

# Annual Mental Health Services Act Revenue and Expenditure Report

This PDF document is a copy of the Annual Revenue and Expenditure Report (ARER) which is submitted by County Mental Health Plans (usually, the County Behavioral Health Department) to the Department of Health Care Services and the MHSOAC. This document is made available to the public through the Fiscal Reporting tool created by the MHSOAC to support fiscal transparency. The Fiscal Reporting tool is based on the data submitted by counties through Annual Revenue and Expenditure Reports.

Annual Revenue and Expenditure Reports can also be downloaded from the [DHCS website \(http://www.dhcs.ca.gov/services/MH/Pages/Annual-Revenue-and-Expenditure-Reports-by-County.aspx\)](http://www.dhcs.ca.gov/services/MH/Pages/Annual-Revenue-and-Expenditure-Reports-by-County.aspx)

The following report provides annual point-in-time estimates (similar to a checkbook balance) of County MHSAs revenues received and expended for each component of the MHSAs, as well as end of year closing balances. The reports are intended to represent a snapshot of County MHSAs financial information as of June 30th, the last day of each fiscal year. Counties emphasize that the ARER reports are difficult to tie to their official, audited financial statements and should be interpreted with caution.

Financial summaries presented in this report are broken down by the following MHSAs components:

- Community Services and Supports (CSS)
- Prevention and Early Intervention (PEI)
- Innovation (INN)
- Workforce Education & Training (WET)
- Capital Facility & Technological Needs (CFTN)
- Other MHSAs components

**Annual Mental Health Services Act Revenue and Expenditure Report for  
Fiscal Year 2012-13**

County: Tri-City Mental Health

Date: 3/25/2015

Community Services and Supports Component	Total (Gross) Mental Health Expenditures
<b>FSP Programs</b>	
1 CSS Program 1a-Child FSP	\$935,070
2 CSS Program 1b-TAY FSP	\$819,467
3 CSS Program 1c-Adult FSP	\$1,471,852
4 CSS Program 1d-Older Adult FSP	\$433,151
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Subtotal FSP Programs	\$3,659,540
<b>Non-FSP Programs</b>	
1 Community Navigators	\$398,755
2 Wellness Center	\$973,969
3 Field Capable Services	\$175,203
4 Supplemental Crisis Support Services	\$63,607
5 CSS Housing	\$615,120
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7	
8	
Subtotal Non-FSP Programs	\$2,226,654
<b>Total FSP and Non-FSP Programs</b>	\$5,886,194
<b>CSS Evaluation</b>	\$108,183
<b>CSS Administration</b>	\$977,853
<b>CSS MHSA Housing Program Assigned Funds</b>	\$0
<b>Total CSS Expenditures</b>	\$6,972,230

**Year 2012-13**  
**Prevention and Early Intervention (PEI) Summary**

County: Tri-City Mental Health

Date:

3/25/2015

<b>Prevention and Early Intervention Component</b>	<b>(A)</b> <b>Total (Gross) Mental Health Expenditures</b>
<b>PEI Programs-Prevention</b>	
1 Community Capacity Building	\$689,292
2 Family Wellbeing	\$87,675
3 Student Wellbeing	\$212,100
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14	
Subtotal PEI Programs-Prevention	\$989,067
<b>PEI Programs-Early Intervention</b>	
15 Older Adult Wellbeing	\$80,964
16 Transition-Aged Youth Wellbeing	\$69,645
17 NAMI Community Capacity Building	\$31,040
18 Housing Stability Program	\$170,542
19 Therapeutic Community Gardening	\$150,666
20	
21	
22	
23	
Subtotal PEI Programs-Early Intervention	\$502,857
<b>Total PEI Programs</b>	<b>\$1,491,924</b>
<b>PEI Evaluation</b>	
<b>PEI Administration</b>	<b>\$342,883</b>
<b>Total PEI Expenditures</b>	<b>\$1,834,807</b>

**Fiscal Year 2012-13  
Innovation (INN) Summary**

**County:** Tri-City Mental Health

**Date:**

3/25/2015

<b>Innovation Component</b>	<b>(A) Total (Gross) Mental Health Expenditures</b>
<b>Innovation Programs</b>	
1 Modifications to Cognitive Enhancement Therap	\$317,725
2 Integrated Services	\$168,634
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<b>Total INN Programs</b>	\$486,359
<b>Innovation Evaluation</b>	\$14,473
<b>Innovation Administration</b>	\$92,423
<b>Total Innovation Expenditures</b>	<b>\$593,255</b>



**Fiscal Year 2012-13  
Capital Facilities/Technological Needs (CF/TN) Summary**

**County:** Tri-City Mental Health                      **Date:** 3/25/2015

	(A)
<b>Capital Facility/Technological Needs Projects</b>	<b>Total (Gross) Mental Health Expenditures</b>
<b>Capital Facility Projects</b>	
1	
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12	
<b>Total CF Projects</b>	\$0
<b>Capital Facility Administration</b>	\$0
<b>Total Capital Facility Expenditures</b>	\$0
<b>Technological Needs Projects</b>	
1 Impr to E H R & System Enhancement	\$92,850
2 Consumer Access to Computing Resources	\$18,515
3 Prog Monitoring & Outcome Sources Supp	\$14,965
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13	
<b>Total TN Projects</b>	\$126,330
<b>Technological Needs Administration</b>	
<b>Total Technological Needs Expenditures</b>	\$126,330
<b>Total CFTN Expenditures</b>	\$126,330

**Fiscal Year 2012-13**  
**TTACB, WET RP & PEI SWP Summary**

**County:** Tri-City Mental Health

**Date:**

3/25/2015

	(A) Total (Gross) Expenditures
<b>Training, Technical Assistance and Capacity</b>	\$31,528
<b>WET Regional Partnerships</b>	\$0
<b>PEI Statewide Projects</b>	\$215,528

**Annual Mental Health Services Act Revenue and Expenditure Report  
FY 2012-13 Summary**

**TABLE A**

COUNTY: Tri-City Mental Health  
PEI Statewide Funds assigned to CalMHSA? (Y/N) YES

DATE: 3/25/2015

Fiscal Year 2012-13	(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)	(K)
	Community Services and Supports	Prevention and Early Intervention	Innovation	Workforce Education and Training	Capital Facilities and Technological Needs	TTACB	WET Regional Partnerships	PEI Statewide Projects Funds	Prudent Reserve	Total-All Components
<b>1 Unspent Funds Available From Prior Fiscal Years<sup>1</sup></b>										
a Local Prudent Reserve									\$2,696,200	\$2,696,200
b FY 2006-07 Funds				\$550,792						\$550,792
c FY 2007-08 Funds				\$548,200	\$2,059,600					\$2,607,800
d FY 2008-09 Funds	\$0	\$0	\$275,747	\$0	\$647,100	\$0		\$111,471		\$1,034,318
e FY 2009-10 Funds	\$0	\$0	\$402,600	\$0	\$0	\$0		\$204,300		\$606,900
f FY 2010-11 Funds	\$17,075	\$1,051,323	\$667,100	\$0	\$0	\$31,200		\$204,300		\$1,970,998
g FY 2011-12 Funds	\$2,464,620	\$633,480	\$162,900	\$0	\$0			\$204,300		\$3,465,300
h Interest	\$22,137	\$13,810	\$5,484	\$4,199	\$9,934	\$265				\$55,829
i TOTAL	\$2,503,832	\$1,698,613	\$1,513,831	\$1,103,191	\$2,716,634	\$31,465	\$0	\$724,371	\$2,696,200	\$12,988,137
<b>2 MHSA Funds Revenue in FY 2012-13</b>										
a Transfer of funds from the Local Prudent Reserve	\$0								\$0	\$0
b Revenue received from the State MHSA Fund <sup>2</sup>										
1 FY 2012-13 MHSA Funds	\$7,759,340	\$1,951,385	\$510,986							\$10,221,711
c Interest Earned on MHSA Funds	\$13,326	\$4,964	\$4,041	\$2,736	\$8,186	\$63		\$8,261	\$8,277	\$49,854
d TOTAL	\$7,772,666	\$1,956,349	\$515,027	\$2,736	\$8,186	\$63	\$0	\$8,261	\$8,277	\$10,271,565
<b>3 Expenditure and Funding Sources for FY 2012-13<sup>3</sup></b>										
a FY 2006-07 MHSA Funds				\$183,354						\$183,354
b FY 2007-08 MHSA Funds					\$126,330					\$126,330
c FY 2008-09 MHSA Funds										\$0
d FY 2009-10 MHSA Funds										\$0
e FY 2010-11 MHSA Funds	\$17,075	\$1,051,323	\$546,260			\$31,200		\$204,300		\$1,850,158
f FY 2011-12 MHSA Funds	\$2,364,620	\$633,480						\$11,228		\$3,009,328
g FY 2012-13 MHSA Funds	\$2,370,569	\$136,194								\$2,506,763
h Interest	\$9,615	\$13,810				\$328				\$23,753
i 1991 Realignment										\$0
j Behavioral Health Subaccount	\$631,878									\$631,878
k Other	\$1,578,473		\$46,995							\$1,625,468
l TOTAL	\$6,972,230	\$1,834,807	\$593,255	\$183,354	\$126,330	\$31,528	\$0	\$215,528		\$9,957,032
m Total Program Expenditures	\$6,972,230	\$1,834,807	\$593,255	\$183,354	\$126,330	\$31,528	\$0	\$215,528		\$9,957,032

NOTE TO COUNTY: Total Program Expenditures, 3(l), MUST match Total Expenditure Funding Sources, 3(k). If ERROR, recheck and correct.



COUNTY: Tri-City Mental Health

DATE: 3/25/2015

PEI Statewide Funds assigned to CalMHSA? (Y/N) YES

Fiscal Year 2012-13	(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)	(K)
	Community Services and Supports	Prevention and Early Intervention	Innovation	Workforce Education and Training	Capital Facilities and Technological Needs	TTACB	WET Regional Partnerships	PEI Statewide Projects Funds	Prudent Reserve	Total-All Components
<b>4 Transfers to Prudent Reserve, WET, CFTN<sup>4</sup></b>										
a FY 2010-11	\$0									\$0
b FY 2011-12	-\$100,000								\$100,000	\$0
c FY 2012-13	\$0									\$0
<b>5 Adjustments<sup>5</sup></b>										
a Local Prudent Reserve										\$0
b FY 2006-07 Funds										\$0
c FY 2007-08 Funds										\$0
d FY 2008-09 Funds										\$0
e FY 2009-10 Funds										\$0
f FY 2010-11 Funds										\$0
g FY 2011-12 Funds										\$0
h FY 2012-13 Funds										\$0
i Interest	-\$25,848	-\$4,372						\$978	\$30,220	\$978
j TOTAL	-\$25,848	-\$4,372	\$0	\$0	\$0	\$0	\$0	\$978	\$30,220	\$978
<b>6 Unspent Funds in the Local MHS Fund<sup>6</sup></b>										
a Local Prudent Reserve Balance									\$2,834,697	\$2,834,697
b FY 2006-07 Funds				\$367,438						\$367,438
c FY 2007-08 Funds				\$548,200	\$1,933,270					\$2,481,470
d FY 2008-09 Funds	\$0	\$0	\$275,747	\$0	\$647,100	\$0	\$0	\$111,471		\$1,034,318
e FY 2009-10 Funds	\$0	\$0	\$402,600	\$0	\$0	\$0	\$0	\$204,300		\$606,900
f FY 2010-11 Funds	\$0	\$0	\$120,840	\$0	\$0	\$0	\$0	\$0		\$120,840
g FY 2011-12 Funds	\$0	\$0	\$162,900	\$0	\$0	\$0	\$0	\$193,072		\$355,972
h FY 2012-13 Funds	\$5,388,771	\$1,815,191	\$510,986	\$0	\$0					\$7,714,948
i Interest	\$0	\$592	\$9,525	\$6,935	\$18,120	\$0	\$0	\$9,239		\$44,411
j TOTAL	\$5,388,771	\$1,815,783	\$1,482,598	\$922,573	\$2,598,490	\$0	\$0	\$518,082	\$2,834,697	\$15,560,994

TABLE B<sup>7</sup>

Estimated FFP Revenue Generated In FY 2012-13	Amount
Federal Financial Participation (FFP)	\$1,595,373

RER Contact Person	
Name	Margaret Harris
Title	Chief Financial Officer
Phone	909 623-6131
Email	mharris@tricitymhs.org

**Annual Mental Health Services Act Revenue and Expenditure Report for Fiscal Year 2012-13  
Adjustments Summary**

**County:** Tri-City Mental Health

**Date:** 3/25/2015

<b>FY</b>	<b>Amount</b>	<b>Reason For Adjustment</b>
FY09/10	-\$9,843	Adjust CSS interest to Prudent Reserve Interest
FY10/11	-\$10,568	Adjust CSS and PEI interest to Prudent Reserve Interest
FY11/12	-\$9,809	Adjust CSS and PEI interest to Prudent Reserve Interest
FY09/10	\$9,843	Allocation of interest to Prudent Reserves for above years
FY10/11	\$10,568	Allocation of interest to Prudent Reserves for above years
FY11/12	\$9,809	Allocation of interest to Prudent Reserves for above years
FY11/12	\$978	PEI Statewide Projects interest not included in FY2011/12 RER
<b>TOTAL</b>	<b>\$978</b>	
	<b>\$978</b>	

**NOTE TO COUNTY:** Total Adjustments in cell B22 MUST match Total Adjustments in cell P55 on the RER Summary Worksheet. If ERROR, recheck and correct.

**Annual Mental Health Services Act Revenue and Expenditure Report  
FY 2012-13**

**END NOTES:**

- <sup>1</sup> Total unspent funds from prior fiscal years MUST match the Total Unspent Funds in the Local MHS Fund from prior year RER.
- <sup>2</sup> DHCS will utilize the allocation report provided by the SCO and counties should utilize the same report when reporting MHSA revenue. The report is available at: [http://www.sco.ca.gov/ard\\_payments\\_mentalhealthservicefund.html](http://www.sco.ca.gov/ard_payments_mentalhealthservicefund.html)
- <sup>3</sup> Expenditure funding sources for each component must equal the total program expenditures as reported on the Component Summary Worksheets.
- <sup>4</sup> WIC Section 5892(b) permits a County to use up to 20 percent of the average amount of funds allocated to the county for the previous five years to fund technological needs and capital facilities, human resource needs, and a prudent reserve. The amount of funds transferred from CSS will be reported in the CSS column as a negative amount. The funds transferred into WET, CFTN, or Prudent Reserve should be reflected as a positive amount. For each year reported, the amount transferred from CSS should equal zero when added to the funds transferred into WET, CFTN, or Prudent Reserve.
- <sup>5</sup> Payments from the MHSA Fund should be reflected in the Adjustments section as a negative amount. Receipts into the MHSA Fund should be reflected in the Adjustments section as a positive amount.
- <sup>6</sup> Total Unspent in the Local MHS Fund will auto populate for each Fiscal Year.
- <sup>7</sup> The FFP amount represents the estimated FFP revenue generated in FY 2012-13 and attributable to MHSA funds.