

# Annual Mental Health Services Act Revenue and Expenditure Report

This PDF document is a copy of the Annual Revenue and Expenditure Report (ARER) which is submitted by County Mental Health Plans (usually, the County Behavioral Health Department) to the Department of Health Care Services and the MHSOAC. This document is made available to the public through the Fiscal Reporting tool created by the MHSOAC to support fiscal transparency. The Fiscal Reporting tool is based on the data submitted by counties through Annual Revenue and Expenditure Reports.

Annual Revenue and Expenditure Reports can also be downloaded from the [DHCS website \(http://www.dhcs.ca.gov/services/MH/Pages/Annual-Revenue-and-Expenditure-Reports-by-County.aspx\)](http://www.dhcs.ca.gov/services/MH/Pages/Annual-Revenue-and-Expenditure-Reports-by-County.aspx)

The following report provides annual point-in-time estimates (similar to a checkbook balance) of County MHSAs revenues received and expended for each component of the MHSAs, as well as end of year closing balances. The reports are intended to represent a snapshot of County MHSAs financial information as of June 30th, the last day of each fiscal year. Counties emphasize that the ARER reports are difficult to tie to their official, audited financial statements and should be interpreted with caution.

Financial summaries presented in this report are broken down by the following MHSAs components:

- Community Services and Supports (CSS)
- Prevention and Early Intervention (PEI)
- Innovation (INN)
- Workforce Education & Training (WET)
- Capital Facility & Technological Needs (CFTN)
- Other MHSAs components

**Annual Mental Health Services Act Revenue and Expenditure Report for  
Fiscal Year 2012-13**

County: **San Francisco**

Date:

4/15/2015

Community Services and Supports Component	Total (Gross) Mental Health Expenditures
<b>FSP Programs</b>	
1 CSS Full Service Partnership 1. CYF (0-5)	\$120
2 CSS Full Service Partnership 2. CYF (6-18)	\$855,063
3 CSS Full Service Partnership 3. TAY (18-24)	\$1,554,404
4 CSS Full Service Partnership 4. Adults (18-59)	\$4,044,409
5 CSS Full Service Partnership 5. Older Adults (60+)	\$800,826
6 CSS FSP Permanent Housing (capital units and master lease)	\$571,810
7 FSP clients served by Peer-to-Peer Supports: Clinic and Co	\$308,969
8 FSP clients served by Vocational Services (30% FSP)	\$67,735
9 FSP clients served by Emergency Stabilization Housing (60	\$162,785
10 FSP clients served by Housing Placement and Supportive S	\$12,869
11 FSP clients served by ROUTZ TAY Transitional Housing (6	\$325,117
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Subtotal FSP Programs	\$8,704,107
<b>Non-FSP Programs</b>	
1 See "Non-FSP detail" worksheet for Non-FSP program deta	\$5,825,146
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3	
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Subtotal Non-FSP Programs	\$5,825,146
<b>Total FSP and Non-FSP Programs</b>	\$14,529,252
<b>CSS Evaluation</b>	\$160,151
<b>CSS Administration</b>	\$1,584,029
<b>CSS MHSA Housing Program Assigned Funds</b>	
<b>Total CSS Expenditures</b>	\$16,273,433

**Year 2012-13**  
**Prevention and Early Intervention (PEI) Summary**

County: San Francisco

Date:

4/15/2015

<b>Prevention and Early Intervention Component</b>	<b>(A)</b> <b>Total (Gross) Mental Health Expenditures</b>
<b>PEI Programs-Prevention</b>	
1 Stigma Reduction	\$63,383
2 School-Based Mental Health Promotion (K-12)	\$528,544
3 School-Based Mental Health Promotion (Higher Education)	\$151,827
4 Population Focused Mental Health Promotion and	\$1,500,071
5 Mental Health Consultation and Capacity Building	\$769,125
6 Comprehensive Crisis Services	\$59,111
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Subtotal PEI Programs-Prevention	\$3,072,061
<b>PEI Programs-Early Intervention</b>	
15 School-Based Mental Health Promotion (K-12)	\$528,544
16 School-Based Mental Health Promotion (Higher Education)	\$151,827
17 Population Focused Mental Health Promotion and	\$1,500,071
18 Mental Health Consultation and Capacity Building	\$256,375
19 Comprehensive Crisis Services	\$531,997
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21	
22	
23	
Subtotal PEI Programs-Early Intervention	\$2,968,814
<b>Total PEI Programs</b>	<b>\$6,040,875</b>
<b>PEI Evaluation</b>	
<b>PEI Administration</b>	<b>\$247,141</b>
<b>Total PEI Expenditures</b>	<b>\$6,288,016</b>

**Fiscal Year 2012-13  
Innovation (INN) Summary**

**County:** San Francisco

**Date:**

4/15/2015

<b>Innovation Component</b>	<b>(A) Total (Gross) Mental Health Expenditures</b>
<b>Innovation Programs</b>	
1 Adapt the WRAP	\$76,437
2 Supported Employment and Cognitive Training	\$171,209
3 Peer-Led Hoarding and Cluttering Support Team	\$201,762
4 Collaboration with the Faith Community	\$19,414
5 Mini Grants	\$186,485
6 WAIST Nutrition Project	\$102,991
7 Building Bridges Clinic/School of Linking Project	\$262,104
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<b>Total INN Programs</b>	\$1,020,402
<b>Innovation Evaluation</b>	
<b>Innovation Administration</b>	\$301,037
<b>Total Innovation Expenditures</b>	<b>\$1,321,439</b>

**Fiscal Year 2012-13  
Workforce Education and Training (WET) Summary**

County: San Francisco

Date:

4/15/2015

<b>Workforce Education and Training Component</b>	<b>(A) Total (Gross) Mental Health Expenditures</b>
<b>WET Funding Category</b>	
Workforce Staffing Support	
Training and Technical Assistance	\$323,767
Mental Health Career Pathways Programs	\$320,192
Residency and Internship Programs	\$276,623
Financial Incentive Programs	
<b>Total WET Programs</b>	<b>\$920,582</b>
<b>WET Administration</b>	<b>\$122,360</b>
<b>Total WET Expenditures</b>	<b>\$1,042,942</b>

**Fiscal Year 2012-13  
Capital Facilities/Technological Needs (CF/TN) Summary**

County: San Francisco

Date:

4/15/2015

<b>Capital Facility/Technological Needs Projects</b>	<b>(A) Total (Gross) Mental Health Expenditures</b>
<b>Capital Facility Projects</b>	
1 Redwood Center Renovation	\$6,563
2 IHHC at Central YMCA (Tom Waddell)	\$257,186
3 Sunset Behavioral Health Center	\$587,075
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<b>Total CF Projects</b>	<b>\$850,824</b>
<b>Capital Facility Administration</b>	<b>\$0</b>
<b>Total Capital Facility Expenditures</b>	<b>\$850,824</b>
<b>Technological Needs Projects</b>	
1 Consumer Portal	\$16,106
2 Vocational IT	\$428,637
3 System Enhancements	\$378,905
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<b>Total TN Projects</b>	<b>\$823,647</b>
<b>Technological Needs Administration</b>	<b>\$316,077</b>
<b>Total Technological Needs Expenditures</b>	<b>\$1,139,724</b>
<b>Total CFTN Expenditures</b>	<b>\$1,990,549</b>

**Fiscal Year 2012-13  
TTACB, WET RP & PEI SWP Summary**

**County:** San Francisco

**Date:**

4/15/2015

	(A) Total (Gross) Expenditures
<b>Training, Technical Assistance and Capacity</b>	\$123,649
<b>WET Regional Partnerships</b>	
<b>PEI Statewide Projects</b>	

**Annual Mental Health Services Act Revenue and Expenditure Report  
FY 2012-13 Summary**

**TABLE A**

COUNTY: San Francisco

DATE: 4/15/2015

PEI Statewide Funds assigned to CalMHSA? (Y/N) N

Fiscal Year 2012-13		(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)	(K)
		Community Services and Supports	Prevention and Early Intervention	Innovation	Workforce Education and Training	Capital Facilities and Technological Needs	TTACB	WET Regional Partnerships	PEI Statewide Projects Funds	Prudent Reserve	Total-All Components
<b>1</b>	<b>Unspent Funds Available From Prior Fiscal Years<sup>1</sup></b>										
	a Local Prudent Reserve									\$1,000,000	\$1,000,000
	b FY 2006-07 Funds				\$0						\$0
	c FY 2007-08 Funds				\$0	\$0					\$0
	d FY 2008-09 Funds	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0		\$0
	e FY 2009-10 Funds	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0		\$0
	f FY 2010-11 Funds	\$0	\$1,451,975	\$3,045,991	\$910,317	\$5,691,671	\$2,569	\$0	\$0		\$11,102,523
	g FY 2011-12 Funds	\$7,204,567	\$3,700,466	\$952,151	\$11,027	\$68,945	\$121,080	\$0	\$0		\$12,058,236
	h Interest										\$0
	i TOTAL	\$7,204,567	\$5,152,441	\$3,998,142	\$921,344	\$5,760,616	\$123,649	\$0	\$0	\$1,000,000	\$24,160,759
<b>2</b>	<b>MHSA Funds Revenue in FY 2012-13</b>										
	a Transfer of funds from the Local Prudent Reserve									\$0	\$0
	b Revenue received from the State MHSA Fund <sup>2</sup>										
	1 FY 2012-13 MHSA Funds	\$22,431,889	\$5,607,972	\$1,475,782							\$29,515,643
	c Interest Earned on MHSA Funds	\$91,274	\$32,859	\$31,033		\$27,382					\$182,548
	d TOTAL	\$22,523,163	\$5,640,831	\$1,506,815	\$0	\$27,382	\$0	\$0	\$0	\$0	\$29,698,192
<b>3</b>	<b>Expenditure and Funding Sources for FY 2012-13<sup>3</sup></b>										
	a FY 2006-07 MHSA Funds										\$0
	b FY 2007-08 MHSA Funds										\$0
	c FY 2008-09 MHSA Funds										\$0
	d FY 2009-10 MHSA Funds										\$0
	e FY 2010-11 MHSA Funds		\$1,451,975	\$1,312,806	\$910,317	\$1,990,549	\$2,569				\$5,668,216
	f FY 2011-12 MHSA Funds	\$7,204,567	\$3,700,466		\$11,027		\$121,080				\$11,037,140
	g FY 2012-13 MHSA Funds	\$8,455,667	\$1,036,306		\$121,598						\$9,613,572
	h Interest										\$0
	i 1991 Realignment										\$0
	j Behavioral Health Subaccount										\$0
	k Other	\$613,198	\$99,269	\$8,633							\$721,100
	l TOTAL	\$16,273,433	\$6,288,016	\$1,321,439	\$1,042,942	\$1,990,549	\$123,649	\$0	\$0		\$27,040,027
	m Total Program Expenditures	\$16,273,433	\$6,288,016	\$1,321,439	\$1,042,942	\$1,990,549	\$123,649	\$0	\$0		\$27,040,027

NOTE TO COUNTY: Total Program Expenditures, 3(l), MUST match Total Expenditure Funding Sources, 3(k). If ERROR, recheck and correct.



COUNTY: San Francisco

DATE: 4/15/2015

PEI Statewide Funds assigned to CalMHSA? (Y/N) N

Fiscal Year 2012-13	(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)	(K)
	Community Services and Supports	Prevention and Early Intervention	Innovation	Workforce Education and Training	Capital Facilities and Technological Needs	TTACB	WET Regional Partnerships	PEI Statewide Projects Funds	Prudent Reserve	Total-All Components
<b>4 Transfers to Prudent Reserve, WET, CFTN<sup>4</sup></b>										
a FY 2010-11	\$0									\$0
b FY 2011-12	\$0									\$0
c FY 2012-13	-\$128,627			\$128,627						\$0
<b>5 Adjustments<sup>5</sup></b>										
a Local Prudent Reserve										\$0
b FY 2006-07 Funds										\$0
c FY 2007-08 Funds										\$0
d FY 2008-09 Funds										\$0
e FY 2009-10 Funds										\$0
f FY 2010-11 Funds										\$0
g FY 2011-12 Funds										\$0
h FY 2012-13 Funds	-\$763,777	-\$102,923	\$166	-\$7,029						-\$873,564
i Interest										\$0
j TOTAL	-\$763,777	-\$102,923	\$166	-\$7,029	\$0	\$0	\$0	\$0	\$0	-\$873,564
<b>6 Unspent Funds in the Local MHS Fund<sup>6</sup></b>										
a Local Prudent Reserve Balance									\$1,000,000	\$1,000,000
b FY 2006-07 Funds				\$0						\$0
c FY 2007-08 Funds				\$0	\$0					\$0
d FY 2008-09 Funds	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0		\$0
e FY 2009-10 Funds	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0		\$0
f FY 2010-11 Funds	\$0	\$0	\$1,733,185	\$0	\$3,701,122	\$0	\$0	\$0		\$5,434,307
g FY 2011-12 Funds	\$0	\$0	\$952,151	\$0	\$68,945	\$0	\$0	\$0		\$1,021,096
h FY 2012-13 Funds	\$13,083,817	\$4,468,743	\$1,475,948	\$0	\$0					\$19,028,508
i Interest	\$91,274	\$32,859	\$31,033	\$0	\$27,382	\$0	\$0	\$0		\$182,548
j TOTAL	\$13,175,091	\$4,501,602	\$4,192,317	\$0	\$3,797,450	\$0	\$0	\$0	\$1,000,000	\$26,666,460

TABLE B<sup>7</sup>

Estimated FFP Revenue Generated In FY 2012-13	Amount
Federal Financial Participation (FFP)	\$721,100

RER Contact Person	
Name	Marlo Simmons
Title	MHSA Director
Phone	(415) 255-3915
Email	<a href="mailto:Marlo.Simmons@sfdph.org">Marlo.Simmons@sfdph.org</a>

**Annual Mental Health Services Act Revenue and Expenditure Report for Fiscal Year 2012-13  
Adjustments Summary**

**County:** San Francisco  
**Date:** 4/15/2015

<b>FY</b>	<b>Amount</b>	<b>Reason For Adjustment</b>
06-07	-\$16,925	Adjusting for Cost Report Settlement and estimated FFP revenue generated in FY 06-07 that is applicable to MHSA funds - CSS component.
07-08	-\$119,402	Adjusting for Cost Report Settlement and estimated FFP revenue generated in FY 07-08 that is applicable to MHSA funds - CSS component.
08-09	-\$498,158	Adjusting for Cost Report Settlement and FFP generated FY 08-09 that is applicable to MHSA funds.
09-10	-\$148,874	Adjusting for Cost Report Settlement and estimated FFP generated in FY 09-10 that is applicable to MHSA funds - CSS component.
09-10	-\$247,443	Adjusting for Cost Report Settlement for FY 09-10 that is applicable to MHSA funds - PEI component.
09-10	-\$7,029	Adjusting for Cost Report Settlement for FY 09-10 that is applicable to MHSA funds - WET component.
10-11	\$186,623	Adjusting for Cost Report Settlement and estimated FFP generated in FY 10-11 that is applicable to MHSA funds - CSS component.
10-11	\$66,794	Adjusting for Cost Report Settlement and estimated FFP generated in FY 10-11 that is applicable to MHSA funds - PEI component.
11-12	-\$167,042	Adjusting for Cost Report Settlement for FY 11-12 that is applicable to MHSA funds - CSS component.
11-12	\$77,726	Adjusting for Cost Report Settlement and estimated FFP generated in FY 11-12 that is applicable to MHSA funds - PEI component.
11-12	\$166	Adjusting for Cost Report Settlement for FY 11-12 that is applicable to MHSA funds - INN component.
<b>TOTAL</b>	<b>-\$873,564</b>	
	<b>-\$873,564</b>	

**NOTE TO COUNTY:** Total Adjustments in cell B22 MUST match Total Adjustments in cell P55 on the RER Summary Worksheet. If ERROR, recheck and correct.

**Annual Mental Health Services Act Revenue and Expenditure Report  
FY 2012-13**

**END NOTES:**

- <sup>1</sup> Total unspent funds from prior fiscal years MUST match the Total Unspent Funds in the Local MHS Fund from prior year RER.
- <sup>2</sup> DHCS will utilize the allocation report provided by the SCO and counties should utilize the same report when reporting MHSA revenue. The report is available at: [http://www.sco.ca.gov/ard\\_payments\\_mentalhealthservicefund.html](http://www.sco.ca.gov/ard_payments_mentalhealthservicefund.html)
- <sup>3</sup> Expenditure funding sources for each component must equal the total program expenditures as reported on the Component Summary Worksheets.
- <sup>4</sup> WIC Section 5892(b) permits a County to use up to 20 percent of the average amount of funds allocated to the county for the previous five years to fund technological needs and capital facilities, human resource needs, and a prudent reserve. The amount of funds transferred from CSS will be reported in the CSS column as a negative amount. The funds transferred into WET, CFTN, or Prudent Reserve should be reflected as a positive amount. For each year reported, the amount transferred from CSS should equal zero when added to the funds transferred into WET, CFTN, or Prudent Reserve.
- <sup>5</sup> Payments from the MHSA Fund should be reflected in the Adjustments section as a negative amount. Receipts into the MHSA Fund should be reflected in the Adjustments section as a positive amount.
- <sup>6</sup> Total Unspent in the Local MHS Fund will auto populate for each Fiscal Year.
- <sup>7</sup> The FFP amount represents the estimated FFP revenue generated in FY 2012-13 and attributable to MHSA funds.

FY 12-13 MHSR Revenue and Expenditure Report  
 San Francisco  
 CSS Other Non-FSP Program Breakdown

<b>CSS Other Non-FSP Programs</b>	<b>Total (Gross) Expenditures</b>
CSS Other Non-FSP 1. Behavioral Health Access Center	\$ 908,341.65
CSS Other Non-FSP 2. Prevention and Recovery in Early Psychosis (PREP)	\$ 917,190.00
CSS Other Non-FSP 3. Trauma Recovery	\$ 323,500.36
CSS Other Non-FSP 4. Integration of Behavioral Health and Primary Care	\$ 1,084,879.62
CSS Other Non-FSP 5. Integration of Behavioral Health Into the Juvenile Justice System	\$ 392,482.67
CSS Other Non-FSP 6. Dual Diagnosis Residential Treatment	\$ 89,555.70
CSS Other Non-FSP 7. Peer-to-Peer Supports: Clinic and Community-Based (20% FSP)	\$ 1,235,874.14
CSS Other Non-FSP 8. Vocational Services (30% FSP)	\$ 158,049.28
CSS Other Non-FSP 9. Emergency Stabilization Housing (50% FSP)	\$ 162,784.83
CSS Other Non-FSP 10. Housing Placement and Supportive Services (Direct Access to Housing) (20% FSP)	\$ 51,477.74
CSS Other Non-FSP 11. ROUJZ TAY Transitional Housing (50% FSP)	\$ 325,116.82
CSS Other Non-FSP 12. Expanding Outpatient MH Clinic Capacity	\$ 175,892.73
<b>CSS Other Non-FSP Programs Expenditures Total</b>	<b>\$ 5,825,145.55</b>