

| Annual Mental Health Services Act Revenue and Expenditures Report for Fiscal Year 2015-16 Community Services and Support (CSS) Summary | | | | | |
|--|--------------------|-----------------|---|----|--|
| County: Santa Clara | | Date: 12/8/2017 | | | |
| Community Services and Supports Component | *Target Population | | | | Total (Gross) Mental Health Expenditures |
| | C | TAY | A | OA | |
| FSP Programs | | | | | |
| 1 C01 Child Full Service Partnership | X | | | | \$4,100,038 |
| 2 T01 TAY Full Service Partnership | | X | | | \$4,348,902 |
| 3 A01 Adult Full Service Partnership | | | X | | \$6,425,604 |
| 4 A03 Criminal Justice Full Service Partnership | | X | X | X | \$8,809,827 |
| 5 OA01 Older Adult Full Service Partnership | | | | X | \$830,401 |
| 6 T0204 TAY Behavioral Health Services | | X | | | \$369,400 |
| 7 A04 Urgent Care/CWBC | | X | X | X | \$2,033,058 |
| 8 A05 Self Help | | X | X | X | \$217,349 |
| 9 OA02 Older Adult Behavioral Health Services | | | | X | \$226,554 |
| 10 H01 Housing | | X | X | X | \$2,746,967 |
| 11 LP01 Learning Partnership | X | X | X | X | \$428,118 |
| 12 LP02 Decision Support | X | X | X | X | \$412,421 |
| 13 WET Training | X | X | X | X | \$1,098,575 |
| 14 | | | | | |
| 15 | | | | | |
| 16 | | | | | |
| 17 | | | | | |
| 18 | | | | | |
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| 21 | | | | | |
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| 23 | | | | | |
| 24 | | | | | |
| 25 | | | | | |
| Subtotal FSP Programs | | | | | \$32,047,214 |
| Non-FSP Programs | | | | | |
| 1 C02 Child System Developmnt | X | | | | \$115,829 |
| 2 C02 Child Behavioral Health Services | X | | | | \$4,862,015 |
| 3 T0204 TAY Behavioral Health Services | | X | | | \$1,477,600 |
| 4 A02 Wellness & Recovery | | X | X | X | \$16,847,357 |
| 5 A04 Urgent Care/CWBC | | X | X | X | \$6,099,174 |
| 6 A05 Self Help | | X | X | X | \$652,048 |
| 7 OA02 Older Adult Behavioral Health Services | | | | X | \$1,132,769 |
| 8 H01 Housing | | X | X | X | \$410,466 |
| 9 LP01 Learning Partnership | X | X | X | X | \$428,118 |
| 10 LP02 Decision Support | X | X | X | X | \$412,420 |
| 11 WET Training | X | X | X | X | \$1,098,575 |
| 12 | | | | | |
| 13 | | | | | |
| 14 | | | | | |
| 15 | | | | | |
| Subtotal Non-FSP Programs | | | | | \$33,536,371 |
| Total FSP and Non-FSP Programs | | | | | \$65,583,585 |
| CSS Evaluation | | | | | |
| CSS Administration | | | | | \$1,577,508 |
| CSS MHSA Housing Program Assigned Funds | | | | | |
| Total CSS Expenditures | | | | | \$67,161,093 |

* Please place an "X" in the target populations that is served by the program.

| Annual Mental Health Services Act Revenue and Expenditure Report for | | | | | | | |
|--|-------------------------------------|---------------------|-----|-------|----|-----------------------------|---------------|
| Fiscal Year 2015-16 | | | | | | | |
| Prevention and Early Intervention (PEI) Summary | | | | | | | |
| County: | | Santa Clara | | Date: | | 12/8/2017 | |
| Prevention and Early Intervention Component | | **Target Population | | | | Total (Gross) Mental Health | * Estimated % |
| PEI Programs-Prevention | | C | TAY | A | OA | | |
| 1 | P1 Engagement/Capacity Building | X | X | X | X | \$1,569,356.00 | 14% |
| 2 | P2 Strengthenng Families & Children | X | X | | | \$7,729,349.00 | 69% |
| 3 | P3 Early Onset | X | X | | | \$310,046.00 | 3% |
| 4 | P4 Primary Care Integration | | X | X | X | \$750,154.00 | 7% |
| 5 | P5 Suicide Prevention | X | X | X | X | \$838,385.00 | 7% |
| 6 | | | | | | | 0% |
| 7 | | | | | | | 0% |
| 8 | | | | | | | 0% |
| 9 | | | | | | | 0% |
| 10 | | | | | | | 0% |
| 11 | | | | | | | 0% |
| 12 | | | | | | | 0% |
| 13 | | | | | | | 0% |
| 14 | | | | | | | 0% |
| 15 | | | | | | | 0% |
| Subtotal PEI Programs-Prevention | | | | | | \$11,197,290 | 100% |
| PEI Programs-Early Intervention | | C | TAY | A | OA | | |
| 1 | P1 Engagement/Capacity Building | X | X | X | X | \$0 | 0% |
| 2 | P2 Strengthenng Families & Children | X | X | | | \$7,729,349 | 64% |
| 3 | P3 Early Onset | X | X | | | \$1,240,184 | 10% |
| 4 | P4 Primary Care Integration | | X | X | X | \$3,000,617 | 25% |
| 5 | P5 Suicide Prevention | X | X | X | X | \$93,154 | 1% |
| 6 | | | | | | | 0% |
| 7 | | | | | | | 0% |
| 8 | | | | | | | 0% |
| 9 | | | | | | | 0% |
| 10 | | | | | | | 0% |
| 11 | | | | | | | 0% |
| 12 | | | | | | | 0% |
| 13 | | | | | | | 0% |
| 14 | | | | | | | 0% |
| 15 | | | | | | | 0% |
| Subtotal PEI Programs-Early Intervention | | | | | | \$12,063,304 | 100% |
| PEI Programs-Other | | C | TAY | A | OA | | |
| 1 | | | | | | | 0% |
| 2 | | | | | | | 0% |
| 3 | | | | | | | 0% |
| 4 | | | | | | | 0% |
| 5 | | | | | | | 0% |
| Subtotal PEI Programs-Other | | | | | | \$0 | 0% |
| Subtotal PEI Programs-Prevention & Early Intervention and Other | | | | | | \$23,260,594 | |
| PEI Evaluation | | | | | | | |
| PEI Administration | | | | | | \$844,785 | |
| PEI Funds transfer to CalMHSA or JPA | | | | | | | |
| Total PEI Expenditures | | | | | | \$24,105,379 | |

* If PEI programs are combined, the County shall estimate the percentage of funds dedicated to each Program.

* Please place an "X" in the target populations that is served by each program.

| Annual Mental Health Services Act Revenue and Expenditure Report for <input type="checkbox"/> | | | | | |
|---|--------------------|-----------------|---|----|--|
| Fiscal Year 2015-16 | | | | | |
| Innovation (INN) Summary | | | | | |
| County: Santa Clara | | Date: 12/8/2017 | | | |
| Innovation Component | *Target Population | | | | Total (Gross) Mental Health Expenditures |
| Innovation Programs | C | TAY | A | OA | |
| 1 INN01 Universal Childhood Scree | X | | | | \$38,556 |
| 2 INN02 Peer Run Tay Inn | | X | | | \$1,177,941 |
| 3 INN04 Merging Old and New | | | | X | \$220,883 |
| 4 INN06 Newly Released Inmates | | X | X | X | \$867,404 |
| 5 INN09 ReEntry | | X | X | X | \$1,291 |
| 6 | | | | | |
| 7 | | | | | |
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| 24 | | | | | |
| 25 | | | | | |
| Subtotal | | | | | \$2,306,075 |
| Innovation Evaluation | | | | | |
| Innovation Administration | | | | | \$332,598 |
| Total Innovation Expenditures | | | | | \$2,638,673 |

* Please place an "X" in each target population served by the program.

| Annual Mental Health Services Act Revenue and Expenditure Report for <input type="checkbox"/> Fiscal Year 2015-16 Workforce Education and Training (WET) Summary | |
|---|---|
| County: Santa Clara | Date: 12/8/2017 |
| Workforce Education and Training Component | (A) Total (Gross) Mental Health Expenditures |
| WET Funding Category | |
| Workforce Staffing Support Training and Technical Assistance Mental Health Career Pathways Programs Residency and Internship Programs Financial Incentive Programs | |
| Total WET Programs | \$0 |
| WET Administration | |
| WET Evaluation (if applicable) | |
| Total WET Expenditures | \$0 |

| Annual Mental Health Services Act Revenue and Expenditure Report for <input type="checkbox"/> | |
|--|---|
| Fiscal Year 2015-16 | |
| Capital Facilities/Technological Needs (CF/TN) Summary | |
| County: Santa Clara | Date: 12/8/2017 |
| Capital Facility/Technological Needs Projects | Total (Gross) Mental Health Expenditures |
| Capital Facility Projects | |
| 1 Electronic Health Record | \$2,268,623 |
| 2 Electronic Data Warehouse | \$193,462 |
| 3 Capital Projects | \$1,928,808 |
| 4 Computer Learning Centers | \$85,989 |
| 5 | |
| 6 | |
| 7 | |
| 8 | |
| 9 | |
| 10 | |
| 11 | |
| 12 | |
| Total CF Projects | \$4,476,882 |
| Capital Facility Administration | |
| CF Evaluation (if applicable) | |
| Total Capital Facility Expenditures | \$4,476,882 |
| Technological Needs Projects | |
| 1 | |
| 2 | |
| 3 | |
| 4 | |
| 5 | |
| 6 | |
| 7 | |
| 8 | |
| 9 | |
| 10 | |
| 11 | |
| 12 | |
| 13 | |
| Total TN Projects | \$0 |
| Technological Needs Administration | |
| TN Evaluation (if applicable) | |
| Total Technological Needs Expenditures | \$0 |
| Total CFTN Expenditures | \$4,476,882 |

| Annual Mental Health Services Act Revenue and Expenditure Report for Fiscal Year 2015-16 | |
|---|-----------------------------------|
| Other MHSA Funds Summary | |
| County: Santa Clara | Date: 1/0/1900 |
| | Total (Gross) Expenditures |
| Training, Technical Assistance and Capacity Building (TTACB) | |
| WET Regional Partnerships (WET RP) | |
| PEI Statewide Projects (PEI SW) | |

| Annual Mental Health Services Act Revenue and Expenditure Report for <input type="checkbox"/> Fiscal Year 2015-16 Unencumbered Housing Funds Summary | |
|---|-----------------------------------|
| County: Santa Clara | Date: 12/8/2017 |
| | Total (Gross) Expenditures |
| Unencumbered MESA Housing Funds | \$0 |
| | |

Annual Mental Health Services Act Revenue and Expenditure Report
FY 2015-16 Summary

TABLE A

COUNTY: Santa Clara

DATE: 12/8/2017

PEI Statewide Funds assigned to CalMHSA? (Yes , No)

| Fiscal Year 2015-16 | | (A) | (B) | (C) | (D) | (E) | (F) | (G) | (H) | (I) | (J) | (K) | (L) |
|---------------------|---|---------------------------------|-----------------------------------|--------------|----------------------------------|--|-----------|---------------------------|------------------------------|---------------------------------|-----------------|-------------|----------------------|
| | | Community Services and Supports | Prevention and Early Intervention | Innovation | Workforce Education and Training | Capital Facilities and Technological Needs | TTACB | WET Regional Partnerships | PEI Statewide Projects Funds | Unencumbered MHSA Housing Funds | Prudent Reserve | Interest | Total-All Components |
| 1 | Unspent MHSA Funds Available in the MHS Fund¹ | | | | | | | | | | | | |
| | a Local Prudent Reserve | | | | | | | | | | \$20,118,387 | | \$20,118,387 |
| | b FY 2006-07 Funds | | | | | | | | | | | | \$0 |
| | c FY 2007-08 Funds | | | | | | | | | | | | \$0 |
| | d FY 2008-09 Funds | | | | | | | | | | | | \$0 |
| | e FY 2009-10 Funds | | | | | | | | | | | | \$0 |
| | f FY 2010-11 Funds | | | \$5,083,718 | | \$9,134,052 | \$289,900 | | | | | | \$14,507,670 |
| | g FY 2011-12 Funds | | | | | \$135,115 | \$2,050 | | | | | | \$137,165 |
| | h FY 2012-13 Funds | | | | | | | | | | | | \$0 |
| | i FY 2013-14 Funds | \$11,449,395 | \$3,611,185 | \$2,514,612 | | | | | | | | | \$17,575,192 |
| | j FY 2014-15 Funds | \$60,429,505 | \$15,107,376 | \$3,975,625 | -\$44,700 | | | | | | | | \$79,467,806 |
| | k Interest | | | | | | | | | | | \$1,708,491 | \$1,708,491 |
| | l. TOTAL | \$71,878,900 | \$18,718,561 | \$11,573,955 | -\$44,700 | \$9,269,167 | \$291,950 | \$0 | \$0 | \$0 | \$20,118,387 | \$1,708,491 | \$133,514,711 |
| 2 | MHSA Funds Revenue in FY 2015-16 | | | | | | | | | | | | |
| | a Transfer of funds from the Local Prudent Reserve | | | | | | | | | | | | \$0 |
| | b FY 2015-16 MHSA Revenue Received | \$49,914,892 | \$12,478,723 | \$3,283,874 | | | | | | | | | \$65,677,489 |
| | c FY 2015-16 Interest Earned on MHSA Funds | | | | | | | | | | | \$1,087,286 | \$1,087,286 |
| | d. TOTAL | \$49,914,892 | \$12,478,723 | \$3,283,874 | | | | \$0 | | \$0 | \$0 | \$1,087,286 | \$66,764,775 |
| 3 | Expenditure and Funding Sources for FY 2015-16² | | | | | | | | | | | | |
| A | MHSA Funds | | | | | | | | | | | | |
| | a FY 2006-07 MHSA Funds | | | | | | | | | | | | \$0 |
| | b FY 2007-08 MHSA Funds | | | | | | | | | | | | \$0 |
| | c FY 2008-09 MHSA Funds | | | | | | | | | | | | \$0 |
| | d FY 2009-10 MHSA Funds | | | | | | | | | | | | \$0 |
| | e FY 2010-11 MHSA Funds | | | \$2,321,122 | | \$3,203,751 | | | | | | | \$5,524,873 |
| | f FY 2011-12 MHSA Funds | | | | | | | | | | | | \$0 |
| | g FY 2012-13 MHSA Funds | | | | | | | | | | | | \$0 |
| | h FY 2013-14 MHSA Funds | \$11,449,395 | \$3,611,185 | | | | | | | | | | \$15,060,580 |
| | i FY 2014-15 MHSA Funds | \$31,108,572 | \$12,764,528 | | | | | | | | | | \$43,873,100 |
| | j FY 2015-16 MHSA Funds | | | | | | | | | | | | \$0 |
| | MHSA Net Expenditures Subtotal for FY 2015-16 | \$42,557,967 | \$16,375,713 | \$2,321,122 | \$0 | \$3,203,751 | \$0 | \$0 | \$0 | \$0 | | | \$64,458,553 |
| | k Interest | | | | | | | | | | | \$0 | \$0 |
| B | Other Funds | | | | | | | | | | | | |
| | a 1991 Realignment | | | | | | | | | | | | \$0 |
| | b Behavioral Health Subaccount | \$4,137,487 | \$3,496,505 | | | | | | | | | | \$7,633,992 |
| | c Other | \$20,465,639 | \$4,233,161 | \$317,551 | | \$1,273,131 | | | | | | | \$26,289,482 |
| | C TOTAL MHSA and Other Funding Sources | \$67,161,093 | \$24,105,379 | \$2,638,673 | \$0 | \$4,476,882 | \$0 | \$0 | \$0 | \$0 | | | \$98,382,027 |
| | D Total Program Expenditures | \$67,161,093 | \$24,105,379 | \$2,638,673 | \$0 | \$4,476,882 | \$0 | \$0 | \$0 | \$0 | | \$0 | \$98,382,027 |

NOTE TO COUNTY: Total MHSA and Other Funding Sources (3(C)), MUST match Total Program Expenditures (3(D)). If ERROR, recheck and correct.

| | |
|--|--------------|
| PEI Statewide Funds assigned to CalMHSA? | (Yes , No) |
|--|--------------|

| Fiscal Year 2015-16 | | (A) | (B) | (C) | (D) | (E) | (F) | (G) | (H) | (I) | (J) | (K) | (L) |
|---------------------|---|---------------------------------|-----------------------------------|--------------|----------------------------------|--|-----------|---------------------------|------------------------------|---------------------------------|-----------------|-------------|----------------------|
| | | Community Services and Supports | Prevention and Early Intervention | Innovation | Workforce Education and Training | Capital Facilities and Technological Needs | TTACB | WET Regional Partnerships | PEI Statewide Projects Funds | Unencumbered MHSA Housing Funds | Prudent Reserve | Interest | Total-All Components |
| 4 | Transfers to Prudent Reserve, WET, CFTN³ | | | | | | | | | | | | |
| | a FY 2013-14 | | | | | | | | | | | | \$0 |
| | b FY 2014-15 | | | | | | | | | | | | \$0 |
| | c FY 2015-16 | | | | | | | | | | | | \$0 |
| | Total Transfers to Prudent Reserve, WET, CFTN | \$0 | \$0 | \$0 | \$0 | \$0 | | | | | \$0 | | \$0 |
| 5 | Adjustments⁴ | | | | | | | | | | | | |
| | a Local Prudent Reserve | | | | | | | | | | | | \$0 |
| | b FY 2006-07 Funds | | | | | | | | | | | | \$0 |
| | c FY 2007-08 Funds | | | | | | | | | | | | \$0 |
| | d FY 2008-09 Funds | | | | | | | | | | | | \$0 |
| | e FY 2009-10 Funds | | | | | | | | | | | | \$0 |
| | f FY 2010-11 Funds | | | | | | | | | | | | \$0 |
| | g FY 2011-12 Funds | | | | | | | | | | | | \$0 |
| | h FY 2012-13 Funds | | | | | | | | | | | | \$0 |
| | i FY 2013-14 Funds | | | | | | | | | | | | \$0 |
| | j FY 2014-15 Funds | | | | | | | | | | | | \$0 |
| | k FY 2015-16 Funds | -\$44,700 | | | \$44,700 | | | | | | | | \$0 |
| | l Interest | | | | | | | | | | | | \$0 |
| | m TOTAL | -\$44,700 | \$0 | \$0 | \$44,700 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 6 | Unspent MHSA Funds in the Local MHS Fund⁵ | | | | | | | | | | | | |
| | a Local Prudent Reserve Balance | | | | | | | | | | \$20,118,387 | | \$20,118,387 |
| | b FY 2006-07 Funds | | | | \$0 | | | | | | | | \$0 |
| | c FY 2007-08 Funds | | | | \$0 | \$0 | | | | | | | \$0 |
| | d FY 2008-09 Funds | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | | | | \$0 |
| | e FY 2009-10 Funds | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | | | | \$0 |
| | f FY 2010-11 Funds | \$0 | \$0 | \$2,762,596 | \$0 | \$5,930,301 | \$289,900 | \$0 | \$0 | | | | \$8,982,797 |
| | g FY 2011-12 Funds | \$0 | \$0 | \$0 | \$0 | \$135,115 | \$2,050 | \$0 | \$0 | | | | \$137,165 |
| | h FY 2012-13 Funds | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | | | | | \$0 |
| | i FY 2013-14 Funds | \$0 | \$0 | \$2,514,612 | \$0 | \$0 | | \$0 | | | | | \$2,514,612 |
| | j FY 2014-15 Funds | \$29,320,933 | \$2,342,848 | \$3,975,625 | -\$44,700 | \$0 | | \$0 | | \$0 | | | \$35,594,706 |
| | k FY 2015-16 Funds | \$49,870,192 | \$12,478,723 | \$3,283,874 | \$44,700 | \$0 | | \$0 | | \$0 | | | \$65,677,489 |
| | l Interest | | | | | | | | | | | \$2,795,777 | \$2,795,777 |
| | m TOTAL | \$79,191,125 | \$14,821,571 | \$12,536,707 | \$0 | \$6,065,416 | \$291,950 | \$0 | \$0 | \$0 | \$20,118,387 | \$2,795,777 | \$135,820,933 |

TABLE B⁵

| Estimated FFP Revenue Generated In FY 2015-16 | Amount |
|---|--------------|
| Federal Financial Participation (FFP) | \$21,804,675 |

| RER Contact Person | |
|--------------------|---|
| Name | Martha Paine |
| Title | Director, General Fund Financial Services |
| Phone | 408 885 6860 |
| Email | martha.paine@hhs.sccgov.org |

| Annual Mental Health Services Act Revenue and Expenditure Report for <input type="checkbox"/> | | | |
|---|----|----------------|--------------------------|
| Fiscal Year 2015-16 | | | |
| Adjustments Summary | | | |
| County: Santa Clara | | Date: 1/0/1900 | |
| Component | FY | Amount | Reason For Adjustment |
| CSS | 16 | -\$44,700 | Resolve negative balance |
| WET | 16 | \$44,700 | Resolve negative balance |
| | | | |
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| | | | |
| TOTAL | | \$0 | |

NOTE TO COUNTY: Total Adjustments recorded in the Adjustments Summary worksheet MUST match Total Adjustments

**Annual Mental Health Services Act Revenue and Expenditure Report
FY 2015-16**

END NOTES:

¹ Total unspent funds from prior fiscal years MUST match the Total Unspent Funds in the Local MHS Fund reported on the prior year RER.

² Expenditure funding sources for each component must equal the total program expenditures as reported on the Component Summary Worksheets.

³ WIC Section 5892(b) permits a County to use up to 20 percent of the average amount of funds allocated to the county for the previous five years to fund technological needs and capital facilities, human resource needs, and a prudent reserve. The amount of funds transferred from CSS will be reported in the CSS column as a negative amount. The funds transferred into WET, CFTN, or Prudent Reserve should be reflected as a positive amount. For each year reported, the amount transferred from CSS should equal zero when added to the funds transferred into WET, CFTN, or Prudent Reserve.

⁴ Payments from the MHSA Fund should be reflected in the Adjustments section as a negative amount. Receipts into the MHSA Fund should be reflected in the Adjustments section as a positive amount.

⁵ Total Unspent funds in the Local MHS Fund will auto populate for each Fiscal Year.

⁶ The FFP amount represents the estimated FFP revenue generated in FY 2015-16 and attributed to MHSA.