



**TULARE COUNTY
HEALTH & HUMAN SERVICES AGENCY**

Jason T. Britt, M.S. • Agency Director

April 4, 2017

California Department of Health Care Services
Attn: FY 13-14 MHSA Revenue and Expenditure Report
Mental Health Services Division
MHSA Oversight and Fiscal Reporting Section
1500 Capitol Ave., MS 2704, 72.4.33
P. O. Box 997413
Sacramento, CA 95899

Enclosed please find the Fiscal Year 15/16 MHSA Revenue and Expenditure Report for Tulare County.

If there are any questions or additional information is needed, please contact Melody Manning at (559) 624-8022 or MManning1@tularehhsa.org.

Sincerely,

A handwritten signature in blue ink that reads "Rob Stewart".

Rob Stewart
Interim Director of Fiscal Operations

Cc: Timothy Durick, Director of Mental Health
Christi Lupkes, Division Manager HHS Mental Health Managed Care
Michele Cruz, Mental Health Services Act Manager
Steven Leahy, Administrative Specialist I, Mental Health
Melody Manning, Accountant III

MHSA COUNTY FISCAL ACCOUNTABILITY CERTIFICATION¹

County/City: Tulare County

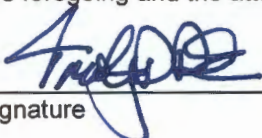
- Three-Year Program and Expenditure Plan
- Annual Update
- Annual Revenue and Expenditure Report

<p align="center">Local Mental Health Director</p> <p>Name: <u>Timothy Durick</u></p> <p>Telephone Number: <u>(559) 624-7446</u></p> <p>E-mail: <u>TDurick@tularehhsa.org</u></p>	<p align="center">County Auditor-Controller / City Financial Officer</p> <p>Name: <u>Rita Woodard</u></p> <p>Telephone Number: <u>(559) 636-5226</u></p> <p>E-mail: <u>RWoodard@co.tulare.ca.us</u></p>
<p>Local Mental Health Mailing Address:</p> <p align="center">County of Tulare, HHSa 5957 S. Mooney Blvd. Visalia, CA 93277</p>	

I hereby certify that the Three-Year Program and Expenditure Plan, Annual Update or Annual Revenue and Expenditure Report is true and correct and that the County has complied with all fiscal accountability requirements as required by law or as directed by the State Department of Health Care Services and the Mental Health Services Oversight and Accountability Commission, and that all expenditures are consistent with the requirements of the Mental Health Services Act (MHSA), including Welfare and Institutions Code (WIC) sections 5813.5, 5830, 5840, 5847, 5891, and 5892; and Title 9 of the California Code of Regulations sections 3400 and 3410. I further certify that all expenditures are consistent with an approved plan or update and that MHSA funds will only be used for programs specified in the Mental Health Services Act. Other than funds placed in a reserve in accordance with an approved plan, any funds allocated to a county which are not spent for their authorized purpose within the time period specified in WIC section 5892(h), shall revert to the state to be deposited into the fund and available for counties in future years.

I declare under penalty of perjury under the laws of this state that the foregoing and the attached update/revenue and expenditure report is true and correct to the best of my knowledge.

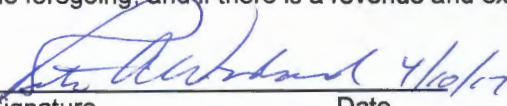
Timothy Durick
Local Mental Health Director (PRINT)


 Signature 4/10/17
 Date

I hereby certify that for the fiscal year ended June 30, 2016, the County/City has maintained an interest-bearing local Mental Health Services (MHS) Fund (WIC 5892(f)); and that the County's/City's financial statements are audited annually by an independent auditor and the most recent audit report is dated 12/21/16 for the fiscal year ended June 30, 2016. I further certify that for the fiscal year ended June 30, 2016, the State MHSA distributions were recorded as revenues in the local MHS Fund; that County/City MHSA expenditures and transfers out were appropriated by the Board of Supervisors and recorded in compliance with such appropriations; and that the County/City has complied with WIC section 5891(a), in that local MHS funds may not be loaned to a county general fund or any other county fund.

I declare under penalty of perjury under the laws of this state that the foregoing, and if there is a revenue and expenditure report attached, is true and correct to the best of my knowledge.

Rita Woodard
County Auditor Controller / City Financial Officer (PRINT)


 Signature 4/10/17
 Date

¹ Welfare and Institutions Code Sections 5847(b)(9) and 5899(a)
Three-Year Program and Expenditure Plan, Annual Update, and RER Certification (07/22/2013)

Annual Mental Health Services Act Revenue and Expenditures Report for Fiscal Year 2015-16 Community Services and Support (CSS) Summary					
County: Tulare		Date: 3/30/2017			
Community Services and Supports Component	*Target Population				Total (Gross) Mental Health Expenditures
	C	TAY	A	OA	
FSP Programs					
1 ONE STOP CENTER		X			\$639,108
2 UNITED FOR HEALTH MOBILE UNIT	X	X	X	X	\$458,964
3 COUNTY FSP PROGRAM	X	X	X		\$3,969,439
4 SUPPORTIVE HOUSING		X	X	X	\$2,168,601
5 SPECIALIZED MENTAL HEALTH	X	X	X	X	\$739,162
6					
7					
8					
9					
10					
11					
12					
13					
14					
15					
16					
17					
18					
16					
20					
21					
22					
23					
24					
25					
Subtotal FSP Programs					\$7,975,274
Non-FSP Programs					
1 ONE STOP CENTER		X			\$1,188,575
2 UNITED FOR HEALTH MOBILE UNIT	X	X	X	X	\$1,435,934
3 SPECIALIZED MENTAL HEALTH		X	X	X	\$2,900,973
4 WELLNESS & RECOVERY ACTIVITIES		X	X	X	\$296,917
5					
6					
7					
8					
9					
10					
11					
12					
13					
14					
15					
Subtotal Non-FSP Programs					\$5,622,399
Total FSP and Non-FSP Programs					\$13,797,673
CSS Evaluation					
CSS Administration					\$1,553,462
CSS MHA Housing Program Assigned Funds					
Total CSS Expenditures					\$15,351,135

* Please place an "X" in the target populations that is served by the program.

Annual Mental Health Services Act Revenue and Expenditure Report for Fiscal Year 2015-16 Prevention and Early Intervention (PEI) Summary						
County: Tulare		Date: 3/30/2017				
Prevention and Early Intervention Component	**Target Population				Total (Gross) Mental Health	* Estimated %
	C	TAY	A	OA		
PEI Programs-Prevention						
1 CHILDREN & YOUTH IN STRESSED FAMILIES	X				\$276,509.00	16%
2 CHILDREN AT RISK OF SCHOOL FAILURE	X	X			\$291,648.00	17%
3 IDENTIFICATION & INTERVENTION FOR MENTAL ILLNESS		X	X		\$229,037.00	13%
4 SUICIDE PREVENTION		X	X	X	\$442,946.00	25%
5 REDUCING DISPARITIES IN ACCESS TO MENTAL HEALTH		X	X	X	\$499,327.00	29%
6						0%
7						0%
8						0%
9						0%
10						0%
11						0%
12						0%
13						0%
14						0%
15						0%
Subtotal PEI Programs-Prevention					\$1,739,467	100%
PEI Programs-Early Intervention						
1 CHILDREN & YOUTH IN STRESSED FAMILIES	X				\$1,114,364	56%
2 CHILDREN AT RISK OF SCHOOL FAILURE	X	X			\$584,313	29%
3 SUICIDE PREVENTION		X	X	X	\$178,235	9%
4 REDUCING DISPARITIES IN ACCESS TO MENTAL HEALTH		X	X	X	\$121,146	6%
5						0%
6						0%
7						0%
8						0%
9						0%
10						0%
11						0%
12						0%
13						0%
14						0%
15						0%
Subtotal PEI Programs-Early Intervention					\$1,998,058	100%
PEI Programs-Other						
1						0%
2						0%
3						0%
4						0%
5						0%
Subtotal PEI Programs-Other					\$0	0%
Subtotal PEI Programs-Prevention & Early Intervention and Other					\$3,737,525	
PEI Evaluation						
PEI Administration					\$170,821	
PEI Funds transfer to CalMHSA or JPA						
Total PEI Expenditures					\$3,908,346	

* If PEI programs are combined, the County shall estimate the percentage of funds dedicated to each Program.

* Please place an "X" in the target populations that is served by each program.

**Annual Mental Health Services Act Revenue and Expenditure Report for
Fiscal Year 2015-16
Innovation (INN) Summary**

County: Tulare Date: 3/30/2017

Innovation Component	*Target Population				Total (Gross) Mental Health Expenditures
	C	TAY	A	OA	
Innovation Programs					
1 MY VOICE MEDIA CENTER		X	X		\$98,636
2 INTEGRATED HEALTH/ MH CLINIC			X		\$414,125
3					
4					
5					
6					
7					
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9					
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11					
12					
13					
14					
15					
16					
17					
18					
19					
20					
21					
22					
23					
24					
25					
Subtotal					\$512,761
Innovation Evaluation					
Innovation Administration					\$79,503
Total Innovation Expenditures					\$592,264

* Please place an "X" in each target population served by the program.

Annual Mental Health Services Act Revenue and Expenditure Report for Fiscal Year 2015-16 Workforce Education and Training (WET) Summary	
County:	Tulare
Date:	3/30/2017
Workforce Education and Training Component	(A) Total (Gross) Mental Health Expenditures
WET Funding Category	
Workforce Staffing Support	\$149,092
Training and Technical Assistance	\$52,874
Mental Health Career Pathways Programs	\$689,611
Residency and Internship Programs	
Financial Incentive Programs	
Total WET Programs	\$891,577
WET Administration	
WET Evaluation (if applicable)	
Total WET Expenditures	\$891,577

Annual Mental Health Services Act Revenue and Expenditure Report for Fiscal Year 2015-16 Capital Facilities/Technological Needs (CF/TN) Summary			
County:	Tulare	Date:	3/30/2017
Capital Facility/Technological Needs Projects		Total (Gross) Mental Health Expenditures	
Capital Facility Projects			
1	CAPITAL FACILITIES NEEDS		\$68,730
2			
3			
4			
5			
6			
7			
8			
9			
10			
11			
12			
Total CF Projects			\$68,730
Capital Facility Administration			
CF Evaluation (if applicable)			
Total Capital Facility Expenditures			\$68,730
Technological Needs Projects			
1	ELECTRONIC HEALTH RECORDS		\$537,285
2			
3			
4			
5			
6			
7			
8			
9			
10			
11			
12			
13			
Total TN Projects			\$537,285
Technological Needs Administration			\$33,754
TN Evaluation (if applicable)			
Total Technological Needs Expenditures			\$571,039
Total CFTN Expenditures			\$639,769

Annual Mental Health Services Act Revenue and Expenditure Report for Fiscal Year 2015-16 Unencumbered Housing Funds Summary	
County: Tulare	Date: 3/30/2017
	Total (Gross) Expenditures
Unencumbered MHS Act Housing Funds	\$2,492,888

Annual Mental Health Services Act Revenue and Expenditure Report
FY 2015-16 Summary

TABLE A

COUNTY: Tulare

DATE: 3/30/2017

PEI Statewide Funds assigned to CalMHSA?		(Yes , No)											
Fiscal Year 2015-16		(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)	(J)	(K)	(L)
		Community Services and Supports	Prevention and Early Intervention	Innovation	Workforce Education and Training	Capital Facilities and Technological Needs	TTACB	WET Regional Partnerships	PEI Statewide Projects Funds	Unencumbered MHSA Housing Funds	Prudent Reserve	Interest	Total-All Components
1	Unspent MHSA Funds Available in the MHS Fund¹												
	a Local Prudent Reserve										\$7,252,426		\$7,252,426
	b FY 2006-07 Funds				\$318,880								\$318,880
	c FY 2007-08 Funds				\$1,293,900	\$380,349							\$1,674,249
	d FY 2008-09 Funds					\$1,775,700							\$1,775,700
	e FY 2009-10 Funds												\$0
	f FY 2010-11 Funds												\$0
	g FY 2011-12 Funds												\$0
	h FY 2012-13 Funds												\$0
	i FY 2013-14 Funds	\$9,710,083	\$491,500	\$754,146									\$10,955,729
	j FY 2014-15 Funds	\$15,834,460	\$4,222,523	\$1,055,631									\$21,112,614
	k Interest											\$2,593,762	\$2,593,762
	l TOTAL	\$25,544,543	\$4,714,023	\$1,809,777	\$1,612,780	\$2,156,049	\$0	\$0	\$0	\$0	\$7,252,426	\$2,593,762	\$45,883,360
2	MHSA Funds Revenue in FY 2015-16												
	a Transfer of funds from the Local Prudent Reserve												\$0
	b FY 2015-16 MHSA Revenue Received	\$12,900,611	\$3,440,163	\$860,041						\$2,694,277			\$19,895,092
	c FY 2015-16 Interest Earned on MHSA Funds											\$377,466	\$377,466
	d TOTAL	\$12,900,611	\$3,440,163	\$860,041				\$0		\$2,694,277	\$0	\$377,466	\$20,272,558
3	Expenditure and Funding Sources for FY 2015-16²												
	A MHSA Funds												
	a FY 2006-07 MHSA Funds				\$318,880								\$318,880
	b FY 2007-08 MHSA Funds				\$572,697	\$380,349							\$953,046
	c FY 2008-09 MHSA Funds					\$259,420							\$259,420
	d FY 2009-10 MHSA Funds												\$0
	e FY 2010-11 MHSA Funds												\$0
	f FY 2011-12 MHSA Funds												\$0
	g FY 2012-13 MHSA Funds												\$0
	h FY 2013-14 MHSA Funds	\$7,008,551	\$491,500	\$592,264									\$8,092,315
	i FY 2014-15 MHSA Funds		\$3,416,846										\$3,416,846
	j FY 2015-16 MHSA Funds									\$2,492,888			\$2,492,888
	MHSA Net Expenditures Subtotal for FY 2015-16	\$7,008,551	\$3,908,346	\$592,264	\$891,577	\$639,769	\$0	\$0	\$0	\$2,492,888			\$15,533,395
	k Interest											\$0	\$0
	B Other Funds												
	a 1991 Realignment												\$0
	b Behavioral Health Subaccount												\$0
	c Other	\$8,342,584											\$8,342,584
	C TOTAL MHSA and Other Funding Sources	\$15,351,135	\$3,908,346	\$592,264	\$891,577	\$639,769	\$0	\$0	\$0	\$2,492,888			\$23,875,979
	D Total Program Expenditures	\$15,351,135	\$3,908,346	\$592,264	\$891,577	\$639,769	\$0	\$0	\$0	\$2,492,888	\$0		\$23,875,979

NOTE TO COUNTY: Total MHSA and Other Funding Sources (3(C)), MUST match Total Program Expenditures (3(D)). If ERROR, recheck and correct.

PEI Statewide Funds assigned to CalMHSA?		(Yes / No)											
Fiscal Year 2015-16		(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)	(J)	(K)	(L)
		Community Services and Supports	Prevention and Early Intervention	Innovation	Workforce Education and Training	Capital Facilities and Technological Needs	TTACB	WET Regional Partnerships	PEI Statewide Projects Funds	Unencumbered MHSA Housing Funds	Prudent Reserve	Interest	Total-All Components
4 Transfers to Prudent Reserve, WET, CFTN¹													
a FY 2013-14													\$0
b FY 2014-15													\$0
c FY 2015-16													\$0
Total Transfers to Prudent Reserve, WET, CFTN		\$0	\$0	\$0	\$0	\$0					\$0		\$0
5 Adjustments²													
a Local Prudent Reserve													\$0
b FY 2006-07 Funds													\$0
c FY 2007-08 Funds													\$0
d FY 2008-09 Funds						\$221							\$221
e FY 2009-10 Funds													\$0
f FY 2010-11 Funds				\$1,218,338									\$1,218,338
g FY 2011-12 Funds				\$594,400									\$594,400
h FY 2012-13 Funds				\$970,122									\$970,122
i FY 2013-14 Funds		\$5,701,532		\$592,264									-\$2,108,268
j FY 2014-15 Funds		-\$649,858	-\$675,024										-\$1,324,882
k FY 2015-16 Funds													\$0
l Interest ³													\$0
m TOTAL		-\$1,948,340	-\$875,024	\$3,375,124	\$0	\$221	\$0	\$0	\$0	\$0	\$0	\$0	-\$847,310
6 Unspent MHSA Funds in the Local MHS Fund²													
a Local Prudent Reserve Balance											\$7,252,426		\$7,252,426
b FY 2006-07 Funds					\$0								\$0
c FY 2007-08 Funds					\$721,203	\$0							\$721,203
d FY 2008-09 Funds		\$0	\$0	\$0	\$0	\$1,917,201	\$0	\$0	\$0	\$0			\$1,917,201
e FY 2009-10 Funds		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0			\$0
f FY 2010-11 Funds		\$0	\$0	\$1,218,338	\$0	\$0	\$0	\$0	\$0	\$0			\$1,218,338
g FY 2011-12 Funds		\$0	\$0	\$594,400	\$0	\$0	\$0	\$0	\$0	\$0			\$594,400
h FY 2012-13 Funds		\$0	\$0	\$970,122	\$0	\$0	\$0	\$0	\$0	\$0			\$970,122
i FY 2013-14 Funds		\$0	\$0	\$754,146	\$0	\$0	\$0	\$0	\$0	\$0			\$754,146
j FY 2014-15 Funds		\$14,067,852	\$130,853	\$1,035,031	\$0	\$0	\$0	\$0	\$0	\$0			\$16,173,036
k FY 2015-16 Funds		\$12,900,911	\$3,440,103	\$690,041	\$0	\$0	\$0	\$0	\$201,389	\$0			\$17,402,704
l Interest ³												\$2,971,226	\$2,971,226
TOTAL		\$27,869,283	\$3,570,816	\$6,452,678	\$721,203	\$1,917,201	\$0	\$0	\$0	\$201,389	\$7,252,426	\$2,971,226	\$49,676,204

Federal Financial Participation (FFP)	\$4,571,487
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MHSA Contact Person	
Name	Melody Manning
Title	Accountant III
Phone	559-624-8022
Email	MManning1@tularehsa.org

Annual Mental Health Services Act Revenue and Expenditure Report for Fiscal Year 2015-16 Adjustments Summary			
County: Tulare		Date: 3/30/2017	
Component	FY	Amount	Reason For Adjustment
CSS	09/10	-\$858,062	Previously recorded EPSDT as revenue. Expense reimbursement recorded incorrectly.
CSS	08/09-10/11	-\$2,398,601	Correcting Innovation adjusted to CSS in FY 12/13 RER
PEI	08/09-10/11	-\$599,650	Correcting Innovation adjusted to PEI in FY 12/13 RER
INN	08/09-10/11	\$2,998,251	Correcting Innovation adjusted to CSS & PEI in FY 12/13 RER
CSS	11/12	-\$28,146	Correcting Innovation adjusted to CSS in FY13/14
PEI	11/12	-\$7,036	Correcting Innovation adjusted to PEI in FY 13/14
INN	11/12	\$35,182	Correcting Innovation adjusted to CSS & PEI in FY 13/14 RER
CSS	12/13	-\$273,353	Correcting Innovation adjusted to CSS in FY 14/15 RER
PEI	12/13	-\$68,338	Correcting Innovation adjusted to PEI in FY 14/15 RER
INN	12/13	\$341,691	Correcting Innovation adjusted to CSS & PEI in FY 14/15 RER
CSS	10/11	\$9,822	Correct Accrual Reversal, Add Gax Paid (Kings United), Revenue applied as expense
CFT	12/13	\$921	Add back Millenium Consulting A/P Accrual overage expensed in FY 11/12 & FY 12/13
TOTAL		-\$847,319	

NOTE TO COUNTY: Total Adjustments recorded in the Adjustments Summary worksheet MUST match Total Adjustments

ADJUSTMENT CALCULATIONS ON RER SUMMARY

CSS EPSDT Deducted as Revenue in FY 09/10 (FY 12/13 Adjustment)	(858,062.00)	
CSS Partial Innovation adjustment for FY 08/09-10/11	(1,843,470.00)	
CSS FY 13/14	(2,701,532.00)	
		(2,398,601.00)
CSS Partial Innovation adjustment for FY 08/09-10/11	(555,131.00)	
CSS Innovation adjustment for FY 11/12	(28,146.00)	
CSS Innovation adjustment for FY 12/13	(273,353.00)	
CSS FY 10/11 Accrual Reversal, Add Gax Paid, Revenue applied as exp	9,822.00	
CSS FY 14/15	(846,808.00)	
PEI Innovation adjustment FY 08/09-10/11	(599,650.00)	
PEI Innovation adjustment FY 11/12	(7,036.00)	
PEI Innovation adjustment FY 12/13	(68,338.00)	
PEI FY 14/15	(675,024.00)	
INN Partial Innovation adjustment for FY 08/09-10/11	1,218,338.00	
INN FY 10/11	1,218,338.00	
INN Partial Innovation adjustment for FY 08/09-10/11	594,400.00	
INN FY 11/12	594,400.00	
INN Partial Innovation adjustment for FY 08/09-10/11	970,122.00	
INN FY 12/13	970,122.00	
INN Partial Innovation adjustment for FY 08/09-10/11	215,391.00	
INN Innovation adjustment FY 11/12	35,182.00	
INN Innovation adjustment FY 12/13	341,691.00	
INN FY 13/14	592,264.00	
CFT A/P Accrual overage expensed in FY 11/12 & FY 12/13	921.00	
CFT 08/09	921.00	
		2,998,251.00

**Annual Mental Health Services Act Revenue and Expenditure Report
FY 2015-16**

END NOTES:

¹ Total unspent funds from prior fiscal years MUST match the Total Unspent Funds in the Local MHS Fund reported on the prior year RER.

² Expenditure funding sources for each component must equal the total program expenditures as reported on the Component Summary Worksheets.

³ WIC Section 5892(b) permits a County to use up to 20 percent of the average amount of funds allocated to the county for the previous five years to fund technological needs and capital facilities, human resource needs, and a prudent reserve. The amount of funds transferred from CSS will be reported in the CSS column as a negative amount. The funds transferred into WET, CFTN, or Prudent Reserve should be reflected as a positive amount. For each year reported, the amount transferred from CSS should equal zero when added to the funds transferred into WET, CFTN, or Prudent Reserve.

⁴ Payments from the MHSA Fund should be reflected in the Adjustments section as a negative amount. Receipts into the MHSA Fund should be reflected in the Adjustments section as a positive amount.

⁵ Total Unspent funds in the Local MHS Fund will auto populate for each Fiscal Year.

⁶ The FFP amount represents the estimated FFP revenue generated in FY 2015-16 and attributed to MHSA.