

Annual Mental Health Services Act Revenue and Expenditure Report

This PDF document is a copy of the Annual Revenue and Expenditure Report (ARER) which is submitted by County Mental Health Plans (usually, the County Behavioral Health Department) to the Department of Health Care Services and the MHSOAC. This document is made available to the public through the Fiscal Reporting tool created by the MHSOAC to support fiscal transparency. The Fiscal Reporting tool is based on the data submitted by counties through Annual Revenue and Expenditure Reports.

Annual Revenue and Expenditure Reports can also be downloaded from the [DHCS website \(http://www.dhcs.ca.gov/services/MH/Pages/Annual-Revenue-and-Expenditure-Reports-by-County.aspx\)](http://www.dhcs.ca.gov/services/MH/Pages/Annual-Revenue-and-Expenditure-Reports-by-County.aspx)

The following report provides annual point-in-time estimates (similar to a checkbook balance) of County MHSAs revenues received and expended for each component of the MHSAs, as well as end of year closing balances. The reports are intended to represent a snapshot of County MHSAs financial information as of June 30th, the last day of each fiscal year. Counties emphasize that the ARER reports are difficult to tie to their official, audited financial statements and should be interpreted with caution.

Financial summaries presented in this report are broken down by the following MHSAs components:

- Community Services and Supports (CSS)
- Prevention and Early Intervention (PEI)
- Innovation (INN)
- Workforce Education & Training (WET)
- Capital Facility & Technological Needs (CFTN)
- Other MHSAs components

**Annual Mental Health Services Act Revenue and Expenditure Report for
Fiscal Year 2012-13**

County: San Luis Obispo

Date:

3/30/2015

Community Services and Supports Component	Total (Gross) Mental Health Expenditures
FSP Programs	
1 Children	\$829,814
2 TAY	\$576,482
3 Adult	\$2,141,093
4 Older Adult	\$287,054
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22	
23	
24	
25	
Subtotal FSP Programs	\$3,834,442
Non-FSP Programs	
1 Client and Family Wellness Support	\$1,389,072
2 Latino Outreach and Engagement	\$535,838
3 Enhanced Crisis and Aftercare	\$738,248
4 Community School Mental Health Services	\$366,170
5 Forensic Mental Health	\$251,488
6	
7	
8	
Subtotal Non-FSP Programs	\$3,280,816
Total FSP and Non-FSP Programs	\$7,115,259
CSS Evaluation	\$107,994
CSS Administration	\$194,437
CSS MHSA Housing Program Assigned Funds	
Total CSS Expenditures	\$7,417,690

**Year 2012-13
Prevention and Early Intervention (PEI) Summary**

County: San Luis Obispo

Date:

3/30/2015

Prevention and Early Intervention Component	(A) Total (Gross) Mental Health Expenditures
PEI Programs-Prevention	
1 MH Awareness and Stigma Reduction	\$167,195
2 School Based Wellness Project	\$388,459
3 Family, Education, Training, and Support	\$99,000
4 Early Care and Support for Underserved	\$306,920
5 Integrated Community Wellness	\$86,000
6	
7	
8	
9	
10	
11	
12	
13	
14	
Subtotal PEI Programs-Prevention	\$1,047,574
PEI Programs-Early Intervention	
15 MH Awareness and Stigma Reduction	\$0
16 School Based Wellness Project	\$225,510
17 Family, Education, Training, and Support	\$0
18 Early Care and Support for Underserved	\$84,999
19 Integrated Community Wellness	\$291,344
20	
21	
22	
23	
Subtotal PEI Programs-Early Intervention	\$601,853
Total PEI Programs	\$1,649,427
PEI Evaluation	\$52,742
PEI Administration	\$104,620
Total PEI Expenditures	\$1,806,789

**Fiscal Year 2012-13
Innovation (INN) Summary**

County: San Luis Obispo

Date:

3/30/2015

Innovation Component	(A) Total (Gross) Mental Health Expenditures
Innovation Programs	
1 System Empowerment	\$17,909
2 Atascadero Student Wellness Career Project	\$116,119
3 Older Adult Family Facilitation	\$87,450
4 Nonviolent Communication Education	\$33,089
5 Wellness Arts	\$49,548
6 Warm Reception and Family Guidance	\$135,153
7 Operation Coastal Care	\$114,767
8 Outreach Play Therapy Trial	\$127,662
9	
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11	
12	
13	
14	
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16	
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21	
22	
23	
24	
25	
Total INN Programs	\$681,696
Innovation Evaluation	\$0
Innovation Administration	\$85,833
Total Innovation Expenditures	\$767,529

**Fiscal Year 2012-13
Workforce Education and Training (WET) Summary**

County: San Luis Obispo **Date:** 3/30/2015

	(A)
Workforce Education and Training Component	Total (Gross) Mental Health Expenditures
WET Funding Category	
Workforce Staffing Support	\$0
Training and Technical Assistance	\$70,556
Mental Health Career Pathways Programs	\$0
Residency and Internship Programs	\$36,956
Financial Incentive Programs	\$42,362
Total WET Programs	\$149,874
WET Administration	\$38,032
Total WET Expenditures	\$187,906

**Fiscal Year 2012-13
Capital Facilities/Technological Needs (CF/TN) Summary**

County: San Luis Obispo **Date:** 3/30/2015

	(A)
Capital Facility/Technological Needs Projects	Total (Gross) Mental Health Expenditures
Capital Facility Projects	
1	
2	
3	
4	
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11	
12	
Total CF Projects	\$0
Capital Facility Administration	\$0
Total Capital Facility Expenditures	\$0
Technological Needs Projects	
1 Personnel	\$525,110
2 Hardware	\$47,835
3 Software	\$65,741
4 Contract	\$187,374
5 Other	\$48,124
6	
7	
8	
9	
10	
11	
12	
13	
Total TN Projects	\$874,185
Technological Needs Administration	\$68,879
Total Technological Needs Expenditures	\$943,064
Total CFTN Expenditures	\$943,064

**Fiscal Year 2012-13
TTACB, WET RP & PEI SWP Summary**

County: San Luis Obispo

Date:

3/30/2015

	(A) Total (Gross) Expenditures
Training, Technical Assistance and Capacity	\$5,933
WET Regional Partnerships	
PEI Statewide Projects	

**Annual Mental Health Services Act Revenue and Expenditure Report
FY 2012-13 Summary**

TABLE A

COUNTY: San Luis Obispo

DATE: 3/30/2015

PEI Statewide Funds assigned to CalMHSA? (Y/N) No

Fiscal Year 2012-13	(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)	(K)
	Community Services and Supports	Prevention and Early Intervention	Innovation	Workforce Education and Training	Capital Facilities and Technological Needs	TTACB	WET Regional Partnerships	PEI Statewide Projects Funds	Prudent Reserve	Total-All Components
1 Unspent Funds Available From Prior Fiscal Years¹										
a Local Prudent Reserve									\$2,009,458	\$2,009,458
b FY 2006-07 Funds				\$0						\$0
c FY 2007-08 Funds				\$489,158	\$0					\$489,158
d FY 2008-09 Funds	\$0	\$0	\$0	\$0	\$290,745	\$0	\$0	\$0		\$290,745
e FY 2009-10 Funds	\$0	\$0	\$0	\$0	\$294,950	\$35,141	\$0	\$0		\$330,091
f FY 2010-11 Funds	\$0	\$0	\$1,144,351	\$0	\$294,950	\$38,400	\$0	\$0		\$1,477,701
g FY 2011-12 Funds	\$1,776,534	\$905,245	\$331,900	\$0	\$294,950	\$38,400	\$0	\$0		\$3,347,029
h Interest	\$342,841	\$62,875	\$23,387	\$20,173	\$48,111	\$1,689	\$0	\$0		\$499,076
i TOTAL	\$2,119,375	\$968,120	\$1,499,638	\$509,331	\$1,223,706	\$113,630	\$0	\$0	\$2,009,458	\$8,443,258
2 MHSA Funds Revenue in FY 2012-13										
a Transfer of funds from the Local Prudent Reserve	\$0	\$0							\$0	\$0
b Revenue received from the State MHSA Fund ²										
1 FY 2012-13 MHSA Funds	\$8,232,298	\$2,058,075	\$541,599							\$10,831,971
c Interest Earned on MHSA Funds	\$16,400	\$5,351	\$2,412	\$247	\$2,964	\$19	\$0	\$0	\$0	\$27,393
d TOTAL	\$8,248,698	\$2,063,426	\$544,011	\$247	\$2,964	\$19	\$0	\$0	\$0	\$10,859,364
3 Expenditure and Funding Sources for FY 2012-13³										
a FY 2006-07 MHSA Funds				\$0						\$0
b FY 2007-08 MHSA Funds				\$78,746	\$0					\$78,746
c FY 2008-09 MHSA Funds				\$0	\$290,745					\$290,745
d FY 2009-10 MHSA Funds				\$0	\$294,950					\$294,950
e FY 2010-11 MHSA Funds	\$0	\$0	\$767,529	\$0	\$294,950	\$5,933	\$0	\$0		\$1,068,412
f FY 2011-12 MHSA Funds	\$1,776,534	\$905,245	\$0	\$0	\$62,419	\$0	\$0	\$0		\$2,744,198
g FY 2012-13 MHSA Funds	\$3,435,811	\$797,418	\$0							\$4,233,229
h Interest	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0		\$0
i 1991 Realignment	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0		\$0
j Behavioral Health Subaccount	\$518,241	\$0	\$0	\$44,303	\$0	\$0	\$0	\$0		\$562,544
k Other	\$1,687,104	\$104,126	\$0	\$64,857	\$0	\$0	\$0	\$0		\$1,856,088
l TOTAL	\$7,417,690	\$1,806,789	\$767,529	\$187,906	\$943,064	\$5,933	\$0	\$0		\$11,128,911
m Total Program Expenditures	\$7,417,690	\$1,806,789	\$767,529	\$187,906	\$943,064	\$5,933	\$0	\$0		\$11,128,911

NOTE TO COUNTY: Total Program Expenditures, 3(l), MUST match Total Expenditure Funding Sources, 3(k). If ERROR, recheck and correct.

COUNTY: San Luis Obispo

DATE: 3/30/2015

PEI Statewide Funds assigned to CalMHSA? (Y/N)	No
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Fiscal Year 2012-13	(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)	(K)
	Community Services and Supports	Prevention and Early Intervention	Innovation	Workforce Education and Training	Capital Facilities and Technological Needs	TTACB	WET Regional Partnerships	PEI Statewide Projects Funds	Prudent Reserve	Total-All Components
4 Transfers to Prudent Reserve, WET, CFTN⁴										
a FY 2010-11	\$0									\$0
b FY 2011-12	\$0									\$0
c FY 2012-13	-\$736,000								\$736,000	\$0
5 Adjustments⁵										
a Local Prudent Reserve									\$67,608	\$67,608
b FY 2006-07 Funds										\$0
c FY 2007-08 Funds										\$0
d FY 2008-09 Funds										\$0
e FY 2009-10 Funds										\$0
f FY 2010-11 Funds						-\$67,608				-\$67,608
g FY 2011-12 Funds										\$0
h FY 2012-13 Funds	\$55,825	\$4,970								\$60,795
i Interest										\$0
j TOTAL	\$55,825	\$4,970	\$0	\$0	\$0	-\$67,608	\$0	\$0	\$67,608	\$60,795
6 Unspent Funds in the Local MHS Fund⁶										
a Local Prudent Reserve Balance									\$2,813,066	\$2,813,066
b FY 2006-07 Funds				\$0						\$0
c FY 2007-08 Funds				\$410,412	\$0					\$410,412
d FY 2008-09 Funds	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0		\$0
e FY 2009-10 Funds	\$0	\$0	\$0	\$0	\$0	\$35,141	\$0	\$0		\$35,141
f FY 2010-11 Funds	\$0	\$0	\$376,822	\$0	\$0	-\$35,141	\$0	\$0		\$341,681
g FY 2011-12 Funds	\$0	\$0	\$331,900	\$0	\$232,531	\$38,400	\$0	\$0		\$602,831
h FY 2012-13 Funds	\$4,116,312	\$1,265,627	\$541,599	\$0	\$0					\$5,923,537
i Interest	\$359,241	\$68,226	\$25,799	\$20,420	\$51,075	\$1,708	\$0	\$0		\$526,469
j TOTAL	\$4,475,553	\$1,333,853	\$1,276,119	\$430,832	\$283,606	\$40,108	\$0	\$0	\$2,813,066	\$10,653,137

TABLE B ⁷	
Estimated FFP Revenue Generated In FY 2012-13	Amount
Federal Financial Participation (FFP)	\$1,632,400

RER Contact Person	
Name	Raven Lopez
Title	Accountant III
Phone	805-781-4783
Email	rclopez@co.slo.ca.us

**Annual Mental Health Services Act Revenue and Expenditure Report for Fiscal Year 2012-13
Adjustments Summary**

County: San Luis Obispo

Date: 3/30/2015

FY	Amount	Reason For Adjustment
FY 2012-13	\$55,825	Refund from vendor
FY 2012-13	\$4,970	JJPA Refund
FY 2009-10	-\$29,208	PEI TTACB Funds Moved to Prudent Reserve (see attach'd letter)
FY 2010-11	-38400	PEI TTACB Funds Moved to Prudent Reserve (see attach'd letter)
FY 2012-13	\$67,608	PEI TTACB Funds Moved to Prudent Reserve (see attach'd letter)
TOTAL	\$60,795	
	\$60,795	

NOTE TO COUNTY: Total Adjustments in cell B22 MUST match Total Adjustments in cell P55 on the RER Summary Worksheet. If ERROR, recheck and correct.

**Annual Mental Health Services Act Revenue and Expenditure Report
FY 2012-13**

END NOTES:

- ¹ Total unspent funds from prior fiscal years MUST match the Total Unspent Funds in the Local MHS Fund from prior year RER.
- ² DHCS will utilize the allocation report provided by the SCO and counties should utilize the same report when reporting MHSA revenue. The report is available at: http://www.sco.ca.gov/ard_payments_mentalhealthservicefund.html
- ³ Expenditure funding sources for each component must equal the total program expenditures as reported on the Component Summary Worksheets.
- ⁴ WIC Section 5892(b) permits a County to use up to 20 percent of the average amount of funds allocated to the county for the previous five years to fund technological needs and capital facilities, human resource needs, and a prudent reserve. The amount of funds transferred from CSS will be reported in the CSS column as a negative amount. The funds transferred into WET, CFTN, or Prudent Reserve should be reflected as a positive amount. For each year reported, the amount transferred from CSS should equal zero when added to the funds transferred into WET, CFTN, or Prudent Reserve.
- ⁵ Payments from the MHSA Fund should be reflected in the Adjustments section as a negative amount. Receipts into the MHSA Fund should be reflected in the Adjustments section as a positive amount.
- ⁶ Total Unspent in the Local MHS Fund will auto populate for each Fiscal Year.
- ⁷ The FFP amount represents the estimated FFP revenue generated in FY 2012-13 and attributable to MHSA funds.