

Annual Mental Health Services Act Revenue and Expenditure Report

This PDF document is a copy of the Annual Revenue and Expenditure Report (ARER) which is submitted by County Mental Health Plans (usually, the County Behavioral Health Department) to the Department of Health Care Services and the MHSOAC. This document is made available to the public through the Fiscal Reporting tool created by the MHSOAC to support fiscal transparency. The Fiscal Reporting tool is based on the data submitted by counties through Annual Revenue and Expenditure Reports.

Annual Revenue and Expenditure Reports can also be downloaded from the [DHCS website \(http://www.dhcs.ca.gov/services/MH/Pages/Annual-Revenue-and-Expenditure-Reports-by-County.aspx\)](http://www.dhcs.ca.gov/services/MH/Pages/Annual-Revenue-and-Expenditure-Reports-by-County.aspx)

The following report provides annual point-in-time estimates (similar to a checkbook balance) of County MHSAs revenues received and expended for each component of the MHSAs, as well as end of year closing balances. The reports are intended to represent a snapshot of County MHSAs financial information as of June 30th, the last day of each fiscal year. Counties emphasize that the ARER reports are difficult to tie to their official, audited financial statements and should be interpreted with caution.

Financial summaries presented in this report are broken down by the following MHSAs components:

- Community Services and Supports (CSS)
- Prevention and Early Intervention (PEI)
- Innovation (INN)
- Workforce Education & Training (WET)
- Capital Facility & Technological Needs (CFTN)
- Other MHSAs components

Annual Mental Health Services Act Revenue and Expenditures Report for Fiscal Year 2015-16 Community Services and Support (CSS) Summary					
County: Santa Cruz		Date: 7/17/2018			
Community Services and Supports Component	*Target Population				Total (Gross) Mental Health Expenditures
	C	TAY	A	OA	
FSP Programs					
1 Community Gate	x	x			\$0
2 Probation Gate	x	x			\$0
3 Child Welfare Services Gate	x	x			\$0
4 Education Gate	x	x			\$0
5 Special Focus - Family Partners Services	x	x	x		\$0
6 Enhanced Crisis Response		x	x	x	\$1,185,081
7 Consumer, Peer, and Family Services		x	x	x	\$323,055
8 Community Support Services		x	x	x	\$4,653,315
9					
10					
11					
12					
13					
14					
15					
16					
17					
18					
16					
20					
21					
22					
23					
24					
25					
Subtotal FSP Programs					\$6,161,451
Non-FSP Programs					
1 Community Gate	x	x			\$1,387,357
2 Probation Gate	x	x			\$758,641
3 Child Welfare Services Gate	x	x			\$1,396,337
4 Education Gate	x	x			\$267,549
5 Special Focus - Family Partners Services	x	x	x		\$92,300
6 Enhanced Crisis Response		x	x	x	\$894,009
7 Consumer, Peer, and Family Services		x	x	x	\$20,620
8 Community Support Services		x	x	x	\$1,312,473
9					
10					
11					
12					
13					
14					
15					
Subtotal Non-FSP Programs					\$6,129,286
Total FSP and Non-FSP Programs					\$12,290,737
CSS Evaluation					
CSS Administration					\$2,858,933
CSS MHSA Housing Program Assigned Funds					
Total CSS Expenditures					\$15,149,670

* Please place an "X" in the target populations that is served by the program.

Annual Mental Health Services Act Revenue and Expenditure Report for Fiscal Year 2015-16 Prevention and Early Intervention (PEI) Summary						
County: Santa Cruz		Date: 7/17/2018				
Prevention and Early Intervention Component	**Target Population				Total (Gross) Mental Health	* Estimated %
	C	TAY	A	OA		
PEI Programs-Prevention						
1 Children and Adolescents (0-17)	x	x			\$259,333.92	53%
2 Culture Specific Parent Education & Support	x	x	x		\$80,783.00	17%
3 Transition Age Youth and Adults		x	x		\$54,441.55	11%
4 Older Adult Services (>59)				x	\$92,695.50	19%
5						0%
6						0%
7						0%
8						0%
9						0%
10						0%
11						0%
12						0%
13						0%
14						0%
15						0%
Subtotal PEI Programs-Prevention					\$487,254	100%
PEI Programs-Early Intervention						
1 Children and Adolescents (0-17)	x	x			\$701,162.08	38%
2 Culture Specific Parent Education & Support	x	x	x		\$0	0%
3 Transition Age Youth and Adults		x	x		\$1,034,389	57%
4 Older Adult Services (>59)				x	\$92,696	5%
5						0%
6						0%
7						0%
8						0%
9						0%
10						0%
11						0%
12						0%
13						0%
14						0%
15						0%
Subtotal PEI Programs-Early Intervention					\$1,828,247	100%
PEI Programs-Other						
1						0%
2						0%
3						0%
4						0%
5						0%
Subtotal PEI Programs-Other					\$0	0%
Subtotal PEI Programs-Prevention & Early Intervention and Other					\$2,315,501	
PEI Evaluation						
PEI Administration					\$545,347	
PEI Funds transfer to CalMHSA or JPA						
Total PEI Expenditures					\$2,860,848	

* If PEI programs are combined, the County shall estimate the percentage of funds dedicated to each Program.

* Please place an "X" in the target populations that is served by each program.

Annual Mental Health Services Act Revenue and Expenditure Report for <input type="checkbox"/>					
Fiscal Year 2015-16					
Innovation (INN) Summary					
County: Santa Cruz		Date: 7/17/2018			
Innovation Component	*Target Population				Total (Gross) Mental Health Expenditures
Innovation Programs	C	TAY	A	OA	
1 Avenues: Work First for Individuals with Co-Occuring Disorders					\$671,859
2					
3					
4					
5					
6					
7					
8					
9					
10					
11					
12					
13					
14					
15					
16					
17					
18					
19					
20					
21					
22					
23					
24					
25					
Subtotal					\$671,859
Innovation Evaluation					
Innovation Administration					\$125,334
Total Innovation Expenditures					\$797,193

* Please place an "X" in each target population served by the program.

Annual Mental Health Services Act Revenue and Expenditure Report for <input type="checkbox"/> Fiscal Year 2015-16 Workforce Education and Training (WET) Summary	
County:	Santa Cruz
Date:	7/17/2018
Workforce Education and Training Component	(A) Total (Gross) Mental Health Expenditures
WET Funding Category	
Workforce Staffing Support	\$61,486
Training and Technical Assistance	\$26,742
Mental Health Career Pathways Programs	
Residency and Internship Programs	
Financial Incentive Programs	
Total WET Programs	\$88,228
WET Administration	\$18,924
WET Evaluation (if applicable)	
Total WET Expenditures	\$107,152

Annual Mental Health Services Act Revenue and Expenditure Report for <input type="checkbox"/> Fiscal Year 2015-16 Capital Facilities/Technological Needs (CF/TN) Summary	
County:	Santa Cruz
Date:	7/17/2018
Capital Facility/Technological Needs Projects	Total (Gross) Mental Health Expenditures
Capital Facility Projects	
1	
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	
Total CF Projects	\$0
Capital Facility Administration	
CF Evaluation (if applicable)	
Total Capital Facility Expenditures	\$0
Technological Needs Projects	
1 CFTN	\$1,027,905
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	
13	
Total TN Projects	\$1,027,905
Technological Needs Administration	
TN Evaluation (if applicable)	
Total Technological Needs Expenditures	\$1,027,905
Total CFTN Expenditures	\$1,027,905

Annual Mental Health Services Act Revenue and Expenditure Report for Fiscal Year 2015-16	
Other MHSA Funds Summary	
County: Santa Cruz	Date: 1/0/1900
	Total (Gross) Expenditures
Training, Technical Assistance and Capacity Building (TTACB)	
WET Regional Partnerships (WET RP)	
PEI Statewide Projects (PEI SW)	\$46,437

Annual Mental Health Services Act Revenue and Expenditure Report for <input type="checkbox"/> Fiscal Year 2015-16 Unencumbered Housing Funds Summary	
County: Santa Cruz	Date: 7/17/2018
	Total (Gross) Expenditures
Unencumbered MHSA Housing Funds	\$0

Annual Mental Health Services Act Revenue and Expenditure Report
FY 2015-16 Summary

TABLE A

COUNTY: Santa Cruz

DATE: 7/17/2018

PEI Statewide Funds assigned to CalMHSA?	Yes
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Fiscal Year 2015-16		(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)	(J)	(K)	(L)
		Community Services and Supports	Prevention and Early Intervention	Innovation	Workforce Education and Training	Capital Facilities and Technological Needs	TTACB	WET Regional Partnerships	PEI Statewide Projects Funds	Unencumbered MHSA Housing Funds	Prudent Reserve	Interest	Total-All Components
1	Unspent MHSA Funds Available in the MHS Fund¹												
	a Local Prudent Reserve										\$3,485,824		\$3,485,824
	b FY 2006-07 Funds												\$0
	c FY 2007-08 Funds												\$0
	d FY 2008-09 Funds												\$0
	e FY 2009-10 Funds		-\$26,611		\$75,365								\$48,754
	f FY 2010-11 Funds		-\$95,011			\$1,720,921							\$1,625,910
	g FY 2011-12 Funds								\$49,223				\$49,223
	h FY 2012-13 Funds		\$121,622										\$121,622
	i FY 2013-14 Funds		\$1,249,732										\$1,249,732
	j FY 2014-15 Funds	\$1,806,157	\$2,426,075	\$173,959									\$4,406,190
	k Interest											\$284,100	\$284,100
	l. TOTAL	\$1,806,157	\$3,675,807	\$173,959	\$75,365	\$1,720,921	\$0	\$0	\$49,223	\$0	\$3,485,824	\$284,100	\$11,271,355
2	MHSA Funds Revenue in FY 2015-16												
	a Transfer of funds from the Local Prudent Reserve												\$0
	b FY 2015-16 MHSA Revenue Received	\$8,023,669	\$2,005,917	\$527,873									\$10,557,459
	c FY 2015-16 Interest Earned on MHSA Funds											\$110,126	\$110,126
	d. TOTAL	\$8,023,669	\$2,005,917	\$527,873				\$0		\$0	\$0	\$110,126	\$10,667,585
3	Expenditure and Funding Sources for FY 2015-16²												
	A MHSA Funds												
	a FY 2006-07 MHSA Funds												\$0
	b FY 2007-08 MHSA Funds												\$0
	c FY 2008-09 MHSA Funds												\$0
	d FY 2009-10 MHSA Funds				\$75,365								\$75,365
	e FY 2010-11 MHSA Funds					\$1,027,905							\$1,027,905
	f FY 2011-12 MHSA Funds								\$46,437				\$46,437
	g FY 2012-13 MHSA Funds												\$0
	h FY 2013-14 MHSA Funds		\$1,249,732										\$1,249,732
	i FY 2014-15 MHSA Funds	\$1,806,157	\$1,141,142	\$173,959									\$3,121,258
	j FY 2015-16 MHSA Funds	\$7,772,530		\$352,008									\$8,124,538
	MHSA Net Expenditures Subtotal for FY 2015-16	\$9,578,687	\$2,390,874	\$525,967	\$75,365	\$1,027,905	\$0	\$0	\$46,437	\$0			\$13,645,235
	k Interest				\$4,048							\$4,048	\$4,048
	B Other Funds												
	a 1991 Realignment												\$0
	b Behavioral Health Subaccount	\$264,696	\$19,953										\$284,649
	c Other	\$5,306,287	\$450,021	\$271,226	\$27,739								\$6,055,273
	C TOTAL MHSA and Other Funding Sources	\$15,149,670	\$2,860,848	\$797,193	\$107,152	\$1,027,905	\$0	\$0	\$46,437	\$0			\$19,989,205
	D Total Program Expenditures	\$15,149,670	\$2,860,848	\$797,193	\$107,152	\$1,027,905	\$0	\$0	\$46,437	\$0		\$4,048	\$19,993,253

NOTE TO COUNTY: Total MHSA and Other Funding Sources (3(C)), MUST match Total Program Expenditures (3(D)). IF ERROR, recheck and correct.

PEI Statewide Funds assigned to CalMHSA?	Yes
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Fiscal Year 2015-16	(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)	(J)	(K)	(L)
	Community Services and Supports	Prevention and Early Intervention	Innovation	Workforce Education and Training	Capital Facilities and Technological Needs	TTACB	WET Regional Partnerships	PEI Statewide Projects Funds	Unencumbered MHSA Housing Funds	Prudent Reserve	Interest	Total-All Components
4 Transfers to Prudent Reserve, WET, CFTN³												
a FY 2013-14												\$0
b FY 2014-15												\$0
c FY 2015-16												\$0
Total Transfers to Prudent Reserve, WET, CFTN	\$0	\$0	\$0	\$0	\$0					\$0		\$0
5 Adjustments⁴												
a Local Prudent Reserve												\$0
b FY 2006-07 Funds												\$0
c FY 2007-08 Funds												\$0
d FY 2008-09 Funds												\$0
e FY 2009-10 Funds												\$0
f FY 2010-11 Funds												\$0
g FY 2011-12 Funds												\$0
h FY 2012-13 Funds												\$0
i FY 2013-14 Funds												\$0
j FY 2014-15 Funds												\$0
k FY 2015-16 Funds												\$0
l Interest												\$0
m TOTAL	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
6 Unspent MHSA Funds in the Local MHS Fund⁵												
a Local Prudent Reserve Balance										\$3,485,824		\$3,485,824
b FY 2006-07 Funds				\$0								\$0
c FY 2007-08 Funds				\$0	\$0							\$0
d FY 2008-09 Funds	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0			\$0
e FY 2009-10 Funds	\$0	-\$26,611	\$0	\$0	\$0	\$0	\$0	\$0	\$0			-\$26,611
f FY 2010-11 Funds	\$0	-\$95,011	\$0	\$0	\$693,016	\$0	\$0	\$0	\$0			\$598,005
g FY 2011-12 Funds	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$2,786				\$2,786
h FY 2012-13 Funds	\$0	\$121,622	\$0	\$0	\$0		\$0					\$121,622
i FY 2013-14 Funds	\$0	\$0	\$0	\$0	\$0		\$0					\$0
j FY 2014-15 Funds	\$0	\$1,284,933	\$0	\$0	\$0		\$0		\$0			\$1,284,932
k FY 2015-16 Funds	\$251,139	\$2,005,917	\$175,865	\$0	\$0		\$0		\$0			\$2,432,921
l Interest											\$390,178	\$390,178
m TOTAL	\$251,139	\$3,290,850	\$175,865	\$0	\$693,016	\$0	\$0	\$2,786	\$0	\$3,485,824	\$390,178	\$8,289,657

TABLE B⁶

Estimated FFP Revenue Generated In FY 2015-16	Amount
Federal Financial Participation (FFP)	\$6,039,771

RER Contact Person	
Name	Christine Williams
Title	Administrative Services Manager
Phone	831-454-7341
Email	christine.williams@santacruzcounty.us

Annual Mental Health Services Act Revenue and Expenditure Report for <input type="checkbox"/>			
Fiscal Year 2015-16			
Adjustments Summary			
County: Santa Cruz		Date: 1/0/1900	
Component	FY	Amount	Reason For Adjustment
TOTAL		\$0	

NOTE TO COUNTY: Total Adjustments recorded in the Adjustments Summary worksheet MUST match Total Adjustments

**Annual Mental Health Services Act Revenue and Expenditure Report
FY 2015-16**

END NOTES:

¹ Total unspent funds from prior fiscal years MUST match the Total Unspent Funds in the Local MHS Fund reported on the prior year RER.

² Expenditure funding sources for each component must equal the total program expenditures as reported on the Component Summary Worksheets.

³ WIC Section 5892(b) permits a County to use up to 20 percent of the average amount of funds allocated to the county for the previous five years to fund technological needs and capital facilities, human resource needs, and a prudent reserve. The amount of funds transferred from CSS will be reported in the CSS column as a negative amount. The funds transferred into WET, CFTN, or Prudent Reserve should be reflected as a positive amount. For each year reported, the amount transferred from CSS should equal zero when added to the funds transferred into WET, CFTN, or Prudent Reserve.

⁴ Payments from the MHSA Fund should be reflected in the Adjustments section as a negative amount. Receipts into the MHSA Fund should be reflected in the Adjustments section as a positive amount.

⁵ Total Unspent funds in the Local MHS Fund will auto populate for each Fiscal Year.

⁶ The FFP amount represents the estimated FFP revenue generated in FY 2015-16 and attributed to MHSA.