

Annual Mental Health Services Act Revenue and Expenditure Report

This PDF document is a copy of the Annual Revenue and Expenditure Report (ARER) which is submitted by County Mental Health Plans (usually, the County Behavioral Health Department) to the Department of Health Care Services and the MHSOAC. This document is made available to the public through the Fiscal Reporting tool created by the MHSOAC to support fiscal transparency. The Fiscal Reporting tool is based on the data submitted by counties through Annual Revenue and Expenditure Reports.

Annual Revenue and Expenditure Reports can also be downloaded from the [DHCS website \(http://www.dhcs.ca.gov/services/MH/Pages/Annual-Revenue-and-Expenditure-Reports-by-County.aspx\)](http://www.dhcs.ca.gov/services/MH/Pages/Annual-Revenue-and-Expenditure-Reports-by-County.aspx)

The following report provides annual point-in-time estimates (similar to a checkbook balance) of County MHSAs revenues received and expended for each component of the MHSAs, as well as end of year closing balances. The reports are intended to represent a snapshot of County MHSAs financial information as of June 30th, the last day of each fiscal year. Counties emphasize that the ARER reports are difficult to tie to their official, audited financial statements and should be interpreted with caution.

Financial summaries presented in this report are broken down by the following MHSAs components:

- Community Services and Supports (CSS)
- Prevention and Early Intervention (PEI)
- Innovation (INN)
- Workforce Education & Training (WET)
- Capital Facility & Technological Needs (CFTN)
- Other MHSAs components

**Annual Mental Health Services Act Revenue and Expenditure Report for
Fiscal Year 2014-15
Community Services and Supports (CSS) Summary**

County: Solano Date: 12/31/2015

Community Services and Supports Component	Total (Gross) Mental Health Expenditures
FSP Programs	
1 Children's Intensive Services	\$2,457,014
2 Transitional Aged Youth	\$384,762
3 Forensic Assertive Community Treatment	\$1,749,272
4 Older Adult and Adult FSP	\$3,732,960
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24	
25	
Subtotal FSP Programs	\$8,324,008
Non-FSP Programs	
1 Foster Family and Bilingual Support	\$560,369
2 Crisis Stabilization Unit	\$3,366,882
3 Adult GSD - Wellness & Recovery	\$1,660,412
4 Vocational Services	\$210,592
5	
6	
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12	
13	
14	
15	
Subtotal Non-FSP Programs	\$5,798,255
Total FSP and Non-FSP Programs	\$14,122,263
CSS Evaluation	
CSS Administration	\$819,596
CSS MHSA Housing Program Assigned Funds	
Total CSS Expenditures	\$14,941,859

**Annual Mental Health Services Act Revenue and Expenditure Report for
Fiscal Year 2014-15
Prevention and Early Intervention (PEI) Summary**

County: Solano

Date:

12/31/2015

	(A)
Prevention and Early Intervention Component	Total (Gross) Mental Health Expenditures
PEI Programs-Prevention	
1 Early Childhood	\$150,000
2 School-Age Youth	\$234,589
3 Early Intervention Psychosis/TAY	\$324,974
4 Older Adult	\$221,704
5 Homeless Mentally Ill Outreach and Engagement	\$41,903
6 Family and Peer Support	\$23,102
7 Mental Health Stigma & Disparities Reduction	\$2,911
8 Primary Care Integration	\$519,033
9	
10	
11	
12	
13	
14	
15	
Subtotal PEI Programs-Prevention	\$1,518,216
PEI Programs-Early Intervention	
1 Early Childhood	\$450,000
2 School-Age Youth	\$286,613
3 Early Intervention Psychosis/TAY	\$28,259
4 Older Adult	\$277,309
5 Relapse Prevention/Aftercare	\$400,278
6	
7	
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13	
14	
15	
Subtotal PEI Programs-Prevention	\$1,442,459
PEI Programs-Other	
1 PEI Sustainability and New Billing Feasibility	\$57,959
2	
3	
Subtotal PEI Programs-Other	\$57,959
Subtotal PEI Programs-Prevention & Early Intervention and Other	\$3,018,634
PEI Evaluation	\$0
PEI Administration	\$28,716
Total PEI Expenditures	\$3,047,350

**Annual Mental Health Services Act Revenue and Expenditure Report for
Fiscal Year 2014-15
Innovation (INN) Summary**

County: Solano

Date:

12/31/2015

	(A)
Innovation Component	Total (Gross) Mental Health Expenditures
Innovation Programs	
1	\$0
2	\$0
3	\$0
4	\$0
5	\$0
6	\$0
7	\$0
8	\$0
9	\$0
10	\$0
11	\$0
12	\$0
13	\$0
14	\$0
15	\$0
16	\$0
17	\$0
18	\$0
19	\$0
20	\$0
21	\$0
22	\$0
23	\$0
24	\$0
25	\$0
Subtotal	\$0
Innovation Evaluation	\$0
Innovation Administration	\$18,937
Total Innovation Expenditures	\$18,937

**Annual Mental Health Services Act Revenue and Expenditure Report for
Fiscal Year 2014-15
Workforce Education and Training (WET) Summary**

County: Solano **Date:** 12/31/2015

Workforce Education and Training Component	(A) Total (Gross) Mental Health Expenditures
WET Funding Category	
Workforce Staffing Support	\$57,709
Training and Technical Assistance	\$159,798
Mental Health Career Pathways Programs	
Residency and Internship Programs	
Financial Incentive Programs	
Total WET Programs	\$217,507
WET Administration	\$0
Total WET Expenditures	\$217,507

**Annual Mental Health Services Act Revenue and Expenditure Report
Fiscal Year 2014-15
Capital Facilities/Technological Needs (CF/TN) Summary**

County: Solano **Date:** 12/31/2015

	(A)
Capital Facility/Technological Needs Projects	Total (Gross) Mental Health Expenditures
Capital Facility Projects	
1	
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	
Total CF Projects	\$0
Capital Facility Administration	
Total Capital Facility Expenditures	\$0
Technological Needs Projects	
1	
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	
13	
Total TN Projects	\$0
Technological Needs Administration	\$902,145
Total Technological Needs Expenditures	\$902,145
Total CFTN Expenditures	\$902,145

**Annual Mental Health Services Act Revenue and Expenditure Report
Fiscal Year 2014-15
Other MHSA Funds Summary**

County: Solano **Date:** 12/31/2015

	(A) Total (Gross) Expenditures
Training, Technical Assistance and Capacity Building	\$49,258
WET Regional Partnerships	
PEI Statewide Projects	

**Annual Mental Health Services Act Revenue and Expenditure Report
Fiscal Year 2014-15
Unencumbered Housing Funds Summary**

County: Solano **Date:** 12/31/2015

	(A) Total (Gross) Expenditures
Unencumbered Housing Funds	

Annual Mental Health Services Act Revenue and Expenditure Report
FY 2014-15 Summary

TABLE A

COUNTY: Solano

DATE: _____

PEI Statewide Funds assigned to CalMHSA? (Y/N)		Y										
Fiscal Year 2014-15	(A) Community Services and Supports	(B) Prevention and Early Intervention	(C) Innovation	(D) Workforce Education and Training	(E) Capital Facilities and Technological Needs	(F) TTACB	(G) WET Regional Partnerships	(H) PEI Statewide Projects Funds	(I) Unencumbered Housing Funds	(J) Prudent Reserve	(K) Total-All Components	
1 Unspent Funds Available From Prior Fiscal Years¹												
a Local Prudent Reserve										\$2,725,154	\$2,725,154	
b FY 2006-07 Funds											\$0	
c FY 2007-08 Funds											\$0	
d FY 2008-09 Funds											\$0	
e FY 2009-10 Funds				\$658,011							\$658,011	
f FY 2010-11 Funds			\$405,071		\$614,987						\$1,020,058	
g FY 2011-12 Funds						\$68,336		\$327,656			\$395,992	
h FY 2012-13 Funds		\$1,959,115	\$587,443								\$2,546,558	
i FY 2013-14 Funds	\$8,783,473	\$2,376,627	\$625,428								\$11,785,528	
j Cumulative Interest	\$33,011	\$47,480	\$20,008	\$52,706	\$54,491			\$27,358			\$235,054	
k TOTAL	\$8,816,484	\$4,383,222	\$1,637,950	\$710,717	\$669,478	\$68,336	\$0	\$355,014	\$0	\$2,725,154	\$19,366,355	
2 MHSA Funds Revenue in FY 2014-15²												
a Transfer of funds from the Local Prudent Reserve										\$0	\$0	
b FY 2014-15 MHSA Revenue Received	\$13,306,929	\$3,326,732	\$875,456				\$0		\$0		\$17,509,117	
c FY 2014-15 Interest Earned on MHSA Funds	\$64,984	\$25,350	\$10,775	\$1,970	\$698	\$0	\$0	\$0	\$0	\$14,629	\$118,406	
d TOTAL	\$13,371,913	\$3,352,082	\$886,231	\$1,970	\$698	\$0	\$0	\$0	\$0	\$14,629	\$17,627,523	
3 Expenditure and Funding Sources for FY 2014-15³												
A MHSA Funds												
a FY 2006-07 MHSA Funds											\$0	
b FY 2007-08 MHSA Funds											\$0	
c FY 2008-09 MHSA Funds											\$0	
d FY 2009-10 MHSA Funds				\$141,083							\$141,083	
e FY 2010-11 MHSA Funds					\$138,221						\$138,221	
f FY 2011-12 MHSA Funds						\$49,258					\$49,258	
g FY 2012-13 MHSA Funds		\$1,959,115	\$46,788								\$2,005,903	
h FY 2013-14 MHSA Funds	\$7,753,215	\$765,006									\$8,518,221	
i FY 2014-15 MHSA Funds											\$0	
MHSA Net Expenditures Subtotal for FY 2014-15	\$7,753,215	\$2,724,121	\$46,788	\$141,083	\$138,221	\$49,258	\$0	\$0	\$0		\$10,852,686	
j Interest		\$33,711									\$33,711	
B Other Funds												
a 1991 Realignment											\$0	
b Behavioral Health Subaccount	\$1,273,837										\$1,273,837	
c Other	\$5,914,807	\$289,518	-\$27,851	\$76,424	\$763,924						\$7,016,822	
d TOTAL MHSA and Other Funds	\$14,941,859	\$3,047,350	\$18,937	\$217,507	\$902,145	\$49,258	\$0	\$0	\$0		\$19,177,056	
e Total Program Expenditures	\$14,941,859	\$3,047,350	\$18,937	\$217,507	\$902,145	\$49,258	\$0	\$0	\$0		\$19,177,056	

NOTE TO COUNTY: Total Program Expenditures, 3(d), MUST match Total Expenditure Funding Sources, 3(e). If ERROR, recheck and correct.

PEI Statewide Funds assigned to CalMHSA? (Y/N)	Y
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Fiscal Year 2014-15	(A) Community Services and Supports	(B) Prevention and Early Intervention	(C) Innovation	(D) Workforce Education and Training	(E) Capital Facilities and Technological Needs	(F) TTACB	(G) WET Regional Partnerships	(H) PEI Statewide Projects Funds	(I) Unencumbered Housing Funds	(J) Prudent Reserve	(K) Total-All Components
4 Transfers to Prudent Reserve, WET, CFTN⁴											
a FY 2012-13	\$0										\$0
b FY 2013-14	\$0										\$0
c FY 2014-15	\$0										\$0
5 Adjustments⁵											
a Local Prudent Reserve										-\$10	-\$10
b FY 2006-07 Funds											\$0
c FY 2007-08 Funds											\$0
d FY 2008-09 Funds											\$0
e FY 2009-10 Funds				\$252,251							\$252,251
f FY 2010-11 Funds					-\$1,307						-\$1,307
g FY 2011-12 Funds											\$0
h FY 2012-13 Funds			-\$624								-\$624
i FY 2013-14 Funds	-\$7,011	-\$2,403									-\$9,414
j FY 2014-15 Funds											\$0
k Interest											\$0
I TOTAL	-\$7,011	-\$2,403	-\$624	\$252,251	-\$1,307	\$0	\$0	\$0	\$0	-\$10	\$240,896
6 Unspent Funds in the Local MHS Fund⁶											
a Local Prudent Reserve Balance										\$2,739,773	\$2,739,773
b FY 2006-07 Funds				\$0							\$0
c FY 2007-08 Funds				\$0	\$0						\$0
d FY 2008-09 Funds	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0			\$0
e FY 2009-10 Funds	\$0	\$0	\$0	\$769,179	\$0	\$0	\$0	\$0			\$769,179
f FY 2010-11 Funds	\$0	\$0	\$405,071	\$0	\$475,459	\$0	\$0	\$0			\$880,530
g FY 2011-12 Funds	\$0	\$0	\$0	\$0	\$0	\$19,078	\$0	\$327,656			\$346,734
h FY 2012-13 Funds	\$0	\$0	\$540,031	\$0	\$0						\$540,031
i FY 2013-14 Funds	\$1,023,247	\$1,609,218	\$625,428	\$0	\$0						\$3,257,893
j FY 2014-15 Funds	\$13,306,929	\$3,326,732	\$875,456	\$0	\$0		\$0		\$0		\$17,509,117
k Interest	\$97,995	\$39,119	\$30,783	\$54,676	\$55,189	\$0	\$0	\$27,358	\$0		\$305,120
I TOTAL	\$14,428,171	\$4,975,069	\$2,476,769	\$823,855	\$530,648	\$19,078	\$0	\$355,014	\$0	\$2,739,773	\$26,348,377

Estimated FFP Revenue Generated in FY 2014-15	Amount
Federal Financial Participation (FFP)	\$6,457,530

RER Contact Person	
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Including Operating Reserve to be consistent with FY11-12 RER. From discussion with Donna Ures on 10/23/13: Operating Reserve amounts for each component should be listed separately in RER.							
10	Operating Reserve Balance as of July 1, 2014	\$2,036,923	\$611,382	\$107,288	\$159,837	\$49,645	\$2,965,074
	Operating Reserve Interest Earned in FY2014-15	\$10,927.00	\$3,280.00	\$576.00	\$857.00	\$286.00	\$15,906
11	Operating Reserve Balance as of June 30, 2015	\$2,047,850	\$614,662	\$107,864	\$160,694	\$49,911	\$2,980,980

**Annual Mental Health Services Act Revenue and Expenditure Report for
Year 2014-15
Adjustments Summary**

Fiscal

County: _____
Date: 12/31/2015

FY	Amount	Reason For Adjustment
Pru Reserve	-\$10	A-87 charged to Trust Account
FY09-10	-\$126	A-87 charged to Trust Account
FY10-11	-\$1,307	A-87 charged to Trust Account
FY12-13	-\$624	A-87 charged to Trust Account
FY13-14	-\$9,414	A-87 charged to Trust Account
FY09-10	\$252,377	WET money taken in error and returned in FY14-15
TOTAL	\$240,896	
	\$240,896	

NOTE TO COUNTY: Total Adjustments recorded in the Adjustments Summary worksheet **MUST** match Total Adjustments recorded on the RER Summary Worksheet. If ERROR, recheck and correct.

**Annual Mental Health Services Act Revenue and Expenditure Report
FY 2014-15**

END NOTES:

¹ Total unspent funds from prior fiscal years MUST match the Total Unspent Funds in the Local MHS Fund from prior year RER.

² DHCS will utilize the allocation report provided by the SCO and counties should utilize the same report when determining the total State MHSA Fund revenue to be reported on the FY 2014-15 RER. The report is available at:
http://www.sco.ca.gov/ard_payments_mentalhealthservicefund.html

³ Expenditure funding sources for each component must equal the total program expenditures as reported on the Component Summary Worksheets.

⁴ WIC Section 5892(b) permits a County to use up to 20 percent of the average amount of funds allocated to the county for the previous five years to fund technological needs and capital facilities, human resource needs, and a prudent reserve. The amount of funds transferred from CSS will be reported in the CSS column as a negative amount. The funds transferred into WET, CFTN, or Prudent Reserve should be reflected as a positive amount. For each year reported, the amount transferred from CSS should equal zero when added to the funds transferred into WET, CFTN, or Prudent Reserve.

⁵ Payments from the MHSA Fund should be reflected in the Adjustments section as a negative amount. Receipts into the MHSA Fund should be reflected in the Adjustments section as a positive amount.

⁶ Total Unspent funds in the Local MHS Fund will auto populate for each Fiscal Year.

⁷ The FFP amount represents the estimated FFP revenue generated in FY 2014-15 and attributable to MHSA funds.