prior fiscal years MUST match the Total Unspent Funds in the Local MHS Fund reported on the prior year RER.

<sup>2</sup> Expenditure funding sources for each component must equal the total program expenditures as reported on the Component Summary Worksheets.

<sup>3</sup> WIC Section 5892(b) permits a County to use up to 20 percent of the average amount of funds allocated to the county for the previous five years to fund technological needs and capital facilities, human resource needs, and a prudent reserve. The amount of funds transferred from CSS will be reported in the CSS column as a negative amount. The funds transferred into WET, CFTN, or Prudent Reserve should be reflected as a positive amount. For each year reported, the amount transferred from CSS should equal zero when added to the funds transferred into WET, CFTN, or Prudent Reserve.

<sup>4</sup> Payments from the MHSA Fund should be reflected in the Adjustments section as a negative amount. Receipts into the MHSA Fund should be reflected in the Adjustments section as a positive amount.

<sup>5</sup> Total Unspent funds in the Local MHS Fund will auto populate for each Fiscal Year.

<sup>6</sup> The FFP amount represents the estimated FFP revenue generated in FY 2015-16 and attributed to MHSA.