

Annual Mental Health Services Act Revenue and Expenditure Report

This PDF document is a copy of the Annual Revenue and Expenditure Report (ARER) which is submitted by County Mental Health Plans (usually, the County Behavioral Health Department) to the Department of Health Care Services and the MHSOAC. This document is made available to the public through the Fiscal Reporting tool created by the MHSOAC to support fiscal transparency. The Fiscal Reporting tool is based on the data submitted by counties through Annual Revenue and Expenditure Reports.

Annual Revenue and Expenditure Reports can also be downloaded from the [DHCS website \(http://www.dhcs.ca.gov/services/MH/Pages/Annual-Revenue-and-Expenditure-Reports-by-County.aspx\)](http://www.dhcs.ca.gov/services/MH/Pages/Annual-Revenue-and-Expenditure-Reports-by-County.aspx)

The following report provides annual point-in-time estimates (similar to a checkbook balance) of County MHSAs revenues received and expended for each component of the MHSAs, as well as end of year closing balances. The reports are intended to represent a snapshot of County MHSAs financial information as of June 30th, the last day of each fiscal year. Counties emphasize that the ARER reports are difficult to tie to their official, audited financial statements and should be interpreted with caution.

Financial summaries presented in this report are broken down by the following MHSAs components:

- Community Services and Supports (CSS)
- Prevention and Early Intervention (PEI)
- Innovation (INN)
- Workforce Education & Training (WET)
- Capital Facility & Technological Needs (CFTN)
- Other MHSAs components

**Annual Mental Health Services Act Revenue and Expenditure Report for
Fiscal Year 2014-15
Community Services and Supports (CSS) Summary**

County: TRI-CITY MENTAL HEALTH AUTHORITY Date: 12/30/2015

Community Services and Supports Component	Total (Gross) Mental Health Expenditures
FSP Programs	
1 CSS Program 1a-Child FSP	\$1,186,360
2 CSS Program 1b-TAY FSP	\$676,472
3 CSS Program 1c-Adult FSP	\$1,697,539
4 CSS Program 1d-Older Adult FSP	\$373,976
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Subtotal FSP Programs	\$3,934,347
Non-FSP Programs	
1 Community Navigators	\$413,854
2 Wellness Center	\$1,035,206
3 Field Capable Services	\$71,219
4 Supplemental Crisis Support Services	\$92,419
5 CSS Housing	\$248,694
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14	
15	
Subtotal Non-FSP Programs	\$1,861,392
Total FSP and Non-FSP Programs	\$5,795,739
CSS Evaluation	\$192,109
CSS Administration	\$1,000,083
CSS MHSA Housing Program Assigned Funds	
Total CSS Expenditures	\$6,987,931

**Annual Mental Health Services Act Revenue and Expenditure Report for
Fiscal Year 2014-15
Prevention and Early Intervention (PEI) Summary**

County: TRI-CITY MENTAL HEALTH AUTHORITY

Date:

12/30/2015

Prevention and Early Intervention Component	(A) Total (Gross) Mental Health Expenditures
PEI Programs-Prevention	
1 Community Capacity Building	\$813,613
2 Family Wellbeing	\$91,537
3 Student Wellbeing	\$14,740
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15	
Subtotal PEI Programs-Prevention	\$919,890
PEI Programs-Early Intervention	
1 Older Adult Wellbeing	\$72,382
2 Transition-Aged Youth Wellbeing	\$53,734
3 NAMI Community Capacity Building	\$64,906
4 Housing Stability Program	\$188,096
5 Therapeutic Community Gardening	\$199,075
6	
7	
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15	
Subtotal PEI Programs-Prevention	\$578,193
PEI Programs-Other	
1	
2	
3	
Subtotal PEI Programs-Other	\$0
Subtotal PEI Programs-Prevention & Early Intervention and Other	\$1,498,083
PEI Evaluation	\$17,832
PEI Administration	\$334,668
Total PEI Expenditures	\$1,850,583

**Annual Mental Health Services Act Revenue and Expenditure Report for
Fiscal Year 2014-15
Innovation (INN) Summary**

County: TRI-CITY MENTAL HEALTH AUTHOR Date: 12/30/2015

	(A) Total (Gross) Mental Health Expenditures
Innovation Programs	
1 Modification to Cognitive Enhancement Therap	\$231,247
2 Integrated Care (previously Integrated Services	\$279,465
3 Cognitive Remediation Therapy Project	\$61,646
4 Employment Stability	\$7,972
5	\$0
6	\$0
7	\$0
8	\$0
9	\$0
10	\$0
11	\$0
12	\$0
13	\$0
14	\$0
15	\$0
16	\$0
17	\$0
18	\$0
19	\$0
20	\$0
21	\$0
22	\$0
23	\$0
24	\$0
25	\$0
Subtotal	\$580,330
Innovation Evaluation	\$8,639
Innovation Administration	\$100,675
Total Innovation Expenditures	\$689,644

**Annual Mental Health Services Act Revenue and Expenditure Report for
Fiscal Year 2014-15
Workforce Education and Training (WET) Summary**

County: TRI-CITY MENTAL HEALTH AUTHORITY **Date:** 12/30/2015

Workforce Education and Training Component	(A) Total (Gross) Mental Health Expenditures
WET Funding Category	
Workforce Staffing Support	\$90,506
Training and Technical Assistance	\$100,817
Mental Health Career Pathways Programs	\$5,148
Residency and Internship Programs	\$0
Financial Incentive Programs	\$0
Total WET Programs	\$196,471
WET Administration	\$30,744
Total WET Expenditures	\$227,215

**Annual Mental Health Services Act Revenue and Expenditure Report
Fiscal Year 2014-15
Capital Facilities/Technological Needs (CF/TN) Summary**

County: TRI-CITY MENTAL HEALTH AUTHOR **Date:** 12/30/2015

	(A)
Capital Facility/Technological Needs Projects	Total (Gross) Mental Health Expenditures
Capital Facility Projects	
1 MHSO Office Building	\$108,753
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3	
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12	
Total CF Projects	\$108,753
Capital Facility Administration	
Total Capital Facility Expenditures	\$108,753
Technological Needs Projects	
1 Improvement to Electronic Health Records and	\$91,474
2 Systems Enhancement	
3 Consumer Access to Computing Resources	\$14,675
4 Program Monitoring and Outcome Sources	\$91,835
5 Support	
6	
7	
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13	
Total TN Projects	\$197,984
Technological Needs Administration	\$49,967
Total Technological Needs Expenditures	\$247,951
Total CFTN Expenditures	\$356,704

Annual Mental Health Services Act Revenue and Expenditure Report
Fiscal Year 2014-15
Other MSHA Funds Summary

County: TRI-CITY MENTAL HEALTH AUTHC **Date:** 12/30/2015

	(A) Total (Gross) Expenditures
Training, Technical Assistance and Capacity Building	\$0
WET Regional Partnerships	\$0
PEI Statewide Projects	\$0

**Annual Mental Health Services Act Revenue and Expenditure Report
Fiscal Year 2014-15
Unencumbered Housing Funds Summary**

County: TRI-CITY MENTAL HEALTH AUTHC **Date:** 12/30/2015

	(A) Total (Gross) Expenditures
Unencumbered Housing Funds	\$0

Annual Mental Health Services Act Revenue and Expenditure Report
FY 2014-15 Summary

TABLE A

COUNTY: TRI-CITY MENTAL HEALTH AUTHORITY

DATE: 12/30/2015

Fiscal Year 2014-15		(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)	(J)	(K)
		Community Services and Supports	Prevention and Early Intervention	Innovation	Workforce Education and Training	Capital Facilities and Technological Needs	TTACB	WET Regional Partnerships	PEI Statewide Projects Funds	Unencumbered Housing Funds	Prudent Reserve	Total-All Components
1 Unspent Funds Available From Prior Fiscal Years¹												
a	Local Prudent Reserve										\$3,508,125	\$3,508,125
b	FY 2006-07 Funds				\$151,304							\$151,304
c	FY 2007-08 Funds				\$548,200	\$1,441,855						\$1,990,055
d	FY 2008-09 Funds	\$0	\$0	\$275,747	\$0	\$647,100	\$0	\$0	\$0			\$922,847
e	FY 2009-10 Funds	\$0	\$0	\$402,600	\$0	\$0	\$0	\$0	\$0			\$402,600
f	FY 2010-11 Funds	\$0	\$0	\$120,840	\$0	\$0	\$0	\$0	\$0			\$120,840
g	FY 2011-12 Funds	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0			\$0
h	FY 2012-13 Funds	\$270,622	\$104,835	\$141,761	\$0	\$0						\$517,218
i	FY 2013-14 Funds	\$5,163,726	\$1,290,932	\$339,719	\$0	\$0						\$6,794,377
j	Cumulative Interest	\$12,083	\$4,008	\$12,882	\$8,872	\$23,225	\$0	\$0	\$0			\$61,070
k	TOTAL	\$5,446,431	\$1,399,775	\$1,293,549	\$708,376	\$2,112,180	\$0	\$0	\$0	\$0	\$3,508,125	\$14,468,436
2 MHSA Funds Revenue in FY 2014-15²												
a	Transfer of funds from the Local Prudent Reserve										\$0	\$0
b	FY 2014-15 MHSA Revenue Received	\$7,194,286	\$1,798,572	\$473,308								\$9,466,166
c	FY 2014-15 Interest Earned on MHSA Funds	\$17,265	\$4,419	\$3,295	\$1,629	\$4,623					\$9,142	\$40,373
d	TOTAL	\$7,211,551	\$1,802,991	\$476,603	\$1,629	\$4,623	\$0	\$0	\$0	\$0	\$9,142	\$9,506,539
3 Expenditure and Funding Sources for FY 2014-15³												
A MHSA Funds												
a	FY 2006-07 MHSA Funds				\$151,304							\$151,304
b	FY 2007-08 MHSA Funds				\$75,911	\$356,704						\$432,615
c	FY 2008-09 MHSA Funds											\$0
d	FY 2009-10 MHSA Funds											\$0
e	FY 2010-11 MHSA Funds											\$0
f	FY 2011-12 MHSA Funds											\$0
g	FY 2012-13 MHSA Funds	\$270,622	\$104,835	\$141,761								\$517,218
h	FY 2013-14 MHSA Funds	\$3,514,537	\$1,290,932	\$339,719								\$5,145,188
i	FY 2014-15 MHSA Funds		\$430,664	\$166,523								\$597,187
MHSA Net Expenditures Subtotal for FY 2014-15		\$3,785,159	\$1,826,431	\$648,003	\$227,215	\$356,704	\$0	\$0	\$0	\$0		\$6,843,512
j	Interest	\$12,083	\$4,008	\$12,882								\$28,973
B Other Funds												
a	1991 Realignment											\$0
b	Behavioral Health Subaccount	\$678,214										\$678,214
c	Other	\$2,512,475	\$20,144	\$28,759								\$2,561,378
d	TOTAL MHSA and Other Funds	\$6,987,931	\$1,850,583	\$689,644	\$227,215	\$356,704	\$0	\$0	\$0	\$0		\$10,112,077
e	Total Program Expenditures	\$6,987,931	\$1,850,583	\$689,644	\$227,215	\$356,704	\$0	\$0	\$0	\$0		\$10,112,077

NOTE TO COUNTY: Total Program Expenditures, 3(d), MUST match Total Expenditure Funding Sources, 3(e). IF ERROR, recheck and correct.

PEI Statewide Funds assigned to CalMHSA? (Y/N)	Y
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Fiscal Year 2014-15	(A) Community Services and Supports	(B) Prevention and Early Intervention	(C) Innovation	(D) Workforce Education and Training	(E) Capital Facilities and Technological Needs	(F) TTACB	(G) WET Regional Partnerships	(H) PEI Statewide Projects Funds	(I) Unencumbered Housing Funds	(J) Prudent Reserve	(K) Total-All Components
4 Transfers to Prudent Reserve, WET, CFTN⁴											
a FY 2012-13	\$0										\$0
b FY 2013-14	\$0										\$0
c FY 2014-15	\$0										\$0
5 Adjustments⁵											
a Local Prudent Reserve											\$0
b FY 2006-07 Funds											\$0
c FY 2007-08 Funds											\$0
d FY 2008-09 Funds											\$0
e FY 2009-10 Funds											\$0
f FY 2010-11 Funds											\$0
g FY 2011-12 Funds											\$0
h FY 2012-13 Funds											\$0
i FY 2013-14 Funds											\$0
j FY 2014-15 Funds											\$0
k Interest											\$0
l TOTAL	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
6 Unspent Funds in the Local MHS Fund⁶											
a Local Prudent Reserve Balance										\$3,517,267	\$3,517,267
b FY 2006-07 Funds				\$0							\$0
c FY 2007-08 Funds				\$472,289	\$1,085,151						\$1,557,440
d FY 2008-09 Funds	\$0	\$0	\$275,747	\$0	\$647,100	\$0	\$0	\$0			\$922,847
e FY 2009-10 Funds	\$0	\$0	\$402,600	\$0	\$0	\$0	\$0	\$0			\$402,600
f FY 2010-11 Funds	\$0	\$0	\$120,840	\$0	\$0	\$0	\$0	\$0			\$120,840
g FY 2011-12 Funds	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0			\$0
h FY 2012-13 Funds	\$0	\$0	\$0	\$0	\$0						\$0
i FY 2013-14 Funds	\$1,649,189	\$0	\$0	\$0	\$0						\$1,649,189
j FY 2014-15 Funds	\$7,194,286	\$1,367,908	\$306,785	\$0	\$0		\$0		\$0		\$8,868,979
k Interest	\$17,265	\$4,419	\$3,295	\$10,501	\$27,848	\$0	\$0	\$0	\$0		\$63,328
l TOTAL	\$8,860,740	\$1,372,327	\$1,109,267	\$482,790	\$1,760,099	\$0	\$0	\$0	\$0	\$3,517,267	\$17,102,490

Estimated FFP Revenue Generated in FY 2014-15	Amount
Federal Financial Participation (FFP)	\$2,491,133

RER Contact Person	
Name	Diana Acosta
Title	Chief Financial Officer
Phone	909-623-6131
Email	dacosta@tricitymhs.org

**Annual Mental Health Services Act Revenue and Expenditure Report for
Year 2014-15
Adjustments Summary**

Fiscal

County: _____

Date: 12/30/2015

FY	Amount	Reason For Adjustment
	\$0	Note to reviewer: the PEI State Wide Projects Fund (Column H at RER Summary) was left with no values in column per DHCS Information Notice #15-053 dated December 2, 2015 as Tri-City has assigned its funds to CalMHSA.
TOTAL	\$0	
	\$0	

NOTE TO COUNTY: Total Adjustments recorded in the Adjustments Summary worksheet **MUST** match Total Adjustments recorded on the RER Summary Worksheet. If ERROR, recheck and correct.

**Annual Mental Health Services Act Revenue and Expenditure Report
FY 2014-15**

END NOTES:

¹ Total unspent funds from prior fiscal years MUST match the Total Unspent Funds in the Local MHS Fund from prior year RER.

² DHCS will utilize the allocation report provided by the SCO and counties should utilize the same report when determining the total State MHSA Fund revenue to be reported on the FY 2014-15 RER. The report is available at:
http://www.sco.ca.gov/ard_payments_mentalhealthservicefund.html

³ Expenditure funding sources for each component must equal the total program expenditures as reported on the Component Summary Worksheets.

⁴ WIC Section 5892(b) permits a County to use up to 20 percent of the average amount of funds allocated to the county for the previous five years to fund technological needs and capital facilities, human resource needs, and a prudent reserve. The amount of funds transferred from CSS will be reported in the CSS column as a negative amount. The funds transferred into WET, CFTN, or Prudent Reserve should be reflected as a positive amount. For each year reported, the amount transferred from CSS should equal zero when added to the funds transferred into WET, CFTN, or Prudent Reserve.

⁵ Payments from the MHSA Fund should be reflected in the Adjustments section as a negative amount. Receipts into the MHSA Fund should be reflected in the Adjustments section as a positive amount.

⁶ Total Unspent funds in the Local MHS Fund will auto populate for each Fiscal Year.

⁷ The FFP amount represents the estimated FFP revenue generated in FY 2014-15 and attributable to MHSA funds.