

# Annual Mental Health Services Act Revenue and Expenditure Report

This PDF document is a copy of the Annual Revenue and Expenditure Report (ARER) which is submitted by County Mental Health Plans (usually, the County Behavioral Health Department) to the Department of Health Care Services and the MHSOAC. This document is made available to the public through the Fiscal Reporting tool created by the MHSOAC to support fiscal transparency. The Fiscal Reporting tool is based on the data submitted by counties through Annual Revenue and Expenditure Reports.

Annual Revenue and Expenditure Reports can also be downloaded from the [DHCS website \(http://www.dhcs.ca.gov/services/MH/Pages/Annual-Revenue-and-Expenditure-Reports-by-County.aspx\)](http://www.dhcs.ca.gov/services/MH/Pages/Annual-Revenue-and-Expenditure-Reports-by-County.aspx)

The following report provides annual point-in-time estimates (similar to a checkbook balance) of County MHSAs revenues received and expended for each component of the MHSAs, as well as end of year closing balances. The reports are intended to represent a snapshot of County MHSAs financial information as of June 30th, the last day of each fiscal year. Counties emphasize that the ARER reports are difficult to tie to their official, audited financial statements and should be interpreted with caution.

Financial summaries presented in this report are broken down by the following MHSAs components:

- Community Services and Supports (CSS)
- Prevention and Early Intervention (PEI)
- Innovation (INN)
- Workforce Education & Training (WET)
- Capital Facility & Technological Needs (CFTN)
- Other MHSAs components

**Annual Mental Health Services Act Revenue and Expenditure Report for  
Fiscal Year 2013-14  
Community Services and Supports (CSS) Summary**

County: Tulare Date: 11/10/2015

Community Services and Supports Component	(A) Total (Gross) Mental Health Expenditures
<b>FSP Programs</b>	
1 One Stop Center Programs	\$883,834
2 United for Health Mobile Unit Programs	\$552,030
3 County FSP Program	\$1,590,505
4 Supportive Housing (TLC, CLC, ETAC, & TAY)	\$1,803,132
5 Specialized Mental Health Services	\$614,905
6 Intensive Treatment Track	\$19,807
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Subtotal FSP Programs	\$5,464,213
<b>Non-FSP Programs</b>	
1 One Stop Center Programs	\$1,091,507
2 United for Health Mobile Unit Programs	\$1,330,349
3 Specialized Mental Health Services	\$1,337,564
4 Wellness & Recovery Activities	\$278,972
5	
6	
7	
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14	
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Subtotal Non-FSP Programs	\$4,038,392
<b>Total FSP and Non-FSP Programs</b>	\$9,502,605
<b>CSS Evaluation</b>	
<b>CSS Administration</b>	\$1,154,819
<b>CSS MHSA Housing Program Assigned Funds</b>	
<b>Total CSS Expenditures</b>	\$10,657,424

**Annual Mental Health Services Act Revenue and Expenditure Report for  
Fiscal Year 2013-14  
Prevention and Early Intervention (PEI) Summary**

County: Tulare

Date:

11/10/2015

Prevention and Early Intervention Component	(B) Total (Gross) Mental Health Expenditures
<b>PEI Programs-Prevention</b>	
1 Children & Youth in Stressed Families	\$248,640
2 Children at Risk of School Failure	\$527,031
3 Identification & Intervention for Mental Illness	\$92,103
4 Suicide Prevention	\$489,037
5 Reducing Disparities in Access to Mental Health	\$442,641
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14	
15	
Subtotal PEI Programs-Prevention	\$1,799,452
<b>PEI Programs-Early Intervention</b>	
1 Children & Youth in Stressed Families	\$882,359
2 Children at Risk of School Failure	\$337,334
3 Suicide Prevention	\$35,845
4 Reducing Disparities in Access to Mental Health	\$114,639
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15	
Subtotal PEI Programs-Prevention	\$1,370,177
<b>PEI Programs-Other</b>	
1 Children & Youth in Stressed Families (PWP)	\$23,518
2	
3	
Subtotal PEI Programs-Other	\$23,518
<b>Subtotal PEI Programs-Prevention &amp; Early Intervention and Other</b>	<b>\$3,193,147</b>
<b>PEI Evaluation</b>	
<b>PEI Administration</b>	\$136,348
<b>Total PEI Expenditures</b>	<b>\$3,329,495</b>

**Annual Mental Health Services Act Revenue and Expenditure Report for  
Fiscal Year 2013-14  
Innovation (INN) Summary**

County: Tulare

Date:

11/10/2015

Innovation Component	(C) Total (Gross) Mental Health Expenditures
<b>Innovation Programs</b>	
1 My Voice Media Center	\$85,789
2 Integrated Health / Mental Health Clinic	\$445,684
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25	
<b>Subtotal</b>	\$531,473
<b>Innovation Evaluation</b>	\$0
<b>Innovation Administration</b>	\$27,745
<b>Total Innovation Expenditures</b>	\$559,218

**Annual Mental Health Services Act Revenue and Expenditure Report for  
Fiscal Year 2013-14  
Workforce Education and Training (WET) Summary**

**County:** Tulare **Date:** 11/10/2015

<b>Workforce Education and Training Component</b>	<b>(D) Total (Gross) Mental Health Expenditures</b>
<b>WET Funding Category</b> Workforce Staffing Support Training and Technical Assistance Mental Health Career Pathways Programs Residency and Internship Programs Financial Incentive Programs	
<b>Total WET Programs</b>	\$0
<b>WET Administration</b>	\$119,861
<b>Total WET Expenditures</b>	<b>\$119,861</b>

**Annual Mental Health Services Act Revenue and Expenditure Report  
Fiscal Year 2013-14  
Capital Facilities/Technological Needs (CF/TN) Summary**

**County:** Tulare **Date:** 11/10/2015

Capital Facility/Technological Needs Projects	(E) Total (Gross) Mental Health Expenditures
<b>Capital Facility Projects</b>	
1 Capital Facilities Needs	\$218
2	
3	
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12	
<b>Total CF Projects</b>	\$218
<b>Capital Facility Administration</b>	
<b>Total Capital Facility Expenditures</b>	\$218
<b>Technological Needs Projects</b>	
1 Electronic Health Records	\$944,322
2	
3	
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13	
<b>Total TN Projects</b>	\$944,322
<b>Technological Needs Administration</b>	\$60,852
<b>Total Technological Needs Expenditures</b>	\$1,005,174
<b>Total CFTN Expenditures</b>	\$1,005,392

**Annual Mental Health Services Act Revenue and Expenditure Report**  
**Fiscal Year 2013-14**  
**Other MHSA Funds Summary**

**County:** Tulare

**Date:**

11/10/2015

	(F) Total (Gross) Expenditures
Training, Technical Assistance and Capacity Building	\$49,802
WET Regional Partnerships	
PEI Statewide Projects	\$1,546,948

Annual Mental Health Services Act Revenue and Expenditure Report  
 FY 2013-14 Summary

**TABLE A**

COUNTY: Tulare

DATE: 11/10/2015

PEI Statewide Funds assigned to CalMHSA? (Y/N)	No
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Fiscal Year 2013-14		(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)	(J)
		Community Services and Supports	Prevention and Early Intervention	Innovation	Workforce Education and Training	Capital Facilities and Technological Needs	TTACB	WET Regional Partnerships	PEI Statewide Projects Funds	Prudent Reserve	Total-All Components
<b>1</b>	<b>Unspent Funds Available From Prior Fiscal Years<sup>1</sup></b>										
	a Local Prudent Reserve									\$7,103,101	\$7,103,101
	b FY 2006-07 Funds				\$983,980						\$983,980
	c FY 2007-08 Funds				\$1,293,900	\$2,733,201					\$4,027,101
	d FY 2008-09 Funds					\$1,775,700			\$35,767		\$1,811,467
	e FY 2009-10 Funds								\$482,100		\$482,100
	f FY 2010-11 Funds								\$482,100		\$482,100
	g FY 2011-12 Funds	\$5,658,347	\$320,531	\$594,400			\$43,342		\$482,100		\$7,098,720
	h FY 2012-13 Funds	\$14,551,835	\$3,880,489	\$970,122							\$19,402,446
	i Cumulative Interest	\$1,039,442	\$433,842	\$115,663	\$84,206	\$194,894	\$6,043		\$52,175		\$1,926,265
	j TOTAL	\$21,249,624	\$4,634,862	\$1,680,185	\$2,362,086	\$4,703,795	\$49,385	\$0	\$1,534,242	\$7,103,101	\$43,317,280
<b>2</b>	<b>MHSA Funds Revenue in FY 2013-14<sup>2</sup></b>										
	a Transfer of funds from the Local Prudent Reserve									\$0	\$0
	b FY 2013-14 MHSA Revenue Received	\$11,312,184	\$3,016,582	\$754,146							\$15,082,912
	c FY 2013-14 Interest Earned on MHSA Funds	\$175,115	\$44,844	\$47,128	\$22,441	\$40,719	\$417		\$12,706	\$70,812	\$414,182
	d TOTAL	\$11,487,298	\$3,061,426	\$801,274	\$22,441	\$40,719	\$417	\$0	\$12,706	\$70,812	\$15,497,093
<b>3</b>	<b>Expenditure and Funding Sources for FY 2013-14<sup>3</sup></b>										
	A MHSA Funds										
	a FY 2006-07 MHSA Funds				\$119,861						\$119,861
	b FY 2007-08 MHSA Funds					\$1,005,392					\$1,005,392
	c FY 2008-09 MHSA Funds										\$0
	d FY 2009-10 MHSA Funds										\$0



PEI Statewide Funds assigned to CalMHSA? (Y/N)	No
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	(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)	(J)
Fiscal Year 2013-14	Community Services and Supports	Prevention and Early Intervention	Innovation	Workforce Education and Training	Capital Facilities and Technological Needs	TTACB	WET Regional Partnerships	PEI Statewide Projects Funds	Prudent Reserve	Total-All Components
e FY 2010-11 MHSA Funds										\$0

PEI Statewide Funds assigned to CalMHSA? (Y/N)	No
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Fiscal Year 2013-14		(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)	(J)
		Community Services and Supports	Prevention and Early Intervention	Innovation	Workforce Education and Training	Capital Facilities and Technological Needs	TTACB	WET Regional Partnerships	PEI Statewide Projects Funds	Prudent Reserve	Total-All Components
f	FY 2011-12 MHSA Funds	\$5,686,493	\$327,567	\$559,218			\$49,802		\$1,546,948		\$8,170,028
g	FY 2012-13 MHSA Funds	\$3,970,931	\$3,001,928								\$6,972,859
h	FY 2013-14 MHSA Funds										\$0
<b>MHSA Net Expenditures Subtotal for FY 2013-14</b>		<b>\$9,657,424</b>	<b>\$3,329,495</b>	<b>\$559,218</b>	<b>\$119,861</b>	<b>\$1,005,392</b>	<b>\$49,802</b>	<b>\$0</b>	<b>\$1,546,948</b>		<b>\$1,596,750</b>
i	Interest										\$0
B	Other Funds										
a	1991 Realignment										\$0
b	Behavioral Health Subaccount										\$0
c	Other	\$1,000,000									\$1,000,000
d	<b>TOTAL MHSA and Other Funds</b>	<b>\$10,657,424</b>	<b>\$3,329,495</b>	<b>\$559,218</b>	<b>\$119,861</b>	<b>\$1,005,392</b>	<b>\$49,802</b>	<b>\$0</b>	<b>\$1,546,948</b>		<b>\$2,596,750</b>
e	<b>Total Program Expenditures</b>	<b>\$10,657,424</b>	<b>\$3,329,495</b>	<b>\$559,218</b>	<b>\$119,861</b>	<b>\$1,005,392</b>	<b>\$49,802</b>	<b>\$0</b>	<b>\$1,546,948</b>		<b>\$17,268,140</b>

NOTE TO COUNTY: Total Program Expenditures, 3(d), MUST match Total Expenditure Funding Sources, 3(e). If ERROR, recheck and correct.

<b>4</b>	<b>Transfers to Prudent Reserve, WET, CFTN<sup>4</sup></b>										
a	FY 2011-12	\$0									\$0
b	FY 2012-13	\$0									\$0
c	FY 2013-14	\$0									\$0
<b>5</b>	<b>Adjustments<sup>5</sup></b>										
a	Local Prudent Reserve										\$0
b	FY 2006-07 Funds										\$0
c	FY 2007-08 Funds										\$0
d	FY 2008-09 Funds										\$0
e	FY 2009-10 Funds										\$0
f	FY 2010-11 Funds										\$0
g	FY 2011-12 Funds	\$28,146	\$7,036	-\$35,182							\$0

PEI Statewide Funds assigned to CalMHSA? (Y/N)	No
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Fiscal Year 2013-14		(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)	(J)
		Community Services and Supports	Prevention and Early Intervention	Innovation	Workforce Education and Training	Capital Facilities and Technological Needs	TTACB	WET Regional Partnerships	PEI Statewide Projects Funds	Prudent Reserve	Total-All Components
h	FY 2012-13 Funds										\$0
i	FY 2013-14 Funds										\$0
j	Interest										\$0
k	TOTAL	\$28,146	\$7,036	-\$35,182	\$0	\$0	\$0	\$0	\$0	\$0	\$0
<b>6 Unspent Funds in the Local MHS Fund<sup>6</sup></b>											
a	Local Prudent Reserve Balance									\$7,173,913	\$7,173,913
b	FY 2006-07 Funds				\$864,119						\$864,119
c	FY 2007-08 Funds				\$1,293,900	\$1,727,809					\$3,021,709
d	FY 2008-09 Funds	\$0	\$0	\$0	\$0	\$1,775,700	\$0	\$0	\$35,767		\$1,811,467
e	FY 2009-10 Funds	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$482,100		\$482,100
f	FY 2010-11 Funds	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$482,100		\$482,100
g	FY 2011-12 Funds	\$0	\$0	\$0	\$0	\$0	-\$6,460	\$0	-\$1,064,848		-\$1,071,308
h	FY 2012-13 Funds	\$10,580,904	\$878,561	\$970,122	\$0	\$0					\$12,429,587
i	FY 2013-14 Funds	\$11,312,184	\$3,016,582	\$754,146	\$0	\$0					\$15,082,912
j	Interest	\$1,214,557	\$478,686	\$162,791	\$106,647	\$235,613	\$6,460	\$0	\$64,881		\$2,269,635
k	TOTAL	\$23,107,644	\$4,373,829	\$1,887,059	\$2,264,666	\$3,739,122	\$0	\$0	\$0	\$7,173,913	\$42,546,233

<b>TABLE B<sup>7</sup></b>	
<b>Estimated FFP Revenue Generated In FY 2013-14</b>	<b>Amount</b>
Federal Financial Participation (FFP)	\$2,401,957

RER Contact Person	
<b>Name</b>	Melody Manning
<b>Title</b>	Accountant III
<b>Phone</b>	(559) 624-8022
<b>Email</b>	MManning1@tularehhsa.org

**Annual Mental Health Services Act Revenue and Expenditure Report for  
Year 2013-14  
Adjustments Summary**

Fiscal

**County:** \_\_\_\_\_  
**Date:** 11/10/2015

FY	Amount	Reason For Adjustment
11/12	-\$35,182	Innovation adjusted based on Info Notice 11-15 (INN). 80% Innovation moved to CSS and 20% to PEI as it appears in books. Granted by Info Notice 11-15.
11/12	\$28,146	Innovation adjusted based on Info Notice 11-15 (CSS)
11/12	\$7,036	Innovation adjusted based on Info Notice 11-15 (PEI)
TOTAL	<b>\$0</b>	
	<b>\$0</b>	

**NOTE TO COUNTY:** Total Adjustments recorded in the Adjustments Summary worksheet **MUST** match Total Adjustments recorded on the RER Summary Worksheet. If **ERROR**, recheck and correct.

**Annual Mental Health Services Act Revenue and Expenditure Report  
FY 2013-14**

**END NOTES:**

<sup>1</sup> Total unspent funds from prior fiscal years MUST match the Total Unspent Funds in the Local MHS Fund from prior year RER.

<sup>2</sup> DHCS will utilize the allocation report provided by the SCO and counties should utilize the same report when determining the total State MHSA Fund revenue to be reported on the FY 2013-14 RER. The report is available at:  
[http://www.sco.ca.gov/ard\\_payments\\_mentalhealthservicefund.html](http://www.sco.ca.gov/ard_payments_mentalhealthservicefund.html)

<sup>3</sup> Expenditure funding sources for each component must equal the total program expenditures as reported on the Component Summary Worksheets.

<sup>4</sup> WIC Section 5892(b) permits a County to use up to 20 percent of the average amount of funds allocated to the county for the previous five years to fund technological needs and capital facilities, human resource needs, and a prudent reserve. The amount of funds transferred from CSS will be reported in the CSS column as a negative amount. The funds transferred into WET, CFTN, or Prudent Reserve should be reflected as a positive amount. For each year reported, the amount transferred from CSS should equal zero when added to the funds transferred into WET, CFTN, or Prudent Reserve.

<sup>5</sup> Payments from the MHSA Fund should be reflected in the Adjustments section as a negative amount. Receipts into the MHSA Fund should be reflected in the Adjustments section as a positive amount.

<sup>6</sup> Total Unspent funds in the Local MHS Fund will auto populate for each Fiscal Year.

<sup>7</sup> The FFP amount represents the estimated FFP revenue generated in FY 2013-14 and attributable to MHSA funds.

## **TULARE COUNTY END NOTES**

### **INNOVATION**

1. Tulare County considers innovation funds to be utilized as 80% CSS and 20% PEI. Therefore these funds do not revert, when this calculation is taken into consideration.

2. Innovation funds (minus expenditures) were split between CSS (80%) and PEI (20%) in adjustment section of RER Summary as granted by Info. Notice 11-15. We have not received direction from DHCS on how to account for the transaction under the innovation column.

### **PEI STATEWIDE PROJECTS**

3. Tulare County was granted an extension of time by DHCS (Coordinated by Moses Ndungu) to expend the funds designated for the PEI Statewide Fund Program. The extension was given until June 30, 2014.